

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 10/15/2017 through 10/21/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BANCORP OF NEW GLARUS INC	NEW GLARUS, WI	10/20/2017	00818042-08	Not Disallowed
LIFE CHURCH GREEN BAY INC	DE PERE, WI	10/16/2017	00818237-10	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE RETIREMENT 2020 FUND INSTITUTIONAL 3	BOSTON, MA	10/20/2017	00817919-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE RETIREMENT 2020 FUND ADVISOR	BOSTON, MA	10/20/2017	00817918-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE RETIREMENT 2030 FUND ADVISOR	BOSTON, MA	10/20/2017	00817924-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE RETIREMENT 2030 FUND INSTITUTIONAL 3	BOSTON, MA	10/20/2017	00817922-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE RETIREMENT 2040 FUND INSTITUTIONAL 3	BOSTON, MA	10/20/2017	00817920-03	Registered

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COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE RETIREMENT 2040 FUND ADVISOR	BOSTON, MA	10/20/2017	00817926-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE RETIREMENT 2050 FUND ADVISOR	BOSTON, MA	10/20/2017	00817921-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE RETIREMENT 2050 FUND INSTITUTIONAL 3	BOSTON, MA	10/20/2017	00817923-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE RETIREMENT 2060 FUND ADVISOR	BOSTON, MA	10/20/2017	00817925-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE RETIREMENT 2060 FUND INSTITUTIONAL 3	BOSTON, MA	10/20/2017	00817927-03	Registered
DEUTSCHE PORTFOLIO TR DEUTSCHE TOTAL RETURN BOND FUND CLASS R SHARES	CHICAGO, IL	10/20/2017	00818033-03	Registered
FIDELITY SALEM STREET TR FIDELITY SHORT TERM BOND INDEX FUND INSTITUTIONAL PREMIUM CLASS	BOSTON, MA	10/20/2017	00817917-03	Registered
FIDELITY SALEM STREET TR FIDELITY SHORT TERM BOND INDEX FUND INVESTOR CLASS	BOSTON, MA	10/20/2017	00817914-03	Registered
FIDELITY SALEM STREET TR FIDELITY SHORT TERM BOND INDEX FUND INSTITUTIONAL CLASS	BOSTON, MA	10/20/2017	00817915-03	Registered
FIDELITY SALEM STREET TR FIDELITY SHORT TERM BOND INDEX FUND PREMIUM CLASS	BOSTON, MA	10/20/2017	00817916-03	Registered

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1674 TECHNOLOGY PORTFOLIO SERIES 22	LISLE, IL	10/20/2017	00818043-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1675 RISING RATE DEFENSIVE EQUITY PORTFOLIO SERIES 9	LISLE, IL	10/20/2017	00818044-05	Registered
1ST TR COMBINED SERIES 561 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO LONG TERM SERIES 28	WHEATON, IL	10/19/2017	00818191-05	Registered
1ST TR COMBINED SERIES 565 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO INTERMEDIATE SERIES 24	WHEATON, IL	10/19/2017	00818198-05	Registered
ADVISORS DISCIPLINED TR 1828 DIVIDEND RULER COVERED CALL PORTFOLIO 15 MONTH SERIES 2017 4Q	MONUMENT, CO	10/19/2017	00818206-05	Pending-Cleared
FT 6834 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 44	WHEATON, IL	10/19/2017	00818190-05	Registered
FT 6964 DEEP VALUE DIVIDEND OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	10/19/2017	00818194-05	Registered
FT 6965 INCOME DIVIDEND EQUITY ALLOCATION IDEA PORTFOLIO SERIES 14	WHEATON, IL	10/19/2017	00818203-05	Registered
FT 6967 BROOKMONT EQUITY DIVIDEND PORTFOLIO SERIES 13	WHEATON, IL	10/19/2017	00818189-05	Registered
FT 6968 TECHNOLOGY OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	10/19/2017	00818202-05	Registered
FT 6969 ETF GROWTH & INCOME OCT 17	WHEATON, IL	10/19/2017	00818188-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 6970 HIGH DIVIDEND EQUITY OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	10/19/2017	00818200-05	Registered
FT 6971 HEALTH CARE OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	10/19/2017	00818195-05	Registered
FT 6980 SENIOR LOAN & DIVIDEND GROWERS PORTFOLIO SERIES 16	WHEATON, IL	10/19/2017	00818201-05	Registered
FT 6984 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 27	WHEATON, IL	10/19/2017	00818199-05	Registered
FT 6986 BALANCED INCOME SELECT PORTFOLIO SERIES 72	WHEATON, IL	10/19/2017	00818196-05	Registered
FT 7007 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 35	WHEATON, IL	10/19/2017	00818193-05	Registered
FT 7010 BOFA MERRILL LYNCH SAFER WORLD SOLUTIONS PORTFOLIO SERIES 2	WHEATON, IL	10/19/2017	00818197-05	Registered
FT 7013 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 90	WHEATON, IL	10/19/2017	00818192-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1673 S&P DIVIDEND ARISTOCRATS SELECT 25 STRATEGY PORTFOLIO SERIES 9	LISLE, IL	10/19/2017	00818205-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1673 US 50 DIVIDEND STRATEGY PORTFOLIO SERIES 14	LISLE, IL	10/19/2017	00818204-05	Registered
SMART TR 347 SMART TR DYNAMIC SECTOR INCOME TR SERIES 19	PARSIPPANY, NJ	10/19/2017	00818187-05	Registered
SMART TR 348 SMART TR SMART 10 TR SERIES 10	PARSIPPANY, NJ	10/19/2017	00818186-05	Registered

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Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR 2 PHASECAPITAL DYNAMIC MULTI ASSET GROWTH FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	10/18/2017	00818220-03	Registered
RBB FUND INC BOSTON PARTNERS EMERGING MARKETS FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	10/17/2017	00818785-03	Registered
ADVISORS DISCIPLINED TR 1825 ANGELS PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	10/16/2017	00818226-05	Registered
ADVISORS DISCIPLINED TR 1825 BEST IDEAS STRATEGY PORTFOLIO SERIES 2017 4Q HIMCO PORTFOLIO	MONUMENT, CO	10/16/2017	00818233-05	Registered
ADVISORS DISCIPLINED TR 1825 BRAND FAVORITES FOCUS PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	10/16/2017	00818234-05	Registered
ADVISORS DISCIPLINED TR 1825 BULLDOG PORTFOLIO SERIES 2017 4Q CJL PORTFOLIO	MONUMENT, CO	10/16/2017	00818235-05	Registered
ADVISORS DISCIPLINED TR 1825 GLOBAL DIVIDEND STRATEGY PORTFOLIO SERIES 2017 4Q HIMCO PORTFOLIO	MONUMENT, CO	10/16/2017	00818236-05	Registered
ADVISORS DISCIPLINED TR 1825 GLOBAL TECHNOLOGY PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	10/16/2017	00818224-05	Registered
ADVISORS DISCIPLINED TR 1825 NASDAQ Q 50 INDEXSM PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	10/16/2017	00818232-05	Registered
ADVISORS DISCIPLINED TR 1825 STRATEGIC HIGH 50 Q 50 DIVIDEND & GROWTH PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	10/16/2017	00818223-05	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1826 DIVIDEND RULER PORTFOLIO SERIES 2017D	MONUMENT, CO	10/16/2017	00818227-05	Registered
ADVISORS DISCIPLINED TR 1827 FINANCIAL OPPORTUNITIES PORTFOLIO SERIES 2017 4	MONUMENT, CO	10/16/2017	00818225-05	Registered
ADVISORS DISCIPLINED TR 1827 UBIQUITOUS STRATEGY PORTFOLIO SERIES 2017 4	MONUMENT, CO	10/16/2017	00818231-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1671 GUGGENHEIM BALANCED INCOME BUILDER PORTFOLIO SERIES 21	LISLE, IL	10/16/2017	00818228-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1672 ALTERNATIVE INCOME PORTFOLIO SERIES 10	LISLE, IL	10/16/2017	00818229-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1683 FINANCIALS PORTFOLIO SERIES 10	LISLE, IL	10/16/2017	00818230-05	Registered
USA MUTUALS USA MUTUALS WAVE FRONT HEDGED QUANTAMENTAL OPPORTUNITIES FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	10/16/2017	00818238-03	Registered
Number of Investment Company Filings: 55				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
80 ASSOC LLC	MINNEAPOLIS, MN	10/20/2017	00816861-07	Filed
ACCESS HEALTHNET HOLDINGS INC	MILWAUKEE, WI	10/20/2017	00818046-07	Filed
ACCESS HEALTHNET HOLDINGS INC	GLENBEULAH, WI	10/20/2017	00818048-07	Filed

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Firm Name	Location	Date Received	File Number	Status
ACCESS HEALTHNET HOLDINGS INC	MILWAUKEE, WI	10/20/2017	00818049-07	Filed
AEW CORE PROPERTY TR US INC	BOSTON, MA	10/20/2017	00816849-07	Filed
CANAL STREET INVETMENTS LLC	MILWAUKEE, WI	10/20/2017	00818045-07	Filed
EXICURE INC	SKOKIE, IL	10/20/2017	00816855-07	Filed
FORT BEND SALT DOME 1 LP	BOWLING GREEN, KY	10/20/2017	00816847-07	Filed
FRANCISCO PARTNERS 5 LP	SAN FRANCISCO, CA	10/20/2017	00816857-07	Filed
GSO PRIVATE INVESTORS 3 LLC	NEW YORK, NY	10/20/2017	00816854-07	Filed
HARI MARI LLC	DALLAS, TX	10/20/2017	00816852-07	Filed
INVENRA INC	MADISON, WI	10/20/2017	00816846-07	Filed
MANUS BIO INC	CAMBRIDGE, MA	10/20/2017	00816856-07	Filed
MIDOCEAN PARTNERS 5 LP	NEW YORK, NY	10/20/2017	00816860-07	Filed
N MORGAN'S BLUFF FIELD JOINT VENTURE	SAN ANTONIO, TX	10/20/2017	00816845-07	Filed
NAM SPECIAL SITUATIONS FUND 4 LLC WUP SERIES 1	NEW YORK, NY	10/20/2017	00816848-07	Filed
OWL VENTURES 2 LP	SAN FRANCISCO, CA	10/20/2017	00816853-07	Filed
RESONANT INC	GOLETA, CA	10/20/2017	00816863-07	Filed
SERENT CAPITAL 3 LP	SAN FRANCISCO, CA	10/20/2017	00816858-07	Filed
SERENT CAPITAL ASSOC 3 LP	SAN FRANCISCO, CA	10/20/2017	00816859-07	Filed
TABLECLOTH INC	SAN DIEGO, CA	10/20/2017	00818047-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WEFUNDS DEPICT 1 SERIES OF WEFUNDS LLC	CAMBRIDGE, MA	10/20/2017	00816850-07	Filed
CAPITAL REAL ESTATE BUTTES LLC	DENVER, CO	10/17/2017	00818786-07	Filed
EB5 CAPITAL JOBS FUND 23 LP	BETHESDA, MD	10/17/2017	00818787-07	Filed
Number of Regulation D Notice Filings: 24				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The C12 Group, LLC	San Antonio, TX	10/20/2017	618171	Registered
Auto-Lab Franchising, LLC / Auto-Lab Complete Care Care Centers	Auburn Hills, MI	10/20/2017	618170	Registered
The Screenmobile Corporation / Screenmobile	Thousand Palms, CA	10/17/2017	618167	Registered
Paramount Franchising, LLC / Paramount	WEST PALM BEACH, FL	10/17/2017	618166	Registered
WSR Franchise, LLC / We Sell Restaurants	Flagler Beach, FL	10/17/2017	618165	Registered
1 800 FLOWERS.COM FRANCHISE CO INC / 1-800-FLOWERS; 1-800-FLOWERS.COM	CARLE PLACE, NY	10/16/2017	618164	Registered
CTRL V Partners LLC / CTRL V	Waterloo, ON	10/16/2017	618163	Registered
Number of Franchise Filings: 7				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	