

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/6/2017 through 8/12/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GRIFFIN INSTITUTIONAL ACCESS CREDIT FUND CLASS L SHARES	EL SEGUNDO, CA	8/11/2017	00811784-01	Registered
OAKTREE REAL ESTATE INCOME TR INC	LOS ANGELES, CA	8/11/2017	00811396-01	Pending-Cleared
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SPARK NEW ZEALAND LTD	AUCKLAND 1142, AA	8/11/2017	00811413-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 559 TX EX MUNICIPAL INCOME TR 10 20 YEAR SERIES 31	WHEATON, IL	8/11/2017	00811408-05	Registered
FT 6754 TECHNOLOGY SELECT PORTFOLIO SERIES 66	WHEATON, IL	8/11/2017	00811399-05	Registered
FT 6852 TACTICAL INCOME PORTFOLIO SERIES 49	WHEATON, IL	8/11/2017	00811404-05	Registered
FT 6853 SABRIENT DIVIDEND PORTFOLIO SERIES 20	WHEATON, IL	8/11/2017	00811406-05	Registered
FT 6855 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 34	WHEATON, IL	8/11/2017	00811407-05	Registered
FT 6856 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 33	WHEATON, IL	8/11/2017	00811405-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6857 AMERICAN AGENDA PORTFOLIO SERIES 3	WHEATON, IL	8/11/2017	00811410-05	Registered
FT 6858 CORPORATE TAX REFORM PORTFOLIO SERIES 3	WHEATON, IL	8/11/2017	00811401-05	Registered
FT 6859 SABRIENT BAKERS DOZEN PORTFOLIO AUGUST 2017 SERIES	WHEATON, IL	8/11/2017	00811412-05	Pending-Cleared
FT 6878 ADR PORTFOLIO AUGUST 2017 SERIES	WHEATON, IL	8/11/2017	00811397-05	Registered
FT 6896 CHAIKIN LOW BETA GROWTH PORTFOLIO SERIES 8	WHEATON, IL	8/11/2017	00811398-05	Registered
FT 6902 CONTENT LEADERS OPPORTUNITY PORTFOLIO SERIES 5	WHEATON, IL	8/11/2017	00811409-05	Registered
FT 6903 CONTENT LEADERS PORTFOLIO SERIES 6	WHEATON, IL	8/11/2017	00811402-05	Registered
FT 6930 BALANCED INCOME SELECT PORTFOLIO SERIES 71	WHEATON, IL	8/11/2017	00811403-05	Registered
FT 6934 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 88	WHEATON, IL	8/11/2017	00811400-05	Registered
SMART TR 333 SMART TR ENHANCED VALUE 2 TR SERIES 18	PARSIPPANY, NJ	8/11/2017	00811411-05	Registered
STADION INVESTMENT TR STADION ALTERNATIVE INCOME FUND CLASS T SHARES	WATKINSVILLE, GA	8/11/2017	00811781-03	Registered
STADION INVESTMENT TR STADION TACTICAL DEFENSIVE FUND CLASS T SHARES	WATKINSVILLE, GA	8/11/2017	00811783-03	Registered

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STADION INVESTMENT TR STADION TACTICAL GROWTH FUND CLASS T SHARES	WATKINSVILLE, GA	8/11/2017	00811782-03	Registered
STADION INVESTMENT TR STADION TRILOGY ALTERNATIVE RETURN FUND CLASS T SHARES	WATKINSVILLE, GA	8/11/2017	00811780-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1645 GUGGENHEIM REIT PORTFOLIO SERIES 17	LISLE, IL	8/9/2017	00811426-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1646 ALTERNATIVE INCOME PORTFOLIO SERIES 9	LISLE, IL	8/9/2017	00811425-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1647 DIVERSIFIED CREDIT PORTFOLIO OF ETFs SERIES 11	LISLE, IL	8/9/2017	00811424-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1648 DIVIDEND GROWTH PORTFOLIO SERIES 12	LISLE, IL	8/9/2017	00811427-05	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1299 INSURED MUNICIPALS INCOME TR SERIES 695	DOWNERS GROVE, IL	8/8/2017	00811167-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1300 QUALITY MUNICIPAL INCOME TR 20 PLUS YEAR SERIES 197	DOWNERS GROVE, IL	8/8/2017	00811168-05	Pending-Cleared
INVESCO UNIT TR SERIES 1797 NEW WORLD LEADERS PORTFOLIO 2017 3	DOWNERS GROVE, IL	8/8/2017	00811164-05	Pending-Cleared
INVESCO UNIT TR SERIES 1798 SELECT 10 INDUSTRIAL PORTFOLIO 2017 5	DOWNERS GROVE, IL	8/8/2017	00811163-05	Pending-Cleared
INVESCO UNIT TR SERIES 1799	DOWNERS GROVE, IL	8/8/2017	00811162-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1800	DOWNERS GROVE, IL	8/8/2017	00811161-05	Pending-Cleared
INVESCO UNIT TR SERIES 1803 GLOBAL WATER PORTFOLIO 2017 3	DOWNERS GROVE, IL	8/8/2017	00811160-05	Pending-Cleared
INVESCO UNIT TR SERIES 1805 CLOSED END STRATEGY SELECT OPPORTUNITY PORTFOLIO 2017 3	DOWNERS GROVE, IL	8/8/2017	00811159-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 605 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 29	DOWNERS GROVE, IL	8/8/2017	00811166-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 606 HIGH YIELD CORPORATE TR 4 7 YEAR SERIES 13	DOWNERS GROVE, IL	8/8/2017	00811165-05	Pending-Cleared
NORTHERN LIGHTS FUND TR 4 MOERUS WORLDWIDE VALUE FUND INSTITUTIONAL CLASS	OMAHA, NE	8/8/2017	00811066-03	Registered
BROWN ADVISORY FUNDS BROWN ADVISORY SUSTAINABLE BOND FUND INVESTOR CLASS	MILWAUKEE, WI	8/7/2017	00811158-03	Registered
FT 6759 DOW TARGET 10 AUG 17 TERM 9 7 18	WHEATON, IL	8/7/2017	00811653-05	Registered
FT 6770 MLP CLOSED END PORTFOLIO SERIES 17	WHEATON, IL	8/7/2017	00811650-05	Registered
FT 6827 EQUITY INCOME OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	8/7/2017	00811651-05	Registered
FT 6839 DIVERSIFIED INCOME & GROWTH PORTFOLIO FALL 2017 SERIES	WHEATON, IL	8/7/2017	00811652-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1643 CORPORATE HIGH YIELD & INCOME PORTFOLIO OF CEFS SERIES 32	LISLE, IL	8/7/2017	00811649-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1644 PRECIOUS METALS & MINERS PORTFOLIO SERIES 13	LISLE, IL	8/7/2017	00811648-05	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA MANAGED RISK DOMESTIC EQUITY PORTFOLIO CLASS I SHARES	HAUPPAUGE, NY	8/7/2017	00810887-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA MANAGED RISK EMERGING MARKETS EQUITY PORTFOLIO CLASS I SHARES	HAUPPAUGE, NY	8/7/2017	00810886-03	Registered
SEI DAILY INCOME TR GOVERNMENT FUND CLASS CAA SHARES	OAKS, PA	8/7/2017	00811071-03	Registered
Number of Investment Company Filings: 45				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BOOSTER FUELS INC	BURLINGAME, CA	8/11/2017	00811448-07	Filed
CAPITAL PARTNERS PRIVATE EQUITY INCOME FUND 3 LP	NORWALK, CT	8/11/2017	00811441-07	Filed
CIFC CLO OPPORTUNITY HOLDINGS FUND 2 LP	NEW YORK, NY	8/11/2017	00811439-07	Filed
COATUE KONA 3 LP	NEW YORK, NY	8/11/2017	00811395-07	Filed
COVINGTON GLEN HOLDINGS LLC	NEW YORK, NY	8/11/2017	00811443-07	Filed
EXCHANGERIGHT NET LEASED PORTFOLIO 16 DST	PASADENA, CA	8/11/2017	00811446-07	Filed
HUDGINS CLAPTON 3D JOINT VENTURE	SAN ANTONIO, TX	8/11/2017	00811437-07	Filed
ICONIQ ACCESS 62 LP	SAN FRANCISCO, CA	8/11/2017	00811436-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INTRINSIC EDGE MARKET NEUTRAL OFFSHORE LTD	CHICAGO, IL	8/11/2017	00811394-07	Filed
LIM INNOVATIONS INC	SAN FRANCISCO, CA	8/11/2017	00811444-07	Filed
PART OF PLAN LTD LIABILITY CO	NEW YORK, NY	8/11/2017	00811435-07	Filed
RS LENDING INC	SAN FRANCISCO, CA	8/11/2017	00811442-07	Filed
SNIPPET CORP	STURGEON BAY, WI	8/11/2017	00811438-07	Filed
STRIPE INC	SAN FRANCISCO, CA	8/11/2017	00811447-07	Filed
TELOS CAPITAL FUND 1 LLC	PASADENA, CA	8/11/2017	00811449-07	Filed
VOLPI CAPITAL INVESTMENTS LP	LONDON, AA	8/11/2017	00811440-07	Filed
WWEX UNI TOPCO HOLDINGS LLC	DALLAS, TX	8/11/2017	00811434-07	Filed
WATERS OF EXCELSIOR INVESTORS LLC	MINNETONKA, MN	8/10/2017	00811430-07	Filed
CARING WITH HONOR LLC	MILWAUKEE, WI	8/7/2017	00811157-07	Filed
Number of Regulation D Notice Filings: 19				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
OMEX INTERNATIONAL INC / OMEX	CAMP HILL, PA	8/11/2017	617932	Registered
ESH Strategies Franchise LLC / Extended Stay America	Charlotte, NC	8/10/2017	617931	Registered
MH Franchising L.L.C. / Mosquito Hunters	Grand Rapids, MI	8/9/2017	617928	Registered
Le Macaron Development LLC / Le Macaron	Sarasota, FL	8/9/2017	617927	Registered
HUDDLE HOUSE, INC / HUDDLE HOUSE	Sandy Springs, GA	8/9/2017	617926	Registered
TailWaggers Franchise Trio / TailWaggers Doggy Daycare	Greenville, WI	8/9/2017	617925	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Tough Mudder Bootcamp Franchising LLC / Tough Mudder Bootcamp	Brooklyn, NY	8/8/2017	617924	Registered
BlueFrog Plumbing and Drain, LLC / BlueFrog Plumbing + Drain	Phoenix, AZ	8/7/2017	617920	Registered
Shred415 Franchising, LLC / Shred415 (Area Representative)	Chicago, IL	8/7/2017	617919	Registered
The Intelligent Office System, LLC / The Intelligent Office	Boulder, CO	8/7/2017	617918	Registered
Number of Franchise Filings: 10				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	