

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/27/2017 through 9/2/2017**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FS CREDIT INCOME FUND	PHILADELPHIA, PA	8/28/2017	00812789-01	Pending
I HEART CHURCH CHURCH IN WV INC	MOUNT HOPE, WV	8/28/2017	00812788-02	Pending
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
RELIANCE CHURCH	TEMECULA, CA	8/29/2017	00812791-10	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GOLDMAN SACHS TR GOLDMAN SACHS TACTICAL EXPOSURE FUND INSTITUTIONAL SHARES	CHICAGO, IL	9/1/2017	00812988-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS TACTICAL EXPOSURE FUND CLASS R6 SHARES	CHICAGO, IL	9/1/2017	00812989-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME 2065 FUND INSTITUTIONAL CLASS	DES MOINES, IA	9/1/2017	00812990-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME 2065 FUND CLASS R 1	DES MOINES, IA	9/1/2017	00812991-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME 2065 FUND CLASS R 2	DES MOINES, IA	9/1/2017	00812992-03	Registered

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PRINCIPAL FUNDS INC PRINCIPAL LIFETIME 2065 FUND CLASS R 3	DES MOINES, IA	9/1/2017	00812994-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME 2065 FUND CLASS R 4	DES MOINES, IA	9/1/2017	00812996-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME 2065 FUND CLASS R 5	DES MOINES, IA	9/1/2017	00812993-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2065 FUND CLASS R 6	DES MOINES, IA	9/1/2017	00812997-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2065 FUND INSTITUTIONAL CLASS	DES MOINES, IA	9/1/2017	00812995-03	Registered
ADVISORS DISCIPLINED TR 1813 BUILDING AMERICA STRATEGY PORTFOLIO SERIES 2017 3	MONUMENT, CO	8/31/2017	00813204-05	Registered
ADVISORS DISCIPLINED TR 1813 COHEN & STEERS SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO SERIES 2017 3	MONUMENT, CO	8/31/2017	00813200-05	Registered
ADVISORS DISCIPLINED TR 1813 EMERGING MARKETS DIVIDEND PORTFOLIO SERIES 2017 3Q	MONUMENT, CO	8/31/2017	00813207-05	Registered
ADVISORS DISCIPLINED TR 1813 HEALTH CARE OPPORTUNITIES PORTFOLIO SERIES 2017 3	MONUMENT, CO	8/31/2017	00813205-05	Registered
ADVISORS DISCIPLINED TR 1814 COHEN & STEERS MUNICIPAL CLOSED END PORTFOLIO SERIES 2017 3	MONUMENT, CO	8/31/2017	00813201-05	Registered

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ADVISORS DISCIPLINED TR 1841 UBIQUITOUS STRATEGY PORTFOLIO 15 MONTH SERIES 2017 3Q	MONUMENT, CO	8/31/2017	00813202-05	Pending-Cleared
CAPITAL GROUP EMERGING MARKETS TOTAL OPPORTUNITIES FUND CLASS F 2	IRVINE, CA	8/31/2017	00812963-03	Registered
CAPITAL GROUP EMERGING MARKETS TOTAL OPPORTUNITIES FUND CLASS R 6	IRVINE, CA	8/31/2017	00812964-03	Registered
EMERGING MARKETS GROWTH FUND INC CLASS F 3	LOS ANGELES, CA	8/31/2017	00812961-03	Registered
EMERGING MARKETS GROWTH FUND INC CLASS R 6	LOS ANGELES, CA	8/31/2017	00812962-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1656 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 66	LISLE, IL	8/31/2017	00813206-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1657 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 53	LISLE, IL	8/31/2017	00813203-05	Registered
FT 6849 DOW TARGET 10 SEPT 17 TERM 10 9 18	WHEATON, IL	8/30/2017	00813191-05	Registered
FT 6900 HEALTH CARE SELECT PORTFOLIO SERIES 47	WHEATON, IL	8/30/2017	00813185-05	Registered
FT 6901 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 23	WHEATON, IL	8/30/2017	00813183-05	Registered
FT 6906 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 28	WHEATON, IL	8/30/2017	00813186-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 6907 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 71	WHEATON, IL	8/30/2017	00813192-05	Registered
FT 6908 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 56	WHEATON, IL	8/30/2017	00813187-05	Registered
FT 6925 INTEREST RATE HEDGE OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	8/30/2017	00813189-05	Registered
FT 6933 BALANCED INCOME PORTFOLIO SERIES 58	WHEATON, IL	8/30/2017	00813193-05	Registered
FT 6937 GLOBAL BOND INCOME CLOSED END PORTFOLIO SERIES 26	WHEATON, IL	8/30/2017	00813188-05	Registered
FT 6938 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 79	WHEATON, IL	8/30/2017	00813184-05	Registered
FT 6939 CORPORATE HIGH INCOME PORTFOLIO SERIES 25	WHEATON, IL	8/30/2017	00813190-05	Registered
LEGG MASON PARTNERS INCOME TR WESTERN ASSET OR MUNICIPALS FUND CLASS IS	NEW YORK, NY	8/30/2017	00812958-03	Registered
RUSSELL INVESTMENT CO MULTI ASSET GROWTH STRATEGY FUND CLASS Y	SEATTLE, WA	8/30/2017	00812959-03	Registered
ADVISORS PREFERRED TR QUANTIFIED STF FUND INVESTOR CLASS SHARES	HAUPPAUGE, NY	8/29/2017	00812893-03	Registered
GMO TR GMO SGM MAJOR MARKETS FUND CLASS VI	BOSTON, MA	8/29/2017	00812786-03	Registered
MFS SERIES TR IX MFS MUNICIPAL LTD MATURITY FUND CLASS R6 SHARES	BOSTON, MA	8/29/2017	00812785-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MUTUAL FUND SERIES TR CATALYST IPOX ALLOCATION FUND CLASS I	HAUPPAUGE, NY	8/29/2017	00812916-03	Registered
MUTUAL FUND SERIES TR CATALYST SMH TOTAL RETURN INCOME FUND CLASS I	HAUPPAUGE, NY	8/29/2017	00812894-03	Registered
MUTUAL FUND SERIES TR EVENTIDE HEALTHCARE & LIFE SCIENCES FUND CLASS N	HAUPPAUGE, NY	8/29/2017	00812902-03	Registered
NORTHERN LIGHTS FUND TR 3 HCM INCOME PLUS FUND CLASS A SHARES	HAUPPAUGE, NY	8/29/2017	00812900-03	Registered
NORTHERN LIGHTS FUND TR 3 SWAN DEFINED RISK FOREIGN DEVELOPED FUND CLASS I SHARES	HAUPPAUGE, NY	8/29/2017	00812901-03	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS FUTURES EVOLUTION STRATEGY FUND CLASS I	HAUPPAUGE, NY	8/29/2017	00812897-03	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS FUTURES EVOLUTION STRATEGY FUND CLASS C	HAUPPAUGE, NY	8/29/2017	00812896-03	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS FUTURES EVOLUTION STRATEGY FUND CLASS N	HAUPPAUGE, NY	8/29/2017	00812898-03	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS FUTURES EVOLUTION STRATEGY FUND CLASS A	HAUPPAUGE, NY	8/29/2017	00812895-03	Registered
NORTHERN LIGHTS FUND TR LADENBURG GROWTH & INCOME FUND CLASS I SHARES	HAUPPAUGE, NY	8/29/2017	00812899-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS INNER CIRCLE FUND 3 RWC GLOBAL EMERGING EQUITY FUND CLASS I SHARES	OAKS, PA	8/28/2017	00812784-03	Registered
PIMCO FUNDS PIMCO LONG DURATION TOTAL RETURN FUND CLASS A	NEWPORT BEACH, CA	8/28/2017	00812752-03	Registered
<b>Number of Investment Company Filings: 50</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BFG POCONO DST	WINTER PARK, FL	9/1/2017	00812971-07	Filed
BRIDGE SENIORS HOUSING & MEDICAL PROPERTIES FUND 2 INTERNATIONAL A LP	SALT LAKE CITY, UT	9/1/2017	00812967-07	Filed
BRIDGE SENIORS HOUSING & MEDICAL PROPERTIES FUND 2 LP	SALT LAKE CITY, UT	9/1/2017	00812966-07	Filed
CCP PRIVATE HOLDINGS 2 LLC	CHICAGO, IL	9/1/2017	00812974-07	Filed
CNL STRATEGIC CAPITAL LLC	ORLANDO, FL	9/1/2017	00812976-07	Filed
FMR LLC	BOSTON, MA	9/1/2017	00812979-07	Filed
FREEDOM FOUNDERS CAPITAL LLC	NEWTOWN SQ, PA	9/1/2017	00812977-07	Filed
KARLOV STREET LEVEL INVESTOR OPPORTUNITY 4 LLC	HIGHLAND PARK, IL	9/1/2017	00812972-07	Filed
MONAKER GROUP INC	WESTON, FL	9/1/2017	00812973-07	Filed
TAILWIND CAPITAL PARTNERS 3 LP	NEW YORK, NY	9/1/2017	00812970-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TJC REAL ESTATE LENDER LLC	LOS ANGELES, CA	9/1/2017	00812975-07	Filed
VCM CORE OPPORTUNITIES FUND LLC	CHICAGO, IL	9/1/2017	00812968-07	Filed
WOODLAND GROVE APARTMENTS LLC	DURANGO, CO	9/1/2017	00812965-07	Filed
ECKARD GLOBAL LLC	RICHARDSON, TX	8/31/2017	00813209-07	Filed
ECKARD GLOBAL LLC	RICHARDSON, TX	8/31/2017	00813210-07	Filed
ECKARD GLOBAL LLC	RICHARDSON, TX	8/31/2017	00813211-07	Filed
ECKARD GLOBAL LLC	RICHARDSON, TX	8/31/2017	00813212-07	Filed
<b>Number of Regulation D Notice Filings: 17</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Cartridge World North America, LLC / Cartridge World Unit Franchise	McHenry, IL	9/1/2017	618019	Registered
Dickey's Barbecue Restaurants, Inc. / Dickey's Barbecue Pit	Dallas, TX	9/1/2017	618018	Registered
Interface Financial Corp / The Interface Financial Group	Bethesda, MD	8/31/2017	618015	Registered
PATCH BOYS FRANCHISING CORPORATION / Patch Boys	East Brunswick, NJ	8/31/2017	618014	Registered
DRY BOYS FRANCHISING, INC. / Dry Boys	East Brunswick, NJ	8/30/2017	618013	Registered
Sizzling Black Rock Steak House Franchising, Inc. / Sizzling Black Rock Steakhouse - "Area Rep. FDD"	Hartland, MI	8/30/2017	618011	Registered
Sizzling Black Rock Steak House Franchising, Inc. / Sizzling Black Rock Steakhouse	Hartland, MI	8/30/2017	618007	Registered
Next Day Access	Bartlett, TN	8/30/2017	618010	Registered
Happy Tax Franchising, LLC / Happy Tax (Area Representative)	Miami Beach, FL	8/30/2017	618009	Registered
Happy Tax Franchising, LLC / Happy Tax (Unit)	Miami Beach, FL	8/30/2017	618008	Registered

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Goldfish Swim School Franchising, LLC / Goldfish Swim School	Troy, MI	8/28/2017	618001	Registered
DANCE WORKS OF WI LLC	PEWAUKEE, WI	8/28/2017	617999	Registered
Zoom Room Franchising, LLC / Zoom Room	Culver City, CA	8/28/2017	617997	Registered
TOGI Brands, LLC / Oberweis Ice Cream and Dairy Store, That Burger Joint, and Woodgrain Neapolitan Pizzeria	North Aurora, IL	8/28/2017	617996	Registered
Mossy Oak Properties, Inc.	West Point, MS	8/28/2017	617995	Registered
<b>Number of Franchise Filings: 15</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	