

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/3/2017 through 9/9/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TOTAL INCOME PLUS REAL ESTATE FUND CLASS L SHARES	HAUPPAUGE, NY	9/5/2017	00812980-01	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
J B LEVERT LAND CO LLC	METAIRIE, LA	9/7/2017	00813166-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 560 TX EX MUNICIPAL INCOME TR SERIES 299	WHEATON, IL	9/8/2017	00813160-05	Registered
1ST TR COMBINED SERIES 563 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 31	WHEATON, IL	9/8/2017	00813158-05	Registered
AB CORPORATE SHARES AB IMPACT MUNICIPAL INCOME SHARES	NEW YORK, NY	9/8/2017	00813102-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON SHAPIRO EQUITY OPPORTUNITIES FUND INSTITUTIONAL CLASS	IRVING, TX	9/8/2017	00813103-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SHAPIRO EQUITY OPPORTUNITIES FUND INVESTOR CLASS	IRVING, TX	9/8/2017	00813104-03	Registered

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AMERICAN BEACON FUNDS AMERICAN BEACON SHAPIRO EQUITY OPPORTUNITIES FUND Y CLASS	IRVING, TX	9/8/2017	00813105-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SHAPIRO SMID CAP EQUITY FUND Y CLASS	IRVING, TX	9/8/2017	00813108-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SHAPIRO SMID CAP EQUITY FUND INVESTOR CLASS	IRVING, TX	9/8/2017	00813107-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SHAPIRO SMID CAP EQUITY FUND INSTITUTIONAL CLASS	IRVING, TX	9/8/2017	00813106-03	Registered
FT 6779 DEFENSIVE EQUITY PORTFOLIO SERIES 42	WHEATON, IL	9/8/2017	00813163-05	Registered
FT 6806 CYBERSECURITY PORTFOLIO SERIES 9	WHEATON, IL	9/8/2017	00813161-05	Registered
FT 6812 CLOUD COMPUTING PORTFOLIO SERIES 18	WHEATON, IL	9/8/2017	00813159-05	Registered
FT 6821 SABRIENT DEFENSIVE EQUITY PORTFOLIO SERIES 13	WHEATON, IL	9/8/2017	00813162-05	Registered
FT 6913 MULTI SECTOR INCOME PORTFOLIO SERIES 37	WHEATON, IL	9/8/2017	00813157-05	Registered
TRANSAMERICA FUNDS TRANSAMERICA BALANCED 2 CLASS R	ST. PETERSBURG, FL	9/8/2017	00813109-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1658 UBS OPPORTUNISTIC EQUITY INCOME LIST EQUITY ADVISORY GROUP 2017 3 SERIES 10	LISLE, IL	9/7/2017	00813164-05	Registered

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Firm Name	Location	Date Received	File Number	Status
PRINCIPAL FUNDS INC BLUE CHIP FUND CLASS J	DES MOINES, IA	9/7/2017	00813152-03	Registered
Number of Investment Company Filings: 17				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLACK BUFFALO INC	CHICAGO, IL	9/8/2017	00813175-07	Filed
CORDILLERA CRYSTALLEX CO INVESTMENT FUND 2 LP	MENLO PARK, CA	9/8/2017	00813179-07	Filed
FOREVERCAR HOLDINGS INC	CHICAGO, IL	9/8/2017	00813181-07	Filed
GRYPHON MEZZANINE PARTNERS LP	SAN FRANCISCO, CA	9/8/2017	00813178-07	Filed
ICONIQ ACCESS 63 LP	SAN FRANCISCO, CA	9/8/2017	00813180-07	Filed
IRX THERAPEUTICS INC	NEW YORK, NY	9/8/2017	00813182-07	Filed
LARSON CAPITAL FUND 5 LLC	ST LOUIS, MO	9/8/2017	00813174-07	Filed
OTH FUND 1 LP	LOS ANGELES, CA	9/8/2017	00813172-07	Filed
PROTOCOL LABS INC	SAN FRANCISCO, CA	9/8/2017	00813173-07	Filed
S&R INCOME FUND 1 LP	LOS ANGELES, CA	9/8/2017	00813171-07	Filed
SAN FELASCO NURSERIES INC	GAINESVILLE, FL	9/8/2017	00813176-07	Filed
EXPLORER SURGICAL CORP	CHICAGO, IL	9/7/2017	00813214-07	Filed
LATIGO ULTRA FUND LP	NEW YORK, NY	9/7/2017	00813213-07	Filed
OURCROWD 50 LP	JERUSALEM, AA	9/6/2017	00813081-07	Filed
PREMIER HOLDING CORP	TUSTIN, CA	9/6/2017	00813083-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PREMIER HOLDING CORP	TUSTIN, CA	9/6/2017	00813084-07	Filed
Number of Regulation D Notice Filings: 16				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Ben's Barketplace, Inc. / Ben's Barketplace	Roseville, CA	9/8/2017	618037	Registered
The Crafty Project Franchising, Inc. / THE CRAFTY PROJECT	Marshfield, MA	9/7/2017	618035	Registered
Clubstore Outlet LLC / Clubstore Outlet	Charleston, SC	9/7/2017	618034	Registered
Multivista Systems LLC / Multivista	Phoenix, AZ	9/6/2017	618032	Registered
Party Princess International LLC / Party Princess Productions	Orange, CA	9/5/2017	618025	Registered
Pet Butler, LLC / Pet Butler	Plainfield, IL	9/5/2017	618024	Registered
Vimana Franchise Systems LLC / Centerstone Inn, Suites, Inn & Suites, Hotel, & Plaza Hotel	Birmingham, AL	9/5/2017	618023	Registered
Legg SMS Inc / Superior Mosquito Defense	South Decatur, AL	9/5/2017	618021	Registered
Number of Franchise Filings: 8				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	