

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 7/30/2017 through 8/5/2017**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CITY NATIONAL ROCHDALE SELECT STRATEGIES FUND	NEW YORK, NY	7/31/2017	00810776-01	Registered
NUVEEN GLOBAL CITIES REIT INC	NEW YORK, NY	7/31/2017	00811065-01	Pending-Cleared
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MERCY HILL CHURCH	GREENSBORO, NC	8/4/2017	00811072-10	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
JPMORGAN TR 2 JPMORGAN HIGH YIELD FUND CLASS R3 SHARES	NEW YORK, NY	8/4/2017	00810883-03	Pending-Cleared
JPMORGAN TR 2 JPMORGAN HIGH YIELD FUND CLASS R4 SHARES	NEW YORK, NY	8/4/2017	00810884-03	Pending-Cleared
VALUE LINE MID CAP FOCUSED FUND INC INSTITUTIONAL CLASS	NEW YORK, NY	8/4/2017	00810885-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON CONTRARIAN FUND CLASS N SHARES	DENVER, CO	8/2/2017	00811017-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL INCOME MANAGED VOLATILITY FUND CLASS N SHARES	DENVER, CO	8/2/2017	00811020-03	Registered

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JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL RESEARCH FUND CLASS N SHARES	DENVER, CO	8/2/2017	00811014-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL SELECT FUND CLASS N SHARES	DENVER, CO	8/2/2017	00811019-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GROWTH & INCOME FUND CLASS N SHARES	DENVER, CO	8/2/2017	00811015-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON SELECT VALUE FUND CLASS N SHARES	DENVER, CO	8/2/2017	00811018-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON VALUE PLUS INCOME FUND CLASS N SHARES	DENVER, CO	8/2/2017	00811016-03	Registered
MUTUAL FUND SERIES TR CATALYST SMALL CAP INSIDER BUYING FUND CLASS I	HAUPPAUGE, NY	8/2/2017	00810704-03	Registered
PRICE T ROWE CAPITAL APPRECIATION & INCOME FUND INC PRICE T ROWE CAPITAL APPRECIATION & INCOME FUND PRICE T ROWE CAPITAL	BALTIMORE, MA	8/2/2017	00811069-03	Pending-Cleared
PRICE T ROWE CAPITAL APPRECIATION & INCOME FUND INC PRICE T ROWE CAPITAL APPRECIATION & INCOME FUND	BALTIMORE, MA	8/2/2017	00811068-03	Pending-Cleared
PRICE T ROWE CAPITAL APPRECIATION & INCOME FUND INC PRICE T ROWE CAPITAL APPRECIATION & INCOME FUND PRICE T ROWE CAPITAL	BALTIMORE, MA	8/2/2017	00811067-03	Pending-Cleared
FRANKLIN CA TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810722-03	Registered

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FRANKLIN FEDERAL TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810720-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN COREFOLIO ALLOCATION FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810724-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN FOUNDING FUNDS ALLOCATION FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810725-03	Registered
FRANKLIN MUNICIPAL SECURITIES TR FRANKLIN CA HIGH YIELD MUNICIPAL FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810723-03	Registered
FRANKLIN NY TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810707-03	Registered
FRANKLIN STRATEGIC MORTGAGE PORTFOLIO CLASS R6	SAN MATEO, CA	8/1/2017	00810708-03	Registered
FRANKLIN TAX FREE TR FRANKLIN AZ TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810715-03	Registered
FRANKLIN TAX FREE TR FRANKLIN FEDERAL INTERMEDIATE TERM TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810719-03	Registered
FRANKLIN TAX FREE TR FRANKLIN FEDERAL LTD TERM TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810718-03	Registered
FRANKLIN TAX FREE TR FRANKLIN FL TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810716-03	Registered
FRANKLIN TAX FREE TR FRANKLIN HIGH YIELD TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810717-03	Registered

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FRANKLIN TAX FREE TR FRANKLIN MI TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810712-03	Registered
FRANKLIN TAX FREE TR FRANKLIN MN TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810713-03	Registered
FRANKLIN TAX FREE TR FRANKLIN OH TAX FREE INCOME FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810714-03	Registered
FRANKLIN TEMPLETON GLOBAL TR TEMPLETON GLOBAL CURRENCY FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810711-03	Registered
FT 6830 INVESTMENT GRADE SELECT CLOSED END & ETF PORTFOLIO SERIES 4	WHEATON, IL	8/1/2017	00810898-05	Registered
FT 6844 PREFERRED INCOME PORTFOLIO SERIES 91	WHEATON, IL	8/1/2017	00810897-05	Registered
FT 6845 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 76	WHEATON, IL	8/1/2017	00810894-05	Registered
FT 6846 CAPITAL STRENGTH PORTFOLIO SERIES 39	WHEATON, IL	8/1/2017	00810895-05	Registered
FT 6847 MARKET STRENGTH ALLOCATION SELECT PORTFOLIO SERIES 28	WHEATON, IL	8/1/2017	00810893-05	Registered
FT 6848 ELECTION PORTFOLIO 2016 SERIES 5	WHEATON, IL	8/1/2017	00810891-05	Registered
FT 6892 STRATEGIC INCOME ADVANTAGE OPPORTUNITY CLOSED END PORTFOLIO SERIES	WHEATON, IL	8/1/2017	00810892-05	Registered
FT 6894 US EQUITY CLOSED END PORTFOLIO SERIES 25	WHEATON, IL	8/1/2017	00810896-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1642 DIVERSIFIED DIVIDEND & INCOME PORTFOLIO SERIES 26	LISLE, IL	8/1/2017	00810900-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1642 MULTINATIONAL TITANS PORTFOLIO SERIES 13	LISLE, IL	8/1/2017	00810899-05	Registered
TEMPLETON GLOBAL INVESTMENT TR TEMPLETON EMERGING MARKETS SMALL CAP FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810710-03	Registered
TEMPLETON GLOBAL INVESTMENT TR TEMPLETON EMERGING MARKETS BALANCED FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810709-03	Registered
TEMPLETON INCOME TR TEMPLETON INTERNATIONAL BOND FUND CLASS R6	SAN MATEO, CA	8/1/2017	00810721-03	Registered
FT 6735 DIVIDEND STRENGTH PORTFOLIO SERIES 37	WHEATON, IL	7/31/2017	00811054-05	Registered
FT 6829 INTEREST RATE HEDGE & ETF PORTFOLIO SERIES 17	WHEATON, IL	7/31/2017	00811053-05	Registered
FT 6881 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 60	WHEATON, IL	7/31/2017	00811051-05	Registered
FT 6891 PREFERRED INCOME OPPORTUNITY PORTFOLIO SERIES 6	WHEATON, IL	7/31/2017	00811052-05	Registered
SMART TR 332 SMART TR ZACKS GARP COMPOSITE 35 TR SERIES 14	PARSIPPANY, NJ	7/31/2017	00811056-05	Registered
SMART TR 335 SMART TR E COMMERCE ECOSYSTEM TR SERIES 1	PARSIPPANY, NJ	7/31/2017	00811057-05	Registered

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SMART TR 360 SMART TR ARGUS MODERN INNOVATORS TR SERIES 1	PARSIPPANY, NJ	7/31/2017	00811055-05	Registered
<b>Number of Investment Company Filings: 50</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AMCRETE CINNAMON LLC	NORTHRIDGE, CA	8/4/2017	00811035-07	Filed
CF NET LEASE PORTFOLIO 1 DST	NEW YORK, NY	8/4/2017	00811036-07	Filed
CF NET LEASE PORTFOLIO 5 DST	NEW YORK, NY	8/4/2017	00811037-07	Filed
INCLUDEFITNESS INC	CINCINNATI, OH	8/4/2017	00811039-07	Filed
ORIGINCLEAR INC	LOS ANGELES, CA	8/4/2017	00811040-07	Filed
RS PREF PROJECTS LLC SERIES K	LEWES, DE	8/4/2017	00811038-07	Filed
SUPERCLOUD INC	PALM BCH, FL	7/31/2017	00811050-07	Filed
<b>Number of Regulation D Notice Filings: 7</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FIESTA INSURANCE FRANCHISE CORPORATION / FIESTA INSURANCE	Las Vegas, NV	8/4/2017	617911	Registered
GODFATHERS PIZZA INC / GODFATHERS PIZZA	OMAHA, NE	8/3/2017	617910	Registered
Big Boy Franchise Management LLC / Big Boy's Burgers and Shakes	Warren, MI	8/2/2017	617909	Registered
Big Boy Franchise Management LLC / Big Boy Restaurants	Warren, MI	8/2/2017	617908	Registered

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Rocky Mountain Chocolate Factory, Inc. / Rocky Mountain Chocolate Factory	Durango, CO	8/2/2017	617907	Registered
MAD SCIENCE GROUP INC	MONTREAL, QC	8/1/2017	617903	Registered
M&D Optical Franchise, LLC / My Eyelab	Palm Springs, FL	8/1/2017	617901	Registered
Shapes Franchising, LLC / Shapes Gyms	St. Petersburg, FL	7/31/2017	617897	Registered
<b>Number of Franchise Filings: 8</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	