

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/20/2017 through 8/26/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HINES GLOBAL REIT 2 INC	HOUSTON, TX	8/23/2017	00812947-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CYTODYN INC	VANCOUVER, WA	8/22/2017	00812539-23	Filed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALGER FUNDS ALGER SMID CAP FOCUS FUND CLASS Y SHARES	NEW YORK, NY	8/25/2017	00812534-03	Pending-Cleared
FEDERATED EQUITY FUNDS FEDERATED KAUFMANN SMALL CAP FUND CLASS R6 SHARES	WARRENDALE, PA	8/25/2017	00812751-03	Registered
FT 6794 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 43	WHEATON, IL	8/25/2017	00812943-05	Registered
FT 6840 CORE HOLDINGS GROWTH TR 2017 FALL SERIES	WHEATON, IL	8/25/2017	00812940-05	Registered
FT 6868 GLOBAL DIVIDEND PORTFOLIO SERIES 52	WHEATON, IL	8/25/2017	00812945-05	Registered
FT 6869 SMID HIGH DIVIDEND PORTFOLIO SERIES 27	WHEATON, IL	8/25/2017	00812938-05	Registered
FT 6870 FINANCIALS SELECT PORTFOLIO SERIES 61	WHEATON, IL	8/25/2017	00812936-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/20/2017 through 8/26/2017**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6871 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 45	WHEATON, IL	8/25/2017	00812939-05	Registered
FT 6872 UTILITIES SELECT PORTFOLIO SERIES 44	WHEATON, IL	8/25/2017	00812937-05	Registered
FT 6899 MULTI SECTOR EQUITY & ETF PORTFOLIO	WHEATON, IL	8/25/2017	00812941-05	Registered
FT 6936 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 49	WHEATON, IL	8/25/2017	00812942-05	Registered
HANCOCK JOHN BOND TR HANCOCK JOHN GOVERNMENT INCOME FUND CLASS R6	BOSTON, MA	8/25/2017	00812524-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 GLOBAL INCOME FUND CLASS R6	BOSTON, MA	8/25/2017	00812533-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN INTERNATIONAL SMALL CO FUND CLASS R6	BOSTON, MA	8/25/2017	00812532-03	Pending-Cleared
HANCOCK JOHN INVESTMENT TR 2 HANCOCK JOHN FINANCIAL INDUSTRIES FUND CLASS R6	BOSTON, MA	8/25/2017	00812526-03	Pending-Cleared
HANCOCK JOHN INVESTMENT TR 2 HANCOCK JOHN REGIONAL BANK FUND CLASS R6	BOSTON, MA	8/25/2017	00812527-03	Pending-Cleared
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN SMALL CAP CORE FUND CLASS R6	BOSTON, MA	8/25/2017	00812531-03	Pending-Cleared
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN SMALL CAP CORE FUND CLASS I	BOSTON, MA	8/25/2017	00812530-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/20/2017 through 8/26/2017**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN SMALL CAP CORE FUND CLASS A	BOSTON, MA	8/25/2017	00812529-03	Pending-Cleared
HANCOCK JOHN MUNICIPAL SECURITIES TR HANCOCK JOHN HIGH YIELD MUNICIPAL BOND FUND CLASS R6	BOSTON, MA	8/25/2017	00812528-03	Pending-Cleared
HANCOCK JOHN MUNICIPAL SECURITIES TR HANCOCK JOHN TAX FREE BOND FUND CLASS R6	BOSTON, MA	8/25/2017	00812525-03	Pending-Cleared
SMART TR 337 SMART TR PORTFOLIO OF CLOSED END FUND OPPORTUNITIES TR SERIES 13	PARSIPPANY, NJ	8/25/2017	00812944-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1652 RISING RATE DEFENSIVE EQUITY PORTFOLIO SERIES 8	LISLE, IL	8/23/2017	00812536-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1653 FLOATING RATE & DIVIDEND GROWTH PORTFOLIO SERIES 15	LISLE, IL	8/23/2017	00812537-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1654 LARGE CAP CORE PORTFOLIO SERIES 32	LISLE, IL	8/23/2017	00812538-05	Registered
Number of Investment Company Filings: 25				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
8VC CO INVEST FUND 1 LP	SAN FRANCISCO, CA	8/25/2017	00812946-07	Filed
AFFINITY FUNDING 4 LLC	FAIRFIELD, CT	8/25/2017	00812930-07	Filed
ALTUS REALTY INCOME FUND 2 LLC	ST LOUIS, MO	8/25/2017	00812934-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/20/2017 through 8/26/2017**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
APPCAST INC	LEBANON, NH	8/25/2017	00812925-07	Filed
CA RESIDENTIAL BROADWAY CORNELIA LLC	CHICAGO, IL	8/25/2017	00812932-07	Filed
EDGE AT 706 LLC	VERONA, WI	8/25/2017	00812931-07	Filed
EDGE SURGICAL INC	CHICAGO, IL	8/25/2017	00812921-07	Filed
EMERGENT CAPITAL INC	BOCA RATON, FL	8/25/2017	00812918-07	Filed
GRAYMETA INC	NEWBURY PARK, CA	8/25/2017	00812929-07	Filed
GSO CAPITAL SOLUTIONS 3 ASP FUND SERIES OF ALTERNATIVE STRATEGIES PRIVATE EQUITY PLATFORM LLC	CHARLOTTE, NC	8/25/2017	00812919-07	Filed
HIREART INC	NEW YORK, NY	8/25/2017	00812927-07	Filed
KITE LAKE SPECIAL OPPORTUNITIES ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	8/25/2017	00812920-07	Filed
MVP REIT 2 INC	LAS VEGAS, NV	8/25/2017	00812917-07	Filed
NGP NATURAL RESOURCES 12 LP	IRVING, TX	8/25/2017	00812922-07	Filed
PORTFOLIO ADVISORS SECONDARY FUND 3 LP	DARIEN, CT	8/25/2017	00812926-07	Filed
PROACTIVE LIVING INC	GREENVILLE, NC	8/25/2017	00812928-07	Filed
STRUCTURAL CAPITAL INVESTORS 2 LP	MENLO PARK, CA	8/25/2017	00812924-07	Filed
TASTY BRANDS LP	LOS ANGELES, CA	8/25/2017	00812923-07	Filed
ACADIAN GLOBAL MANAGED VOLATILITY EQUITY FUND LLC	BOSTON, MA	8/21/2017	00812305-07	Filed
Number of Regulation D Notice Filings: 19				

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/20/2017 through 8/26/2017**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
EMERGING VISION, INC. / STERLING OPTICAL	New York, NY	8/25/2017	617994	Registered
Cookie Advantage, Inc. / Cookie Advantage	Bixby, OK	8/25/2017	617993	Registered
DMK Franchisor LLC / DMK Burger Bar	Chicago, IL	8/24/2017	617985	Registered
SUPEVALU INC. / Cub Foods	Eden Prairie, MN	8/22/2017	617981	Registered
SmallBizPros, Inc. d/b/a Padgett Business Services / Padgett Business Services	Athens, GA	8/22/2017	617978	Registered
Drone Nerds Franchising, L.L.C. / Drone Nerds	Hallandale, FL	8/22/2017	617977	Registered
TRC Franchisor, Inc. / The Registry Collection Hotels	Parsippany, NJ	8/21/2017	617961	Registered
Number of Franchise Filings: 7				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	