

**WI Securities Law and Franchise Law Filings
for 12/3/2017 through 12/9/2017**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NATIONWIDE MUTUAL FUNDS NATIONWIDE LONG SHORT EQUITY FUND CLASS A	WEST ALLIS, WI	12/8/2017	00824880-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE LONG SHORT EQUITY FUND INSTITUTIONAL SERVICE CLASS	WEST ALLIS, WI	12/8/2017	00824879-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE LONG SHORT EQUITY FUND CLASS R6	WEST ALLIS, WI	12/8/2017	00824878-03	Registered
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ARTISAN THEMATIC FUND LP	MILWAUKEE, WI	12/8/2017	00824861-07	Filed
LATINOPRO INC	CEDARBURG, WI	12/8/2017	00824863-07	Filed
NORTH NEIGHBORHOOD NOTE FUND LLC	VERONA, WI	12/8/2017	00824860-07	Filed
WP BEVERAGES LLC	WINDSOR, WI	12/8/2017	00824867-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FLYING SPIDER LLC	MILWAUKEE, WI	12/7/2017	00824849-07	Filed
Number of Regulation D Notice Filings: 5				