

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/19/2017 through 11/25/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NATIONAL RURAL UTILITIES COOPERATIVE FINANCE CORP	DULLES, VA	11/21/2017	00823205-08	Not Disallowed
COTTONWOOD MULTIFAMILY OPPORTUNITY FUND INC	SALT LAKE CITY, UT	11/20/2017	00823154-25	Filed
LAKELAND COMMUNITY CHURCH INC	LAKE GENEVA, WI	11/20/2017	00823153-10	Not Disallowed
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 567 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 32	WHEATON, IL	11/24/2017	00823631-05	Registered
FT 7003 FINANCIALS SELECT PORTFOLIO SERIES 62	WHEATON, IL	11/24/2017	00823638-05	Registered
FT 7004 UTILITIES SELECT PORTFOLIO SERIES 45	WHEATON, IL	11/24/2017	00823637-05	Registered
FT 7005 HEALTH CARE SELECT PORTFOLIO SERIES 48	WHEATON, IL	11/24/2017	00823634-05	Registered
FT 7006 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 24	WHEATON, IL	11/24/2017	00823635-05	Registered
FT 7031 TOP PICKS NOVEMBER 2017 PORTFOLIO	WHEATON, IL	11/24/2017	00823639-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7036 INTEREST RATE HEDGE OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	11/24/2017	00823641-05	Registered
FT 7063 TACTICAL INCOME OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	11/24/2017	00823632-05	Registered
FT 7068 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 45	WHEATON, IL	11/24/2017	00823640-05	Registered
FT 7070 BOFA MERRILL LYNCH ESG PORTFOLIO SERIES	WHEATON, IL	11/24/2017	00823643-05	Registered
FT 7073 BALANCED INCOME SELECT PORTFOLIO SERIES 73	WHEATON, IL	11/24/2017	00823642-05	Registered
FT 7075 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 32	WHEATON, IL	11/24/2017	00823636-05	Registered
FT 7085 JANUARY EFFECT PORTFOLIO SERIES 7	WHEATON, IL	11/24/2017	00823633-05	Registered
SMART TR 353 SMART TR PREFERRED PLUS TR SERIES 5	PARSIPPANY, NJ	11/24/2017	00823629-05	Registered
SMART TR 354 SMART TR HIGH 20 DIVIDEND STRATEGY TR SERIES 18	PARISIPPANY, NJ	11/24/2017	00823630-05	Registered
BNY MELLON ABSOLUTE INSIGHT FUNDS INC BNY MELLON INSIGHT BROAD OPPORTUNITIES FUND CLASS I	NEW YORK, NY	11/22/2017	00823337-03	Registered
BNY MELLON ABSOLUTE INSIGHT FUNDS INC BNY MELLON INSIGHT BROAD OPPORTUNITIES FUND CLASS A	NEW YORK, NY	11/22/2017	00823336-03	Registered
BNY MELLON ABSOLUTE INSIGHT FUNDS INC BNY MELLON INSIGHT BROAD OPPORTUNITIES FUND CLASS Y	NEW YORK, NY	11/22/2017	00823339-03	Registered

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BNY MELLON ABSOLUTE INSIGHT FUNDS INC BNY MELLON INSIGHT BROAD OPPORTUNITIES FUND CLASS C	NEW YORK, NY	11/22/2017	00823338-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1309 INSURED MUNICIPALS INCOME TR SERIES 698	DOWNERS GROVE, IL	11/21/2017	00823612-05	Pending-Cleared
INVESCO UNIT TR SERIES 1826 US DEFENSE PORTFOLIO 2017 4	DOWNERS GROVE, IL	11/21/2017	00823618-05	Pending-Cleared
INVESCO UNIT TR SERIES 1827 NEW WORLD LEADERS PORTFOLIO 2017 4	DOWNERS GROVE, IL	11/21/2017	00823619-05	Registered
INVESCO UNIT TR SERIES 1828	DOWNERS GROVE, IL	11/21/2017	00823617-05	Registered
INVESCO UNIT TR SERIES 1829	DOWNERS GROVE, IL	11/21/2017	00823616-05	Registered
INVESCO UNIT TR SERIES 1830	DOWNERS GROVE, IL	11/21/2017	00823615-05	Pending-Cleared
INVESCO UNIT TR SERIES 1831	DOWNERS GROVE, IL	11/21/2017	00823614-05	Pending-Cleared
INVESCO UNIT TR SERIES 1832 ESG OPPORTUNITY PORTFOLIO 2018 1	DOWNERS GROVE, IL	11/21/2017	00823613-05	Pending-Cleared
PRUDENTIAL WORLD FUND INC PRUDENTIAL JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS R	NEWARK, NJ	11/21/2017	00823226-03	Registered
CARILLON SERIES TR CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823155-03	Registered
CARILLON SERIES TR CARILLON CLARIVEST INTERNATIONAL STOCK FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823158-03	Registered

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Firm Name	Location	Date Received	File Number	Status
CARILLON SERIES TR CARILLON COUGAR TACTICAL ALLOCATION FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823163-03	Registered
CARILLON SERIES TR CARILLON EAGLE GROWTH & INCOME FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823156-03	Registered
CARILLON SERIES TR CARILLON EAGLE INVESTMENT GRADE BOND FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823157-03	Registered
CARILLON SERIES TR CARILLON EAGLE MID CAP GROWTH FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823159-03	Registered
CARILLON SERIES TR CARILLON EAGLE MID CAP STOCK FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823160-03	Registered
CARILLON SERIES TR CARILLON EAGLE SMALL CAP GROWTH FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823161-03	Registered
CARILLON SERIES TR CARILLON EAGLE SMALLER CO FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823162-03	Registered
CARILLON SERIES TR CARILLON REAMS CORE BOND FUND CLASS A	MILWAUKEE, WI	11/20/2017	00823164-03	Registered
CARILLON SERIES TR CARILLON REAMS CORE BOND FUND CLASS C	MILWAUKEE, WI	11/20/2017	00823165-03	Registered
CARILLON SERIES TR CARILLON REAMS CORE BOND FUND CLASS R 3	MILWAUKEE, WI	11/20/2017	00823166-03	Registered
CARILLON SERIES TR CARILLON REAMS CORE BOND FUND CLASS R 5	MILWAUKEE, WI	11/20/2017	00823167-03	Registered
CARILLON SERIES TR CARILLON REAMS CORE BOND FUND CLASS R 6	MILWAUKEE, WI	11/20/2017	00823168-03	Registered

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Firm Name	Location	Date Received	File Number	Status
CARILLON SERIES TR CARILLON REAMS CORE PLUS BOND FUND CLASS R 5	MILWAUKEE, WI	11/20/2017	00823172-03	Registered
CARILLON SERIES TR CARILLON REAMS CORE PLUS BOND FUND CLASS A	MILWAUKEE, WI	11/20/2017	00823169-03	Registered
CARILLON SERIES TR CARILLON REAMS CORE PLUS BOND FUND CLASS R 6	MILWAUKEE, WI	11/20/2017	00823173-03	Registered
CARILLON SERIES TR CARILLON REAMS CORE PLUS BOND FUND CLASS R 3	MILWAUKEE, WI	11/20/2017	00823171-03	Registered
CARILLON SERIES TR CARILLON REAMS CORE PLUS BOND FUND CLASS C	MILWAUKEE, WI	11/20/2017	00823170-03	Registered
CARILLON SERIES TR CARILLON REAMS LOW DURATION BOND FUND CLASS R 3	MILWAUKEE, WI	11/20/2017	00823182-03	Registered
CARILLON SERIES TR CARILLON REAMS LOW DURATION BOND FUND CLASS R 5	MILWAUKEE, WI	11/20/2017	00823183-03	Registered
CARILLON SERIES TR CARILLON REAMS LOW DURATION BOND FUND CLASS C	MILWAUKEE, WI	11/20/2017	00823181-03	Registered
CARILLON SERIES TR CARILLON REAMS LOW DURATION BOND FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823185-03	Registered
CARILLON SERIES TR CARILLON REAMS LOW DURATION BOND FUND CLASS A	MILWAUKEE, WI	11/20/2017	00823180-03	Registered

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Firm Name	Location	Date Received	File Number	Status
CARILLON SERIES TR CARILLON REAMS LOW DURATION BOND FUND CLASS R 6	MILWAUKEE, WI	11/20/2017	00823184-03	Registered
CARILLON SERIES TR CARILLON REAMS UNCONSTRAINED BOND FUND CLASS R 3	MILWAUKEE, WI	11/20/2017	00823200-03	Registered
CARILLON SERIES TR CARILLON REAMS UNCONSTRAINED BOND FUND CLASS R 5	MILWAUKEE, WI	11/20/2017	00823201-03	Registered
CARILLON SERIES TR CARILLON REAMS UNCONSTRAINED BOND FUND CLASS C	MILWAUKEE, WI	11/20/2017	00823199-03	Registered
CARILLON SERIES TR CARILLON REAMS UNCONSTRAINED BOND FUND CLASS A	MILWAUKEE, WI	11/20/2017	00823198-03	Registered
CARILLON SERIES TR CARILLON REAMS UNCONSTRAINED BOND FUND CLASS R 6	MILWAUKEE, WI	11/20/2017	00823202-03	Registered
CARILLON SERIES TR CARILLON SCOUT INTERNATIONAL FUND CLASS R 6	MILWAUKEE, WI	11/20/2017	00823178-03	Registered
CARILLON SERIES TR CARILLON SCOUT INTERNATIONAL FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823179-03	Registered
CARILLON SERIES TR CARILLON SCOUT INTERNATIONAL FUND CLASS R 5	MILWAUKEE, WI	11/20/2017	00823177-03	Registered
CARILLON SERIES TR CARILLON SCOUT INTERNATIONAL FUND CLASS R 3	MILWAUKEE, WI	11/20/2017	00823176-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CARILLON SERIES TR CARILLON SCOUT INTERNATIONAL FUND CLASS A	MILWAUKEE, WI	11/20/2017	00823174-03	Registered
CARILLON SERIES TR CARILLON SCOUT INTERNATIONAL FUND CLASS C	MILWAUKEE, WI	11/20/2017	00823175-03	Registered
CARILLON SERIES TR CARILLON SCOUT MID CAP FUND CLASS A	MILWAUKEE, WI	11/20/2017	00823186-03	Registered
CARILLON SERIES TR CARILLON SCOUT MID CAP FUND CLASS C	MILWAUKEE, WI	11/20/2017	00823187-03	Registered
CARILLON SERIES TR CARILLON SCOUT MID CAP FUND CLASS R 3	MILWAUKEE, WI	11/20/2017	00823188-03	Registered
CARILLON SERIES TR CARILLON SCOUT MID CAP FUND CLASS R 5	MILWAUKEE, WI	11/20/2017	00823189-03	Registered
CARILLON SERIES TR CARILLON SCOUT MID CAP FUND CLASS R 6	MILWAUKEE, WI	11/20/2017	00823190-03	Registered
CARILLON SERIES TR CARILLON SCOUT MID CAP FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823191-03	Registered
CARILLON SERIES TR CARILLON SCOUT SMALL CAP FUND CLASS A	MILWAUKEE, WI	11/20/2017	00823192-03	Registered
CARILLON SERIES TR CARILLON SCOUT SMALL CAP FUND CLASS C	MILWAUKEE, WI	11/20/2017	00823193-03	Registered
CARILLON SERIES TR CARILLON SCOUT SMALL CAP FUND CLASS R 3	MILWAUKEE, WI	11/20/2017	00823194-03	Registered
CARILLON SERIES TR CARILLON SCOUT SMALL CAP FUND CLASS R 5	MILWAUKEE, WI	11/20/2017	00823195-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CARILLON SERIES TR CARILLON SCOUT SMALL CAP FUND CLASS R 6	MILWAUKEE, WI	11/20/2017	00823196-03	Registered
CARILLON SERIES TR CARILLON SCOUT SMALL CAP FUND CLASS Y	MILWAUKEE, WI	11/20/2017	00823197-03	Registered
NORTHERN LIGHTS FUND TR LADENBURG GROWTH FUND CLASS I SHARES	HAUPPAUGE, NY	11/20/2017	00821348-03	Registered
Number of Investment Company Filings: 77				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ACP VENTURE CAPITAL FUND LLC	HAUPPAUGE, NY	11/24/2017	00823625-07	Filed
LCM FUNDS 42 GRANARY LLC	MILWAUKEE, WI	11/22/2017	00823661-07	Filed
MHEG FUND 48 LP	OMAHA, NE	11/22/2017	00823660-07	Filed
PIKES PEAK HELIUM LP	BOULDER, CO	11/22/2017	00823658-07	Filed
NAT DIAGNOSTICS INC	SAN DIEGO, CA	11/21/2017	00823206-07	Filed
BONGIOVI MEDICAL & HEALTH TECHNOLOGIES LLC	FORT PIERCE, FL	11/20/2017	00823204-07	Filed
Number of Regulation D Notice Filings: 6				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
CHICAGO FRANCHISE SYSTEMS INC / Nancy's Pizza Restaurants / DOUGHOCRACY	Mokena, IL	11/22/2017	618269	Registered
Larada Sciences, Inc. / Lice Clinics of America	Murray, UT	11/22/2017	618268	Registered
N2 Franchising, LLC / Real Producers	Wilmington, NC	11/21/2017	618263	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MTY Franchising USA, Inc. / Thai Express	Scottsdale, AZ	11/20/2017	618261	Registered
The Halal Guys Franchise Inc. / The Halal Guys	Fort Lee, NJ	11/20/2017	618259	Registered
Number of Franchise Filings: 5				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	