

**WI Securities Law and Franchise Law Filings  
for 10/29/2017 through 11/4/2017**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS SERIES TR 1ST STATE GLOBAL LISTED INFRASTRUCTURE FUND CLASS I	MILWAUKEE, WI	11/1/2017	00819518-03	Registered
MANAGER DIRECTED PORTFOLIOS VERT GLOBAL SUSTAINABLE REAL ESTATE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	10/31/2017	00819522-03	Registered
<b>Number of Investment Company Filings: 2</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TAI DIAGNOSTICS INC	WAUWATOSA, WI	11/3/2017	00819695-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				