

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 10/1/2017 through 10/7/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HEARTLAND CHURCH INC	SOUTHAVEN, MS	10/5/2017	00815501-02	Pending
STRATEGIC STUDENT & SENIOR HOUSING TR INC	LADERA RANCH, CA	10/2/2017	00815100-01	Pending-Cleared
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RED MOUNTAIN VENTURES LP	ROSSLAND, ON	10/4/2017	00815579-25	Filed
FUNDRISE NATIONAL FOR SALE HOUSING EFUND LLC	WASHINGTON, DC	10/2/2017	00815065-25	Filed
HYTELE INC	SOLANA BEACH, CA	10/2/2017	00814988-25	Filed
WASILLA CHRISTIAN CHURCH	WASILLA, AK	10/2/2017	00815589-10	Not Disallowed
Number of Exemption Filings: 4				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1823 BLUE CHIP COVERED CALL PORTFOLIO 15 MONTH SERIES 2017 4Q	MONUMENT, CO	10/6/2017	00815488-05	Registered
ADVISORS DISCIPLINED TR 1824 INCOME QUALITY COVERED CALL PORTFOLIO 15 MONTH SERIES 2017 4Q	MONUMENT, CO	10/6/2017	00815487-05	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DFA CA MUNICIPAL REAL RETURN PORTFOLIO INSTITUTIONAL CLASS	AUSTIN, TX	10/6/2017	00815437-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6755 TARGET DIVERSIFIED GLOBAL ALLOCATION PORTFOLIO SERIES 25	WHEATON, IL	10/6/2017	00815494-05	Registered
FT 6889 DOW TARGET 10 OCT 17 TERM 11 9 18	WHEATON, IL	10/6/2017	00815489-05	Registered
FT 6890 DOW TARGET 5 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815470-05	Registered
FT 6890 DOW TARGET DVD 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815483-05	Registered
FT 6890 GLOBAL TARGET 15 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815471-05	Registered
FT 6890 S&P DIVIDEND ARISTOCRATS TARGET 25 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815484-05	Registered
FT 6890 S&P TARGET 24 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815473-05	Registered
FT 6890 S&P TARGET SMID 60 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815475-05	Registered
FT 6890 TARGET DBL PLAY 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815472-05	Registered
FT 6890 TARGET DIVSD DVD 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815476-05	Registered
FT 6890 TARGET FOCUS 4 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815474-05	Registered
FT 6890 TARGET GLOBAL DVD LEADERS 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815482-05	Registered
FT 6890 TARGET GROWTH 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815477-05	Registered
FT 6890 TARGET TRIAD 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815478-05	Registered
FT 6890 TARGET VIP 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815479-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 6890 VALUE LINE TARGET 25 4Q 17 TERM 1 9 19	WHEATON, IL	10/6/2017	00815481-05	Registered
FT 6909 RICHARD BERNSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 24	WHEATON, IL	10/6/2017	00815495-05	Registered
FT 6951 DIVERSIFIED FIXED INCOME ETF PORTFOLIO SERIES 32	WHEATON, IL	10/6/2017	00815468-05	Registered
FT 6952 60 40 STRATEGIC ALLOCATION PORT 4Q 17 TERM 1 16 19	WHEATON, IL	10/6/2017	00815469-05	Registered
FT 6952 75 25 STRATEGIC ALLOCATION PORT 4Q 17 TERM 1 16 19	WHEATON, IL	10/6/2017	00815480-05	Registered
FT 6953 40 60 STRATEGIC ALLOCATION PORT 4Q 17 TERM 1 17 19	WHEATON, IL	10/6/2017	00815493-05	Registered
FT 6954 1ST TR ETF ALLOCATION PORTFOLIO SERIES 22	WHEATON, IL	10/6/2017	00815491-05	Registered
FT 6957 STRATEGIC INTERNATIONAL OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	10/6/2017	00815492-05	Registered
FT 6958 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 77	WHEATON, IL	10/6/2017	00815485-05	Registered
FT 6960 SABRIENT SMALL CAP GROWTH PORTFOLIO SERIES 16	WHEATON, IL	10/6/2017	00815486-05	Registered
FT 6982 E COMMERCE OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	10/6/2017	00815490-05	Registered
FT 7002 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 50	WHEATON, IL	10/6/2017	00815496-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
IVY FUNDS IVY BOND FUND CLASS E SHARES	SHAWNEE MISSION, KS	10/6/2017	00815600-03	Registered
IVY FUNDS IVY BOND FUND CLASS I SHARES	SHAWNEE MISSION, KS	10/6/2017	00815604-03	Registered
IVY FUNDS IVY BOND FUND CLASS N SHARES	SHAWNEE MISSION, KS	10/6/2017	00815601-03	Registered
IVY FUNDS IVY BOND FUND CLASS R SHARES	SHAWNEE MISSION, KS	10/6/2017	00815602-03	Registered
IVY FUNDS IVY GOVERNMENT SECURITIES FUND CLASS E SHARES	SHAWNEE MISSION, KS	10/6/2017	00815603-03	Registered
IVY FUNDS IVY GOVERNMENT SECURITIES FUND CLASS I SHARES	SHAWNEE MISSION, KS	10/6/2017	00815605-03	Registered
IVY FUNDS IVY GOVERNMENT SECURITIES FUND CLASS N SHARES	SHAWNEE MISSION, KS	10/6/2017	00815606-03	Registered
IVY FUNDS IVY GOVERNMENT SECURITIES FUND CLASS R SHARES	SHAWNEE MISSION, KS	10/6/2017	00815607-03	Registered
USA MUTUALS USA MUTUALS NAVIGATOR FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	10/6/2017	00815498-03	Registered
OLDEN LANE TR SERIES 9 OLDEN LANE TARGET OUTCOME FUND 4	PRINCETON, NJ	10/5/2017	00815590-05	Pending-Cleared
FIDELITY SALEM STREET TR FIDELITY INTERMEDIATE TREASURY BOND INDEX FUND INSTITUTIONAL PREMIUM CLASS	BOSTON, MA	10/4/2017	00815379-03	Registered
FIDELITY SALEM STREET TR FIDELITY INTERMEDIATE TREASURY BOND INDEX FUND INSTITUTIONAL CLASS	BOSTON, MA	10/4/2017	00815381-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
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FIDELITY SALEM STREET TR FIDELITY LONG TERM TREASURY BOND INDEX FUND INSTITUTIONAL CLASS	BOSTON, MA	10/4/2017	00815380-03	Registered
FIDELITY SALEM STREET TR FIDELITY LONG TERM TREASURY BOND INDEX FUND INSTITUTIONAL PREMIUM CLASS	BOSTON, MA	10/4/2017	00815382-03	Registered
FIDELITY SALEM STREET TR FIDELITY SHORT TERM TREASURY BOND INDEX FUND INSTITUTIONAL PREMIUM CLASS	BOSTON, MA	10/4/2017	00815378-03	Registered
FIDELITY SALEM STREET TR FIDELITY SHORT TERM TREASURY BOND INDEX FUND INSTITUTIONAL CLASS	BOSTON, MA	10/4/2017	00815377-03	Registered
MUTUAL FUND SERIES TR JAG LARGE CAP GROWTH FUND CLASS I	HAUPPAUGE, NY	10/4/2017	00815359-03	Registered
NORTHERN LIGHTS FUND TR 3 COVERED BRIDGE FUND CLASS I SHARES	HAUPPAUGE, NY	10/4/2017	00815358-03	Registered
NORTHERN LIGHTS FUND TR GRANT PARK ABSOLUTE RETURN FUND CLASS A	HAUPPAUGE, NY	10/4/2017	00815354-03	Registered
NORTHERN LIGHTS FUND TR GRANT PARK ABSOLUTE RETURN FUND CLASS N	HAUPPAUGE, NY	10/4/2017	00815357-03	Registered
NORTHERN LIGHTS FUND TR GRANT PARK ABSOLUTE RETURN FUND CLASS C	HAUPPAUGE, NY	10/4/2017	00815355-03	Registered
NORTHERN LIGHTS FUND TR GRANT PARK ABSOLUTE RETURN FUND CLASS I	HAUPPAUGE, NY	10/4/2017	00815356-03	Registered
VANGUARD WORLD FUND VANGUARD GLOBAL WELLESLEY INCOME FUND ADMIRAL SHARES	VALLEY FORGE, PA	10/3/2017	00815017-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VANGUARD WORLD FUND VANGUARD GLOBAL WELLESLEY INCOME FUND INVESTOR SHARES	VALLEY FORGE, PA	10/3/2017	00815016-03	Pending-Cleared
VANGUARD WORLD FUND VANGUARD GLOBAL WELLINGTON FUND ADMIRAL SHARES	VALLEY FORGE, PA	10/3/2017	00815019-03	Pending-Cleared
VANGUARD WORLD FUND VANGUARD GLOBAL WELLINGTON FUND INVESTOR SHARES	VALLEY FORGE, PA	10/3/2017	00815018-03	Pending-Cleared
ADVISORS DISCIPLINED TR 1822 BALANCED PORTFOLIO SERIES 2017 4	MONUMENT, CO	10/2/2017	00815076-05	Registered
ADVISORS DISCIPLINED TR 1822 COHEN & STEERS EQUITY DIVIDEND & INCOME CLOSED END PORTFOLIO SERIES 2017 4	MONUMENT, CO	10/2/2017	00815079-05	Registered
BROWN ADVISORY FUNDS BROWN ADVISORY MID CAP GROWTH FUND INVESTOR SHARES	MILWAUKEE, WI	10/2/2017	00814987-03	Registered
FT 6887 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 4Q 17 TERM 1 15 19	WHEATON, IL	10/2/2017	00815073-05	Registered
FT 6888 INCOME ALLOCATION CLOSED END 4Q 17	WHEATON, IL	10/2/2017	00815071-05	Registered
FT 6955 MARKET STRENGTH ALLOCATION OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	10/2/2017	00815070-05	Registered
FT 6977 INTEREST RATE HEDGE & ETF PORTFOLIO SERIES 18	WHEATON, IL	10/2/2017	00815069-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1678 CONVERTIBLE & INCOME PORTFOLIO OF FUNDS SERIES 27	LISLE, IL	10/2/2017	00815078-05	Registered

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1679 ENHANCED QUALITY 16 STRATEGY PORTFOLIO SERIES 9	LISLE, IL	10/2/2017	00815075-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1680 STRATEGIC INCOME PORTFOLIO SERIES 99	LISLE, IL	10/2/2017	00815074-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1682 S&P GLOBAL DIVIDEND ARISTOCRATS SELECT 25 STRATEGY PORTFOLIO SERIES 5	LISLE, IL	10/2/2017	00815077-05	Registered
NORTHERN LIGHTS FUND TR 4 ANCHOR TACTICAL EQUITY STRATEGIES FUND INSTITUTIONAL CLASS SHARES	OMAHA, NE	10/2/2017	00814975-03	Registered
NORTHERN LIGHTS FUND TR 4 ANCHOR TACTICAL MUNICIPAL STRATEGIES FUND INSTITUTIONAL CLASS SHARES	OMAHA, NE	10/2/2017	00814974-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC PRUDENTIAL JENNISON GROWTH FUND CLASS Q	NEWARK, NJ	10/2/2017	00814986-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA HEDGED HIGH INCOME PORTFOLIO CLASS I SHARES	HAUPPAUGE, NY	10/2/2017	00814976-03	Registered
SMART TR 343 SMART TR STRATEGIC GROWTH & INCOME TR SERIES 13	WHEATON, IL	10/2/2017	00815072-05	Registered
Number of Investment Company Filings: 72				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
37P HILLCRESTE LLC	RICHMOND, VA	10/6/2017	00815588-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALLIANCE ENERGY FUND 2017 A LP	OVERLAND PARK, KS	10/6/2017	00815585-07	Filed
CALDERA APARTMENTS LLC	WOODLAND HLS, CA	10/6/2017	00815586-07	Filed
DENVER MSA MULTIFAMILY 2 DST	OAK BROOK, IL	10/6/2017	00815587-07	Filed
GMI NOMA LP	IRVING, TX	10/6/2017	00815582-07	Filed
SILICON TURBINE SYSTEMS INC	CUPERTINO, CA	10/6/2017	00815497-07	Filed
STRATEGIC STUDENT & SENIOR HOUSING TR	LADERA RANCH, CA	10/6/2017	00815581-07	Filed
TRIVEST FUND 6 LP	CORAL GABLES, FL	10/6/2017	00815584-07	Filed
WATERTOWN DC MADISON HOTEL LLC	CHICAGO, IL	10/6/2017	00815583-07	Filed
ASPIRIANT HOLDINGS LLC	LOS ANGELES, CA	10/5/2017	00815580-07	Filed
LODGING OPPORTUNITY FUND 2 LP	FARGO, ND	10/3/2017	00815020-07	Filed
ARMADA EQUITY SKY VALLEY LLC	MILWAUKEE, WI	10/2/2017	00815066-07	Filed
USED C 2017 A DRILLING FUND LP	GETZVILLE, NY	10/2/2017	00815068-07	Filed
Number of Regulation D Notice Filings: 13				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TGS National Franchise, LLC / The Green Solution Retail Program	Denver, CO	10/6/2017	618143	Registered
Flying Biscuit Franchising, Inc. / Daryl Dollinger	Atlanta, GA	10/4/2017	618136	Registered
Burger 21 International, Inc. / Burger 21	Tampa, FL	10/4/2017	618135	Registered
Acai Industries, Inc. / SoBol	Bohemia, NY	10/4/2017	618134	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
FLORAL IMAGE (USA), LLC / Floral Image	North Adelaide,	10/3/2017	618131	Registered
That Something, LLC / The Specific Chiropractic Centers	Fort Wayne, IN	10/3/2017	618130	Registered
Reis & Irvy's, Inc. / Reis & Irvy's	San Diego, CA	10/2/2017	618124	Registered
TGS National Franchise, LLC / Nectarbee Manufacturing Program	Denver, CO	10/2/2017	618122	Registered
Maximized Living Health Centers, LP / MAXIMIZEDLIVING	Celebration, FL	10/2/2017	618121	Registered
Stacked Pickle Franchising, LLC / Stacked Pickle	Indianapolis, IN	10/2/2017	618105	Registered
Number of Franchise Filings: 10				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	