

**WI Securities Law and Franchise Law Filings
for 11/5/2017 through 11/11/2017**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FQF TR AGF GLOBAL EQUITY FUND CLASS I	MILWAUKEE, WI	11/10/2017	00821373-03	Registered
FQF TR AGF GLOBAL EQUITY FUND CLASS R6	MILWAUKEE, WI	11/10/2017	00821375-03	Registered
FQF TR AGF GLOBAL SUSTAINABLE GROWTH EQUITY FUND CLASS I	MILWAUKEE, WI	11/10/2017	00821374-03	Registered
FQF TR AGF GLOBAL SUSTAINABLE GROWTH EQUITY FUND CLASS R6	MILWAUKEE, WI	11/10/2017	00821376-03	Registered
Number of Investment Company Filings: 4				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ZIEGLER LINK AGE FUND 2 LP	MILWAUKEE, WI	11/10/2017	00819712-07	Filed
HSI DREXEL RIDGE 2 LLC	BROOKFIELD, WI	11/9/2017	00821353-07	Filed
Number of Regulation D Notice Filings: 2				

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