

**WI Securities Law and Franchise Law Filings
for 10/8/2017 through 10/14/2017**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BRANDES INVESTMENT TR BRANDES CORE PLUS FIXED INCOME FUND CLASS R6	MILWAUKEE, WI	10/10/2017	00815731-03	Registered
Number of Investment Company Filings: 1				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LW AQUA LLC	SHARON, WI	10/13/2017	00815874-07	Filed
PARK EAST HOLDCO LLC	MILWAUKEE, WI	10/13/2017	00815862-07	Filed
Number of Regulation D Notice Filings: 2				