

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/3/2017 through 12/9/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TCAL CHURCH MANSFIELD TX	MANSFIELD, TX	12/8/2017	00824881-02	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DEUTSCHE INCOME TR DEUTSCHE SHORT DURATION HIGH INCOME FUND INSTITUTIONAL CLASS SHARES	CHICAGO, IL	12/8/2017	00824812-03	Registered
DEUTSCHE INCOME TR DEUTSCHE SHORT DURATION HIGH INCOME FUND CLASS T SHARES	CHICAGO, IL	12/8/2017	00824811-03	Registered
DEUTSCHE INCOME TR DEUTSCHE SHORT DURATION HIGH INCOME FUND CLASS R6 SHARES	CHICAGO, IL	12/8/2017	00824809-03	Registered
DEUTSCHE INCOME TR DEUTSCHE SHORT DURATION HIGH INCOME FUND CLASS S SHARES	CHICAGO, IL	12/8/2017	00824810-03	Registered
DEUTSCHE INCOME TR DEUTSCHE SHORT DURATION HIGH INCOME FUND CLASS A SHARES	CHICAGO, IL	12/8/2017	00824808-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE LONG SHORT EQUITY FUND CLASS R6	WEST ALLIS, WI	12/8/2017	00824878-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
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NATIONWIDE MUTUAL FUNDS NATIONWIDE LONG SHORT EQUITY FUND INSTITUTIONAL SERVICE CLASS	WEST ALLIS, WI	12/8/2017	00824879-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE LONG SHORT EQUITY FUND CLASS A	WEST ALLIS, WI	12/8/2017	00824880-03	Registered
WORLD FUNDS TR SELECT VALUE REAL ESTATE SECURITIES FUND Z SHARES	RICHMOND, VA	12/8/2017	00824807-03	Registered
FT 7041 CYBERSECURITY PORTFOLIO SERIES 10	WHEATON, IL	12/7/2017	00824836-05	Registered
FT 7042 DEFENSIVE EQUITY PORTFOLIO SERIES 43	WHEATON, IL	12/7/2017	00824825-05	Registered
FT 7043 BANKING OPPORTUNITY PORTFOLIO SERIES 28	WHEATON, IL	12/7/2017	00824835-05	Registered
FT 7044 CAPITAL STRENGTH OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	12/7/2017	00824822-05	Registered
FT 7045 US INFRASTRUCTURE PORTFOLIO SERIES 13	WHEATON, IL	12/7/2017	00824834-05	Registered
FT 7046 DIVIDEND EDGE PORTFOLIO SERIES 9	WHEATON, IL	12/7/2017	00824823-05	Registered
FT 7054 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 30	WHEATON, IL	12/7/2017	00824829-05	Registered
FT 7077 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 62	WHEATON, IL	12/7/2017	00824828-05	Registered
FT 7078 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 91	WHEATON, IL	12/7/2017	00824832-05	Registered
FT 7083 DIVIDEND GROWTH PORTFOLIO SERIES 20	WHEATON, IL	12/7/2017	00824826-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 7084 DOW TARGET 10 DEC 17 TERM 1 9 19	WHEATON, IL	12/7/2017	00824827-05	Registered
FT 7086 CYCLICAL STRENGTH PORTFOLIO SERIES 20	WHEATON, IL	12/7/2017	00824824-05	Registered
FT 7087 US ENERGY INDEPENDENCE PORTFOLIO SERIES 17	WHEATON, IL	12/7/2017	00824833-05	Registered
FT 7088 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 48	WHEATON, IL	12/7/2017	00824831-05	Registered
RBC FUNDS TR RBC EMERGING MARKETS VALUE EQUITY FUND CLASS I	MINNEAPOLIS, MN	12/7/2017	00824549-03	Registered
RBC FUNDS TR RBC EMERGING MARKETS VALUE EQUITY FUND CLASS R6	MINNEAPOLIS, MN	12/7/2017	00824550-03	Registered
SMART TR 356 SMART TR ADELANTE REIT GROWTH & INCOME TR SERIES 12	PARSIPPANY, NJ	12/7/2017	00824830-05	Registered
ALLIANZ FUNDS ALLIANZGI NFJ MID CAP VALUE FUND CLASS R6	NEW YORK, NY	12/6/2017	00824439-03	Pending-Cleared
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI PERFORMANCEFEE STRUCTURED US EQUITY FUND CLASS P	NEW YORK, NY	12/6/2017	00824446-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI PERFORMANCEFEE STRUCTURED US FIXED INCOME FUND CLASS R6	NEW YORK, NY	12/6/2017	00824444-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI PERFORMANCEFEE STRUCTURED US FIXED INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/6/2017	00824438-03	Registered

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ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI PERFORMANCEFEE STRUCTURED US EQUITY FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/6/2017	00824445-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI PERFORMANCEFEE MANAGED FUTURES STRATEGY FUND CLASS R6	NEW YORK, NY	12/6/2017	00824441-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI PERFORMANCEFEE STRUCTURED US FIXED INCOME FUND CLASS P	NEW YORK, NY	12/6/2017	00824443-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI PERFORMANCEFEE MANAGED FUTURES STRATEGY FUND CLASS P	NEW YORK, NY	12/6/2017	00824440-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI PERFORMANCEFEE MANAGED FUTURES STRATEGY FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/6/2017	00824442-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI PERFORMANCEFEE STRUCTURED US EQUITY FUND CLASS R6	NEW YORK, NY	12/6/2017	00824447-03	Registered
INVESCO UNIT TR SERIES 1833	DOWNERS GROVE, IL	12/6/2017	00824820-05	Pending-Cleared
INVESCO UNIT TR SERIES 1834	DOWNERS GROVE, IL	12/6/2017	00824819-05	Pending-Cleared
INVESCO UNIT TR SERIES 1835	DOWNERS GROVE, IL	12/6/2017	00824818-05	Pending-Cleared
MORGAN STANLEY PORTFOLIOS SERIES 18 CAPITAL SPENDING & PRODUCTIVITY PORTFOLIO 2018	PURCHASE, NY	12/6/2017	00824821-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VOYA SEPARATE PORTFOLIOS TR VOYA EMERGING MARKETS HARD CURRENCY DEBT FUND CLASS A	SCOTTSDALE, AZ	12/6/2017	00824430-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA EMERGING MARKETS HARD CURRENCY DEBT FUND CLASS W	SCOTTSDALE, AZ	12/6/2017	00824431-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA INVESTMENT GRADE CREDIT FUND CLASS W	SCOTTSDALE, AZ	12/6/2017	00824432-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA SECURITIZED CREDIT FUND CLASS W	SCOTTSDALE, AZ	12/6/2017	00824433-03	Registered
ADVISORS DISCIPLINED TR 1839 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 124	MONUMENT, CO	12/5/2017	00824813-05	Registered
ADVISORS INNER CIRCLE FUND 3 INVESTEC GLOBAL FRANCHISE FUND INSTITUTIONAL SHARES	OAKS, PA	12/5/2017	00824425-03	Registered
FEDERATED MDT EQUITY TR FEDERATED MDT LARGE CAP VALUE FUND CLASS C SHARES	WARRENDALE, PA	12/5/2017	00824562-03	Registered
FEDERATED MDT EQUITY TR FEDERATED MDT LARGE CAP VALUE FUND CLASS R SHARES	WARRENDALE, PA	12/5/2017	00824563-03	Registered
FEDERATED MDT EQUITY TR FEDERATED MDT LARGE CAP VALUE FUND CLASS B SHARES	WARRENDALE, PA	12/5/2017	00824561-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1699 UBS OPPORTUNISTIC EQUITY INCOME LIST EQUITY ADVISORY GROUP 2017 4 SERIES 11	LISLE, IL	12/5/2017	00824814-05	Registered
VICTORY PORTFOLIOS VICTORY TRIVALENT EMERGING MARKETS SMALL CAP FUND CLASS Y	BIRMINGHAM, MI	12/5/2017	00824426-03	Registered
Number of Investment Company Filings: 51				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ARTISAN THEMATIC FUND LP	MILWAUKEE, WI	12/8/2017	00824861-07	Filed
BLACKSTAR ENTERPRISE GROUP INC	BOULDER, CO	12/8/2017	00824858-07	Filed
BLUE WAVE GLOBAL INVESTORS LP	BLUE BELL, PA	12/8/2017	00824869-07	Filed
CYTODYN INC	VANCOUVER, WA	12/8/2017	00824865-07	Filed
GENERATION NEXT FRANCHISE BRANDS INC	SAN DIEGO, CA	12/8/2017	00824857-07	Filed
GRANITE CREEK FLEXCAP 2 LP	CHICAGO, IL	12/8/2017	00824856-07	Filed
LATINOPRO INC	CEDARBURG, WI	12/8/2017	00824863-07	Filed
MACKAY MUNICIPAL OPPORTUNITIES HL FUND LP	NEW YORK, NY	12/8/2017	00824852-07	Filed
NORTH NEIGHBORHOOD NOTE FUND LLC	VERONA, WI	12/8/2017	00824860-07	Filed
SOMMERSET PLACE APARTMENTS LLC	WOODLAND HLS, CA	12/8/2017	00824866-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TIDAL PETROLEUM INC	SCHERTZ, TX	12/8/2017	00824864-07	Filed
TIG BANCORP	HOTCHKISS, CO	12/8/2017	00824855-07	Filed
TX OIL PARTNERS LLC NEWPORT 4 LP	DALLAS, TX	12/8/2017	00824854-07	Filed
WP BEVERAGES LLC	WINDSOR, WI	12/8/2017	00824867-07	Filed
5 RIVERS PROPERTY FUND LLC	JACKSON, WY	12/7/2017	00824850-07	Filed
FLYING SPIDER LLC	MILWAUKEE, WI	12/7/2017	00824849-07	Filed
OR PACIFIC BANCORP	FLORENCE, OR	12/4/2017	00824053-07	Filed
Number of Regulation D Notice Filings: 17				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Red Lion Hotels Franchising, Inc. / Signature Inn / Signature	Denver, CO	12/8/2017	618319	Registered
Frontier Adjusters, Inc.	Phoenix, AZ	12/8/2017	618317	Registered
Cheba Hut Franchising, Inc. / Cheba Hut® Restaurant	Fort Collins, CO	12/7/2017	618314	Registered
Frutta Bowls Franchising, L.L.C. / Frutta Bowls	Freehold, NJ	12/6/2017	618312	Registered
The Maids International, Inc. / The Maids	Omaha, NE	12/6/2017	618307	Registered
Challenge Island Global, LLC / Challenge Island	Marietta, GA	12/5/2017	618295	Registered
G.J. Gardner Homes (USA) PTY LTD / G.J. Gardner Homes	Mooloolaba,	12/4/2017	618292	Registered
Number of Franchise Filings: 7				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	