

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/22/2017 through 10/28/2017**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BOARD OF CHURCH EXTENSION OF DISCIPLES OF CHRIST INC	INDIANAPOLIS, IN	10/26/2017	00819516-02	Pending
TRINITY BAPTIST CHURCH OF TRACY DBA SOUTHWINDS CHURCH	TRACY, CA	10/26/2017	00819455-02	Pending
SHARESPOST 100 FUND CLASS I SHARES	SAN BRUNO, CA	10/24/2017	00818177-01	Pending
SHARESPOST 100 FUND CLASS L SHARES	SAN BRUNO, CA	10/24/2017	00818178-01	Pending
<b>Number of Registration Filings: 4</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CYTODYN INC	VANCOUVER, WA	10/26/2017	00819453-23	Filed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1ST TR COMBINED SERIES 566 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 36	WHEATON, IL	10/27/2017	00819481-05	Registered
ADVISERS INVESTMENT TR JOHCM GLOBAL INCOME BUILDER FUND CLASS I SHARES	GAHANNA, OH	10/27/2017	00818724-03	Registered
ADVISERS INVESTMENT TR JOHCM GLOBAL INCOME BUILDER FUND INSTITUTIONAL SHARES	GAHANNA, OH	10/27/2017	00818723-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/22/2017 through 10/28/2017**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BAILLIE GIFFORD FUNDS EAFE CHOICE FUND CLASS K	SCOTLAND, UK	10/27/2017	00818506-03	Registered
BAILLIE GIFFORD FUNDS EAFE CHOICE FUND INSTITUTIONAL CLASS	SCOTLAND, UK	10/27/2017	00818505-03	Registered
BAILLIE GIFFORD FUNDS EAFE FUND CLASS K	SCOTLAND, UK	10/27/2017	00818516-03	Registered
BAILLIE GIFFORD FUNDS EAFE FUND INSTITUTIONAL CLASS	SCOTLAND, UK	10/27/2017	00818514-03	Registered
BAILLIE GIFFORD FUNDS EAFE PURE FUND CLASS K	SCOTLAND, UK	10/27/2017	00818509-03	Registered
BAILLIE GIFFORD FUNDS EAFE PURE FUND INSTITUTIONAL CLASS	SCOTLAND, UK	10/27/2017	00818507-03	Registered
BAILLIE GIFFORD FUNDS EMERGING MARKETS FUND CLASS K	SCOTLAND, UK	10/27/2017	00818511-03	Registered
BAILLIE GIFFORD FUNDS EMERGING MARKETS FUND INSTITUTIONAL CLASS	SCOTLAND, UK	10/27/2017	00818515-03	Registered
BAILLIE GIFFORD FUNDS GLOBAL ALPHA EQUITY FUND CLASS K	SCOTLAND, UK	10/27/2017	00818520-03	Registered
BAILLIE GIFFORD FUNDS GLOBAL ALPHA EQUITY FUND INSTITUTIONAL CLASS	SCOTLAND, UK	10/27/2017	00818518-03	Registered
BAILLIE GIFFORD FUNDS INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	SCOTLAND, UK	10/27/2017	00818510-03	Registered
BAILLIE GIFFORD FUNDS INTERNATIONAL EQUITY FUND CLASS K	SCOTLAND, UK	10/27/2017	00818512-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/22/2017 through 10/28/2017**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BAILLIE GIFFORD FUNDS LONG TERM GLOBAL GROWTH EQUITY FUND INSTITUTIONAL CLASS	SCOTLAND, UK	10/27/2017	00818519-03	Registered
BAILLIE GIFFORD FUNDS LONG TERM GLOBAL GROWTH EQUITY FUND CLASS K	SCOTLAND, UK	10/27/2017	00818513-03	Registered
BAILLIE GIFFORD FUNDS US EQUITY GROWTH FUND CLASS K	SCOTLAND, UK	10/27/2017	00818508-03	Registered
BAILLIE GIFFORD FUNDS US EQUITY GROWTH FUND INSTITUTIONAL CLASS	SCOTLAND, UK	10/27/2017	00818517-03	Registered
FT 6973 PREFERRED INCOME OPPORTUNITY PORTFOLIO SERIES 7	WHEATON, IL	10/27/2017	00819478-05	Registered
FT 6987 PREFERRED INCOME PORTFOLIO SERIES 92	WHEATON, IL	10/27/2017	00819487-05	Registered
FT 6988 DIVIDEND STRENGTH PORTFOLIO SERIES 38	WHEATON, IL	10/27/2017	00819483-05	Registered
FT 6989 CAPITAL STRENGTH PORTFOLIO SERIES 40	WHEATON, IL	10/27/2017	00819480-05	Registered
FT 6990 MARKET STRENGTH ALLOCATION SELECT PORTFOLIO SERIES 29	WHEATON, IL	10/27/2017	00819486-05	Registered
FT 6991 DIVERSIFIED INCOME & GROWTH PORTFOLIO WINTER 2017 SERIES	WHEATON, IL	10/27/2017	00819482-05	Registered
FT 7014 STRATEGIC INCOME ADVANTAGE OPPORTUNITY CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	10/27/2017	00819479-05	Registered
SMART TR 349 SMART TR HEALTHCARE INNOVATIONS TR SERIES 4	PARSIPPANY, NJ	10/27/2017	00819484-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/22/2017 through 10/28/2017**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SMART TR 350 SMART TR ZACKS GARP COMPOSITE 35 TR SERIES 15	PARSIPPANY, NJ	10/27/2017	00819485-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1676 FLOATING RATE & DIVIDEND GROWTH PORTFOLIO SERIES 16	LISLE, IL	10/25/2017	00819452-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1685 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 21	LISLE, IL	10/25/2017	00819451-05	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE LARGE CAP FOCUSED FUND INSTITUTIONAL CLASS	CINCINNATI, OH	10/25/2017	00818261-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE LARGE CAP FOCUSED FUND CLASS A	CINCINNATI, OH	10/25/2017	00818265-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE SMALL CO FUND INSTITUTIONAL CLASS	CINCINNATI, OH	10/25/2017	00818263-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE SMALL CO FUND CLASS A	CINCINNATI, OH	10/25/2017	00818262-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE SMALL CO FUND CLASS R6	CINCINNATI, OH	10/25/2017	00818264-03	Registered
FT 6985 MLP CLOSED END PORTFOLIO SERIES 18	WHEATON, IL	10/24/2017	00818164-05	Registered
FT 7023 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 38	WHEATON, IL	10/24/2017	00818165-05	Registered
FT 7024 MULTI SECTOR INCOME PORTFOLIO SERIES 38	WHEATON, IL	10/24/2017	00818163-05	Registered
INVESCO UNIT TR SERIES 1821	DOWNERS GROVE, IL	10/24/2017	00818168-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/22/2017 through 10/28/2017**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESCO UNIT TR SERIES 1822	DOWNERS GROVE, IL	10/24/2017	00818167-05	Pending-Cleared
INVESCO UNIT TR SERIES 1823 GLOBAL WATER PORTFOLIO 2017 4	DOWNERS GROVE, IL	10/24/2017	00818166-05	Pending-Cleared
INVESCO UNIT TR SERIES 1824 CLOSED END STRATEGY SELECT OPPORTUNITY PORTFOLIO 2017 4	DOWNERS GROVE, IL	10/24/2017	00818169-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR AAM INSIGHT SELECT INCOME FUND CLASS Y	MILWAUKEE, WI	10/24/2017	00818175-03	Registered
NORTHERN LIGHTS FUND TR ASCENDANT DEEP VALUE BOND FUND CLASS I SHARES	HAUPPAUGE, NY	10/24/2017	00818050-03	Registered
<b>Number of Investment Company Filings: 44</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AB PRIVATE CREDIT INVESTORS CORP	NEW YORK, NY	10/27/2017	00819459-07	Filed
BASCOM VALUE ADDED APARTMENT INVESTORS 4 LLC	IRVINE, CA	10/27/2017	00819461-07	Filed
ELITE AEROSPACE GROUP INC	TUSTIN, CA	10/27/2017	00819468-07	Filed
GONDOR PARTNERS LTD	GRAND CAYMAN, KY-1109, AA	10/27/2017	00819469-07	Filed
GOOD HILL PARTNERS FUND LP	WESTPORT, CT	10/27/2017	00819460-07	Filed
GTCR CO INVEST 7 LP	CHICAGO, IL	10/27/2017	00819465-07	Filed
GTCR FUND 7 A LP	CHICAGO, IL	10/27/2017	00819464-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/22/2017 through 10/28/2017**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GTCR FUND 7 B LP	CHICAGO, IL	10/27/2017	00819466-07	Filed
GYMBOREE HOLDINGS CORP	SAN FRANCISCO, CA	10/27/2017	00819456-07	Filed
JOSEPH STONE SPECIAL OPPORTUNITY FUND LLC	MINEOLA, NY	10/27/2017	00819471-07	Filed
LIGHTBAY INVESTMENT PARTNERS LP	LOS ANGELES, CA	10/27/2017	00819457-07	Filed
MLG PF MSP SW INDUSTRIAL CO INVESTOR LLC	BROOKFIELD, WI	10/27/2017	00819467-07	Filed
OPEN PRAIRIE RURAL OPPORTUNITIES FUND LP	EFFINGHAM, IL	10/27/2017	00819462-07	Filed
TRUE LINK FINANCIAL INC	SAN FRANCISCO, CA	10/27/2017	00819458-07	Filed
<b>Number of Regulation D Notice Filings: 14</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Tommy's Express, LLC / Tommy's Express Car Wash	Holland, MI	10/27/2017	618199	Registered
Tactical Gaming Systems, Inc. / Team Combat	Schererville, IN	10/27/2017	618198	Registered
Rosati's Franchise & Development, LLC / "Rosati's Pizza" or "Rosati's"	Warrenville, IL	10/27/2017	618197	Registered
VPC Pizza Franchise, LLC / Giordano's® Restaurants	Chicago, IL	10/27/2017	618196	Registered
The Barbers, Hairstyling for Men & Women, Inc. / Smartstyle	Minneapolis, MN	10/27/2017	618190	Registered
GLORIA JEAN'S GOURMET COFFEES FRANCHISING CORP. / Gloria Jean's	Santa Fe Springs, CA	10/27/2017	618189	Registered
FIREHOUSE POTTERY FRANCHISING, LLC / FIREHOUSE POTTERY	SPRINGFIELD, MO	10/27/2017	618188	Registered
Supercuts, Inc. / Supercuts	Minneapolis, MN	10/27/2017	618187	Registered
Roosters MGC International, LLC / Roosters Men's Grooming Center	Minneapolis, MN	10/27/2017	618186	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/22/2017 through 10/28/2017**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
The Barbers, Hairstyling for Men & Women, Inc. / Cost Cutters	Minneapolis, MN	10/27/2017	618185	Registered
Garage Floor Coating Franchise Systems, Inc. / Garagefloorcoating.com	Phoenix, AZ	10/26/2017	618184	Registered
AGILE PURSUITS FRANCHISING, INC / Tide Dry Cleaners	CINCINNATI, OH	10/26/2017	618183	Registered
NM Franchise Operations, LLC / Neat Method	Southaven, MS	10/25/2017	618181	Registered
Jardin Franchising, LLC / Jardin Spanish Immersion Academy	Minneapolis, MN	10/25/2017	618179	Registered
MB Franchising, LLC / Monkey Bizness Franchising	Castle Pines, CO	10/25/2017	618178	Registered
THE COFFEE BEANERY LTD / COFFEE BEANERY	FLUSHING, MI	10/23/2017	618175	Registered
SM Clean L.L.C. / ServiceMaster Plumbing	Memphis, TN	10/23/2017	618174	Registered
Fazoli's Franchising Systems, LLC / Fazoli's Restaurant	Lexington, KY	10/23/2017	618173	Registered
<b>Number of Franchise Filings: 18</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	