

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 11/5/2017 through 11/11/2017**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HINES GLOBAL REIT 2 INC	HOUSTON, TX	11/8/2017	00819734-08	Not Disallowed
LIQUID CHURCH NJ NONPROFIT CORP	PARSIPPANY, NJ	11/6/2017	00819554-08	Not Disallowed
<b>Number of Exemption Filings: 2</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FQF TR AGF GLOBAL EQUITY FUND CLASS I	MILWAUKEE, WI	11/10/2017	00821373-03	Registered
FQF TR AGF GLOBAL EQUITY FUND CLASS R6	MILWAUKEE, WI	11/10/2017	00821375-03	Registered
FQF TR AGF GLOBAL SUSTAINABLE GROWTH EQUITY FUND CLASS I	MILWAUKEE, WI	11/10/2017	00821374-03	Registered
FQF TR AGF GLOBAL SUSTAINABLE GROWTH EQUITY FUND CLASS R6	MILWAUKEE, WI	11/10/2017	00821376-03	Registered
AB FIXED INCOME SHARES INC AB GOVERNMENT MONEY MARKET PORTFOLIO CLASS A	NEW YORK, NY	11/8/2017	00819672-03	Registered
AB FIXED INCOME SHARES INC AB GOVERNMENT MONEY MARKET PORTFOLIO CLASS I	NEW YORK, NY	11/8/2017	00819676-03	Registered
AB FIXED INCOME SHARES INC AB GOVERNMENT MONEY MARKET PORTFOLIO CLASS B	NEW YORK, NY	11/8/2017	00819673-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 11/5/2017 through 11/11/2017**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AB FIXED INCOME SHARES INC AB GOVERNMENT MONEY MARKET PORTFOLIO CLASS K	NEW YORK, NY	11/8/2017	00819677-03	Registered
AB FIXED INCOME SHARES INC AB GOVERNMENT MONEY MARKET PORTFOLIO CLASS C	NEW YORK, NY	11/8/2017	00819674-03	Registered
AB FIXED INCOME SHARES INC AB GOVERNMENT MONEY MARKET PORTFOLIO CLASS ADV	NEW YORK, NY	11/8/2017	00819675-03	Registered
AB FIXED INCOME SHARES INC AB GOVERNMENT MONEY MARKET PORTFOLIO CLASS 1	NEW YORK, NY	11/8/2017	00819678-03	Registered
ADVISORS INNER CIRCLE FUND 3 CHIRON SMID OPPORTUNITIES FUND CLASS I SHARES	OAKS, PA	11/8/2017	00819710-03	Registered
FT 6992 TACTICAL INCOME PORTFOLIO SERIES 50	WHEATON, IL	11/6/2017	00819548-05	Registered
FT 6993 SABRIENT DIVIDEND PORTFOLIO SERIES 21	WHEATON, IL	11/6/2017	00819545-05	Registered
FT 6994 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 34	WHEATON, IL	11/6/2017	00819549-05	Pending-Cleared
FT 7008 PRIME INCOME & ETF PORTFOLIO NOVEMBER 2017 SERIES	WHEATON, IL	11/6/2017	00819550-05	Registered
FT 7011 DOW TARGET 10 NOV 17 TERM 12 7 18	WHEATON, IL	11/6/2017	00819551-05	Registered
FT 7015 EQUITY INCOME OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	11/6/2017	00819544-05	Registered
FT 7018 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 29	WHEATON, IL	11/6/2017	00819552-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 11/5/2017 through 11/11/2017**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 7020 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 72	WHEATON, IL	11/6/2017	00819553-05	Registered
FT 7025 CONTENT LEADERS OPPORTUNITY PORTFOLIO SERIES 6	WHEATON, IL	11/6/2017	00819547-05	Registered
FT 7026 CONTENT LEADERS PORTFOLIO SERIES 7	WHEATON, IL	11/6/2017	00819546-05	Registered
FT 7028 BALANCED INCOME PORTFOLIO SERIES 59	WHEATON, IL	11/6/2017	00819542-05	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT INCOME 2040 FUND CLASS R6	BOSTON, MA	11/6/2017	00819367-03	Registered
SMART TR 351 SMART TR CEFA SELECT BDC TR SERIES 11	PARSIPPANY, NJ	11/6/2017	00819543-05	Registered
<b>Number of Investment Company Filings: 25</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CCP PRIVATE HOLDINGS LLC	CHICAGO, IL	11/10/2017	00819714-07	Filed
DG INVESTMENTS 10 LLC	BRENTWOOD, TN	11/10/2017	00819715-07	Filed
EXCHANGERIGHT NET LEASED PORTFOLIO 17 DST	PASADENA, CA	11/10/2017	00819719-07	Filed
GATEWAY ROYALTY 4 LLC	FORT WORTH, TX	11/10/2017	00819711-07	Filed
HEDGEPREMIER MILLENNIUM USA LP	NEW YORK, NY	11/10/2017	00819716-07	Filed
SPARVA LLC	OXFORD, MS	11/10/2017	00821414-07	Filed
WASTEZERO INC	RALEIGH, NC	11/10/2017	00819713-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 11/5/2017 through 11/11/2017**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
WATERS OF OAK CREEK INVESTORS LLC	MINNETONKA, MN	11/10/2017	00819718-07	Filed
ZIEGLER LINK AGE FUND 2 LP	MILWAUKEE, WI	11/10/2017	00819712-07	Filed
HSI DREXEL RIDGE 2 LLC	BROOKFIELD, WI	11/9/2017	00821353-07	Filed
ALPHA SOURCE INC	LOS ANGELES, CA	11/7/2017	00819685-07	Filed
SPARVA HOLDINGS CORP	SEMINOLE, FL	11/7/2017	00819683-07	Filed
TIGER GLOBAL LONG OPPORTUNITIES LP	NEW YORK, NY	11/7/2017	00819684-07	Filed
BEP LEGACY A LLC	HOUSTON, TX	11/6/2017	00819541-07	Filed
<b>Number of Regulation D Notice Filings: 14</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Another Broken Egg of America, LLC / Another Broken Egg Cafe	Miramar Beach, FL	11/10/2017	618231	Registered
ITEX Corporation / ITEX	Bellevue, WA	11/10/2017	618229	Registered
Learning Express, Inc. / Learning Express	Devens, MA	11/8/2017	618226	Registered
Creative Colors International, Inc. / Creative Colors International	Mokena, IL	11/8/2017	618225	Registered
Corporate Connections Franchising, LLC / "Corporate Connections Franchising, LLC" and "CorporateConnections"	Charlotte, NC	11/7/2017	618223	Registered
Aqua-Tots Swim School Holding LLC / Aqua-Tots Swim Schools	Mesa, AZ	11/7/2017	618221	Registered
House of Trix, LLC / House of Trix	Provo, UT	11/6/2017	618220	Registered
<b>Number of Franchise Filings: 7</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	