

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/23/2017 through 7/29/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MFS MUNICIPAL SERIES TR MFS AL MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810733-03	Registered
MFS MUNICIPAL SERIES TR MFS AR MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810743-03	Registered
MFS MUNICIPAL SERIES TR MFS CA MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810735-03	Registered
MFS MUNICIPAL SERIES TR MFS GA MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810741-03	Registered
MFS MUNICIPAL SERIES TR MFS MA MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810745-03	Registered
MFS MUNICIPAL SERIES TR MFS MD MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810737-03	Registered
MFS MUNICIPAL SERIES TR MFS MS MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810739-03	Registered
MFS MUNICIPAL SERIES TR MFS MUNICIPAL INCOME FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810732-03	Registered

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MFS MUNICIPAL SERIES TR MFS NC MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810736-03	Registered
MFS MUNICIPAL SERIES TR MFS NY MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810734-03	Registered
MFS MUNICIPAL SERIES TR MFS PA MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810738-03	Registered
MFS MUNICIPAL SERIES TR MFS SC MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810740-03	Registered
MFS MUNICIPAL SERIES TR MFS TN MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810742-03	Registered
MFS MUNICIPAL SERIES TR MFS VA MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810744-03	Registered
MFS MUNICIPAL SERIES TR MFS WEST VA MUNICIPAL BOND FUND CLASS R6 SHARES	BOSTON, MA	7/28/2017	00810746-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1640 TECHNOLOGY PORTFOLIO SERIES 21	LISLE, IL	7/27/2017	00810665-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1641 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 20	LISLE, IL	7/27/2017	00810664-05	Registered
LEGG MASON PARTNERS INCOME TR WESTERN ASSET NJ MUNICIPALS FUND CLASS IS	NEW YORK, NY	7/27/2017	00810614-03	Registered
LEGG MASON PARTNERS INCOME TR WESTERN ASSET NY MUNICIPALS FUND CLASS IS	NEW YORK, NY	7/27/2017	00810612-03	Registered

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LEGG MASON PARTNERS INCOME TR WESTERN ASSET PA MUNICIPALS FUND CLASS IS	NEW YORK, NY	7/27/2017	00810613-03	Registered
AB CAP FUND INC AB EMERGING MARKETS MULTI ASSET PORTFOLIO CLASS Z	NEW YORK, NY	7/26/2017	00810546-03	Registered
BLACKROCK FUNDS 2 BLACKROCK EMERGING MARKETS BOND FUND INSTITUTIONAL SHARES	WILMINGTON, DE	7/26/2017	00810582-03	Registered
BLACKROCK FUNDS 2 BLACKROCK EMERGING MARKETS BOND FUND CLASS K SHARES	WILMINGTON, DE	7/26/2017	00810584-03	Registered
BLACKROCK FUNDS 2 BLACKROCK EMERGING MARKETS LOCAL CURRENCY BOND FUND CLASS K SHARES	WILMINGTON, DE	7/26/2017	00810583-03	Registered
BLACKROCK FUNDS 2 BLACKROCK EMERGING MARKETS LOCAL CURRENCY BOND FUND INSTITUTIONAL SHARES	WILMINGTON, DE	7/26/2017	00810581-03	Registered
FT 6851 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 87	WHEATON, IL	7/25/2017	00810221-05	Registered
JOHNSON MUTUAL FUNDS TR JOHNSON INSTITUTIONAL CORE BOND FUND	CINCINNATI, OH	7/25/2017	00810184-03	Registered
NORTHERN LIGHTS FUND TR 2 BALTER EUROPEAN LS SMALL CAP FUND INVESTOR CLASS	HAUPPAUGE, NY	7/25/2017	00810186-03	Registered
NORTHERN LIGHTS FUND TR LADENBURG INCOME & GROWTH FUND CLASS I SHARES	HAUPPAUGE, NY	7/25/2017	00810185-03	Registered
FT 6811 BROOKMONT EQUITY DIVIDEND PORTFOLIO SERIES 12	WHEATON, IL	7/24/2017	00810191-05	Registered

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FT 6817 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 34	WHEATON, IL	7/24/2017	00810192-05	Registered
FT 6818 SENIOR LOAN & DIVIDEND GROWERS PORTFOLIO SERIES 15	WHEATON, IL	7/24/2017	00810190-05	Registered
FT 6820 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 26	WHEATON, IL	7/24/2017	00810194-05	Registered
FT 6831 MUNICIPAL INCOME & DIVIDEND GROWERS PORTFOLIO SERIES 12	WHEATON, IL	7/24/2017	00810195-05	Registered
FT 6877 BALANCED INCOME EQUITY & ETF OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	7/24/2017	00810196-05	Registered
FT 6879 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 62	WHEATON, IL	7/24/2017	00810197-05	Registered
FT 6883 TECHNOLOGY OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	7/24/2017	00810189-05	Registered
FT 6885 HIGH DIVIDEND EQUITY OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	7/24/2017	00810193-05	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1298 QUALITY MUNICIPAL INCOME TR 20 PLUS YEAR SERIES 196	DOWNERS GROVE, IL	7/24/2017	00810219-05	Registered
INVESCO UNIT TR SERIES 1790 BALANCED DIVIDEND SUSTAINABILITY & INCOME PORTFOLIO 2017 3	DOWNERS GROVE, IL	7/24/2017	00810218-05	Registered
INVESCO UNIT TR SERIES 1793 CLOSED END STRATEGY DISCOUNT OPPORTUNITY PORTFOLIO 2017 3	DOWNERS GROVE, IL	7/24/2017	00810216-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1794 INFLATION HEDGE PORTFOLIO 2017 3	DOWNERS GROVE, IL	7/24/2017	00810217-05	Pending-Cleared
INVESCO UNIT TR SERIES 1795 INSIDER BUY STRATEGY 2017 3	DOWNERS GROVE, IL	7/24/2017	00810215-05	Pending-Cleared
INVESCO UNIT TR SERIES 1796 DIVIDEND SUSTAINABILITY STRATEGIC OPPORTUNITY PORTFOLIO 2017 3	DOWNERS GROVE, IL	7/24/2017	00810214-05	Registered
PIONEER MONEY MARKET TR PIONEER US GOVERNMENT MONEY MARKET FUND CLASS R SHARES	BOSTON, MA	7/24/2017	00810233-03	Registered
Number of Investment Company Filings: 45				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AB ARYA PARTNERS DE FUND LP	NEW YORK, NY	7/28/2017	00810670-07	Filed
AB FINANCIAL SERVICES OPPORTUNITIES DE FUND LP	NEW YORK, NY	7/28/2017	00810667-07	Filed
AVISTA ENERGY CAPITAL LP	HOUSTON, TX	7/28/2017	00810672-07	Filed
BRUNSWICK RC INVESTORS 1 LLC	DALLAS, TX	7/28/2017	00810675-07	Filed
HEW TEX LOVING COUNTY 3 1 SWD LLC	HOUSTON, TX	7/28/2017	00810683-07	Filed
HISTOSONICS INC	ANN ARBOR, MI	7/28/2017	00810668-07	Filed
LAZARUS PARTNERS GAUZY LP	DENVER, CO	7/28/2017	00810666-07	Filed
LCP CUPPLES INVESTORS LLC	ST. LOUIS, MO	7/28/2017	00810679-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MOBILEOFFER INC	CALABASAS, CA	7/28/2017	00810684-07	Filed
PORTFOLIO ADVISORS REAL ESTATE FUND 6 LP	DARIEN, CT	7/28/2017	00810678-07	Filed
RAM 4 CO INVEST LP	PALM BCH GDNS, FL	7/28/2017	00810689-07	Filed
RIVERFRONT INVESTMENT HOLDING GROUP LLC	RICHMOND, VA	7/28/2017	00810673-07	Filed
RS PREF PROJECTS LLC SERIES J	LEWES, DE	7/28/2017	00810681-07	Filed
SAFER MADE LP	N BRANFORD, CT	7/28/2017	00810671-07	Filed
SALEM SEBACIA INVESTORS LLC	LOS ANGELES, CA	7/28/2017	00810677-07	Filed
SPHAERUS VENTURES LLC	NEW YORK, NY	7/28/2017	00810686-07	Filed
STARWOOD OPPORTUNITY FUND 11 GLOBAL LP	GREENWICH, CT	7/28/2017	00810685-07	Filed
TILA FUND 1 LP	CHICAGO, IL	7/28/2017	00810669-07	Filed
VITA FUNDING 1 LLC	FAIRFIELD, CT	7/28/2017	00810674-07	Filed
WUNDER CAPITAL 3 LLC	BOULDER, CO	7/28/2017	00810687-07	Filed
STATON TECHIYA LLC	DELRAY BEACH, FL	7/27/2017	00810653-07	Filed
Number of Regulation D Notice Filings: 21				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Stretch Zone Franchising, LLC / Stretch Zone	Fort Lauderdale, FL	7/29/2017	617892	Registered
TUTOR DOCTOR SYSTEMS INC / Tutor Doctor	WILMINGTON, DE	7/28/2017	617891	Registered
JACKSON HEWITT INC. / Jackson Hewitt Tax Service	Jersey City, NJ	7/28/2017	617890	Registered
Sears Home Appliance Showrooms, LLC / Kitchen Tune-Up	Hoffman Estates, IL	7/28/2017	617889	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Alice's Franchises LLC / Alice's Tea Cup	New York, NY	7/28/2017	617888	Registered
H&R Block Tax Services LLC / H&R Block	Kansas City, MO	7/28/2017	617885	Registered
FIREHOUSE OF AMERICA LLC / Area Representative Franchise	JACKSONVILLE, FL	7/28/2017	617883	Registered
FLOWERAMA OF AMERICA INC / FLOWERAMA - Co-brand	Cedar Falls, IA	7/28/2017	617882	Registered
FLOWERAMA OF AMERICA INC / FLOWERAMA	Cedar Falls, IA	7/28/2017	617881	Registered
Griswold International, LLC / Griswold Home Care (Full Employment Model)	Plymouth Meeting, PA	7/28/2017	617880	Registered
U Got Stink Franchising, LLC / U Got Stink?	Battle Ground, WA	7/27/2017	617879	Registered
Success Business Solutions, Inc. / Midwest Labor Services	Madison, WI	7/26/2017	617877	Registered
Moxie BBB Franchising, LLC / MOXIE; MOXIE BLOWDRY & BEAUTY BAR	Montclair, NJ	7/26/2017	617875	Registered
Scissors & Scotch Franchising LLC / Scissors & Scotch	Mission, KS	7/25/2017	617874	Registered
Novus Franchising 2 LLC / Novus, Novus Glass	St. Paul, MN	7/25/2017	617870	Registered
The Xercize Studio, LLC / IM=X Pilates	Clinton, CT	7/24/2017	617869	Registered
CAR-X, LLC / CAR-X	Schaumburg, IL	7/24/2017	617866	Registered
Number of Franchise Filings: 17				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	