

**WI Securities Law and Franchise Law Filings
for 10/22/2017 through 10/28/2017**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR AAM INSIGHT SELECT INCOME FUND CLASS Y	MILWAUKEE, WI	10/24/2017	00818175-03	Registered
Number of Investment Company Filings: 1				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MLG PF MSP SW INDUSTRIAL CO INVESTOR LLC	BROOKFIELD, WI	10/27/2017	00819467-07	Filed
Number of Regulation D Notice Filings: 1				