

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/16/2017 through 7/22/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PEMBINA COUNTY BANKSHARES LTD	CAVALIER, ND	7/20/2017	00810130-08	Not Disallowed
COTTONWOOD MULTIFAMILY REIT 2 INC	SALT LAKE CITY, UT	7/19/2017	00810106-25	Filed
NOKOMIS HIAWATHA LLC	MINNEAPOLIS, MN	7/18/2017	00810104-08	Not Disallowed
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC 1 CHOICE 2060 PORTFOLIO R6 CLASS	KANSAS CITY, MO	7/21/2017	00809181-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY 1 CHOICESM 2020 PORTFOLIO R6 CLASS	KANSAS CITY, MO	7/21/2017	00809172-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY 1 CHOICESM 2030 PORTFOLIO R6 CLASS	KANSAS CITY, MO	7/21/2017	00809174-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY 1 CHOICESM 2050 PORTFOLIO R6 CLASS	KANSAS CITY, MO	7/21/2017	00809178-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY 1 CHOICESM IN RETIREMENT PORTFOLIO R6 CLASS	KANSAS CITY, MO	7/21/2017	00809180-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY 1 CHOICESM 2045 PORTFOLIO R6 CLASS	KANSAS CITY, MO	7/21/2017	00809177-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY 1 CHOICESM 2055 PORTFOLIO R6 CLASS	KANSAS CITY, MO	7/21/2017	00809179-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY 1 CHOICESM 2040 PORTFOLIO R6 CLASS	KANSAS CITY, MO	7/21/2017	00809176-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY 1 CHOICESM 2025 PORTFOLIO R6 CLASS	KANSAS CITY, MO	7/21/2017	00809173-03	Pending-Cleared
AMERICAN CENTURY ASSET ALLOCATION PORTFOLIOS INC AMERICAN CENTURY 1 CHOICESM 2035 PORTFOLIO R6 CLASS	KANSAS CITY, MO	7/21/2017	00809175-03	Pending-Cleared
AMERICAN CENTURY GOVERNMENT INCOME TR AMERICAN CENTURY INFLATION ADJUSTED BOND FUND R6 CLASS	KANSAS CITY, MO	7/21/2017	00809183-03	Registered
AMERICAN CENTURY INVESTMENT TR AMERICAN CENTURY SHORT DURATION FUND R6 CLASS	KANSAS CITY, MO	7/21/2017	00809182-03	Registered
FEDERATED MUNICIPAL BOND FUND INC INSTITUTIONAL SHARES	WARRENDALE, PA	7/21/2017	00809188-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2005 FUND CLASS K	BOSTON, MA	7/21/2017	00810240-03	Registered

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FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2010 FUND CLASS K	BOSTON, MA	7/21/2017	00810243-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2015 FUND CLASS K	BOSTON, MA	7/21/2017	00810239-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2020 FUND CLASS K	BOSTON, MA	7/21/2017	00810245-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2025 FUND CLASS K	BOSTON, MA	7/21/2017	00810241-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2030 FUND CLASS K	BOSTON, MA	7/21/2017	00810247-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2035 FUND CLASS K	BOSTON, MA	7/21/2017	00810242-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2040 FUND CLASS K	BOSTON, MA	7/21/2017	00810249-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2045 FUND CLASS K	BOSTON, MA	7/21/2017	00810244-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2050 FUND CLASS K	BOSTON, MA	7/21/2017	00810248-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2055 FUND CLASS K	BOSTON, MA	7/21/2017	00810237-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2060 FUND CLASS K	BOSTON, MA	7/21/2017	00810238-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INCOME FUND CLASS K	BOSTON, MA	7/21/2017	00810246-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6804 INCOME DIVIDEND EQUITY ALLOCATION IDEA PORTFOLIO SERIES 13	WHEATON, IL	7/21/2017	00810135-05	Registered
FT 6816 ETF GROWTH & INCOME JULY 17	WHEATON, IL	7/21/2017	00810136-05	Registered
FT 6880 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 32	WHEATON, IL	7/21/2017	00810137-05	Registered
FT 6884 HEALTH CARE OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	7/21/2017	00810138-05	Registered
HARBOR FUNDS HARBOR SMALL CAP VALUE OPPORTUNITIES FUND INSTITUTIONAL CLASS	CHICAGO, IL	7/21/2017	00809185-03	Pending-Cleared
HARBOR FUNDS HARBOR SMALL CAP VALUE OPPORTUNITIES FUND INVESTOR CLASS	CHICAGO, IL	7/21/2017	00809186-03	Pending-Cleared
HARBOR FUNDS HARBOR SMALL CAP VALUE OPPORTUNITIES FUND ADMINISTRATIVE CLASS	CHICAGO, IL	7/21/2017	00809184-03	Pending-Cleared
HARBOR FUNDS HARBOR SMALL CAP VALUE OPPORTUNITIES FUND RETIREMENT CLASS	CHICAGO, IL	7/21/2017	00809187-03	Pending-Cleared
SMART TR 328 SMART TR PREFERRED PLUS TR SERIES 4	PARSIPPANY, NJ	7/21/2017	00810134-05	Registered
SMART TR 329 SMART TR SMART 10 TR SERIES 9	PARSIPPANY, NJ	7/21/2017	00810131-05	Registered
SMART TR 330 SMART TR HIGH 20 DIVIDEND STRATEGY TR SERIES 17	PARSIPPANY, NJ	7/21/2017	00810132-05	Registered
SMART TR 331 SMART TR TAX FREE INCOME TR SERIES 26	PARSIPPANY, NJ	7/21/2017	00810133-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1748 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 121	MONUMENT, CO	7/20/2017	00810113-05	Registered
FT 6715 LTD DURATION FIXED INCOME ETF PORTFOLIO SERIES 20	WHEATON, IL	7/20/2017	00810119-05	Registered
FT 6882 DEEP VALUE DIVIDEND OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	7/20/2017	00810118-05	Registered
FT 6893 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 48	WHEATON, IL	7/20/2017	00810117-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1628 GLOBAL BALANCED INCOME BUILDER PORTFOLIO SERIES 10	LISLE, IL	7/20/2017	00810114-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1639 HEALTH CARE PORTFOLIO SERIES 23	LISLE, IL	7/20/2017	00810115-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1639 UTILITIES PORTFOLIO SERIES 26	LISLE, IL	7/20/2017	00810116-05	Registered
SEI CATHOLIC VALUES TR CATHOLIC VALUES EQUITY FUND CLASS Y SHARES	OAKS, PA	7/18/2017	00809158-03	Registered
SEI CATHOLIC VALUES TR CATHOLIC VALUES FIXED INCOME FUND CLASS Y SHARES	OAKS, PA	7/18/2017	00809159-03	Registered
SEI INSTITUTIONAL INVESTMENTS TR SMALL MID CAP EQUITY FUND CLASS A SHARES	OAKS, PA	7/18/2017	00809160-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1752 TACTICAL PLUS CLOSED END PORTFOLIO 15 MONTH SERIES 2017 3Q	MONUMENT, CO	7/17/2017	00809107-05	Registered
ADVISORS DISCIPLINED TR 1805 AGRI BUSINESS OPPORTUNITIES PORTFOLIO SERIES 2017 2	MONUMENT, CO	7/17/2017	00809106-05	Registered
ADVISORS DISCIPLINED TR 1805 GLOBAL INFLATION GROWTH PORTFOLIO SERIES 2017 2	MONUMENT, CO	7/17/2017	00809100-05	Registered
ADVISORS DISCIPLINED TR 1807 DIVIDEND RULER PORTFOLIO SERIES 2017C	MONUMENT, CO	7/17/2017	00809104-05	Registered
ADVISORS DISCIPLINED TR 1808 DIVIDEND RULER COVERED CALL PORTFOLIO SERIES 2017 3Q	MONUMENT, CO	7/17/2017	00809105-05	Registered
FT 6795 SABRIENT BAKERS DOZEN PORTFOLIO JULY 2017 SERIES	WHEATON, IL	7/17/2017	00809103-05	Registered
FT 6843 INTEREST RATE HEDGE PORTFOLIO SERIES 112	WHEATON, IL	7/17/2017	00809101-05	Registered
JPMORGAN TR 2 JPMORGAN US GOVERNMENT MONEY MARKET FUND EAGLE PRIVATE WEALTH CLASS SHARES	NEW YORK, NY	7/17/2017	00809090-03	Pending-Cleared
PENN CAPITAL FUNDS TR PENN CAPITAL DEFENSIVE SHORT DURATION HIGH INCOME FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	7/17/2017	00809108-03	Registered
SMART TR 327 SMART TR MILLER HOWARD NORTH AMERICAN ENERGY OPPORTUNITIES TR SERIES 7	PARSIPPANY, NJ	7/17/2017	00809102-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VOYA MUTUAL FUNDS VOYA CBRE GLOBAL INFRASTRUCTURE FUND CLASS A	SCOTTSDALE, AZ	7/17/2017	00808707-03	Registered
VOYA MUTUAL FUNDS VOYA CBRE GLOBAL INFRASTRUCTURE FUND CLASS I	SCOTTSDALE, AZ	7/17/2017	00808709-03	Registered
VOYA MUTUAL FUNDS VOYA CBRE LONG SHORT FUND CLASS A	SCOTTSDALE, AZ	7/17/2017	00808708-03	Registered
VOYA MUTUAL FUNDS VOYA CBRE LONG SHORT FUND CLASS I	SCOTTSDALE, AZ	7/17/2017	00808706-03	Registered
Number of Investment Company Filings: 62				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1 GRO INC	CRESWELL, OR	7/21/2017	00810633-07	Filed
ALTARIS HEALTH PARTNERS 4 LP	NEW YORK, NY	7/21/2017	00810651-07	Filed
BLACKHORN VENTURES LP	BOULDER, CO	7/21/2017	00810637-07	Filed
BROADWAY TIERRA PARTNERS LLC	MILWAUKEE, WI	7/21/2017	00810634-07	Filed
COASTAL CAROLINA BANCSHARES INC	MYRTLE BEACH, SC	7/21/2017	00810638-07	Filed
HALF SKY FUND LP	GRAND CAYMAN, AA	7/21/2017	00810642-07	Filed
HASELECT MERGER ARBITRAGE FUND LLC	LA GRANGE, IL	7/21/2017	00810650-07	Filed
KITCHEN 54 FOODS LLC	AUSTIN, TX	7/21/2017	00810631-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
METAPROP VENTURES 2 LP	NEW YORK, NY	7/21/2017	00810627-07	Filed
MEWBOURNE ENERGY PARTNERS 17 LP	TYLER, TX	7/21/2017	00810648-07	Filed
MIDDLETON MILWAUKEE INVESTORS LLC	NORTHBROOK, IL	7/21/2017	00810635-07	Filed
MLG PF BRECKENRIDGE CO INVESTOR LLC	BROOKFIELD, WI	7/21/2017	00810641-07	Filed
MOUNTAINGATE CAPITAL FUND 1 FF LP	DENVER, CO	7/21/2017	00810646-07	Filed
MOUNTAINGATE CAPITAL FUND 1 LP	DENVER, CO	7/21/2017	00810647-07	Filed
PLATTE RIVER EQUITY 4 AFFILIATES LP	DENVER, CO	7/21/2017	00810644-07	Filed
PLATTE RIVER EQUITY 4 LP	DENVER, CO	7/21/2017	00810645-07	Filed
RCP FUND 12 LP	CHICAGO, IL	7/21/2017	00810639-07	Filed
REALTY MOGUL 49 LLC	DOVER, DE	7/21/2017	00810629-07	Filed
REALTYSHARES 270 LLC	LEWES, DE	7/21/2017	00810624-07	Filed
REALTYSHARES 295 LLC	LEWES, DE	7/21/2017	00810626-07	Filed
REALTYSHARES 299 LLC	LEWES, DE	7/21/2017	00810630-07	Filed
SELF STORAGE PORTFOLIO 4 DST	OAK BROOK, IL	7/21/2017	00810623-07	Filed
SOLUS LLC	NEW YORK, NY	7/21/2017	00810636-07	Filed
STRATEGIC ENERGY ASSETS 4 LLC	IRVING, TX	7/21/2017	00810649-07	Filed
TAILWATER ENERGY FUND 3 LP	DALLAS, TX	7/21/2017	00810643-07	Filed
THALES VENTURE LLC	NEW YORK, NY	7/21/2017	00810628-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
UNITED UROLOGY CENTERS LLC	AUSTIN, TX	7/21/2017	00810640-07	Filed
WELLSENSE INC	BIRMINGHAM, MI	7/21/2017	00810632-07	Filed
3G3 INVESTMENTS LLC	CHICAGO, IL	7/20/2017	00810120-07	Filed
Number of Regulation D Notice Filings: 29				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Franchise Qualification Plus LLC / Tekkeez Tech Repair	Las Vegas, NV	7/21/2017	617863	Registered
Nathan's Famous Systems, Inc. - Branded Menu Program	Jericho, NY	7/21/2017	617864	Registered
NATHANS FAMOUS SYSTEMS INC / Nathan's Famous Systems, Inc.	Jericho, NY	7/21/2017	617862	Registered
The Decor Group, Inc. / Christmas Decor	Irving, TX	7/21/2017	617860	Registered
Teeth Tomorrow, LLC / Teeth Tomorrow	Woodstock, NY	7/21/2017	617859	Registered
Sweet Charlie's Franchising, LLC / Sweet Charlie's	Philadelphia, PA	7/21/2017	617858	Registered
PEAK Vertical Transportation Group / PEAK Elevator	Westminster, CO	7/21/2017	617855	Registered
Mogavero Investments, LLC / Border Magic / Boulder Designs	Waco, TX	7/20/2017	617853	Registered
HM Franchise, Inc. / Hotel Makeover	Spring, TX	7/20/2017	617851	Registered
STEAMATIC INC	FORT WORTH, TX	7/20/2017	617850	Registered
Shred415 Franchising, LLC / Shred415	Chicago, IL	7/19/2017	617849	Registered
DivaDance Company / DivaDance	Waco, TX	7/19/2017	617848	Registered
Realty ONE Group Affiliates, Inc. / Realty ONE Group Unit Franchise	Las Vegas, NV	7/19/2017	617847	Registered
FinTech Consulting, LLC / ApTask WorkForce, LLC	Edison, NJ	7/19/2017	617845	Registered
Blo Blow Dry Bar Inc. / Blo Blow Dry Bar	Toronto, ON	7/19/2017	617843	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
COMPUTERTOTS INC / Computer Explorers	CYPRESS, TX	7/19/2017	617839	Registered
Woody's Bar-B-Q Franchise Systems, Inc. / Woody's Bar-B-Q	Jacksonville, FL	7/18/2017	617838	Registered
Groutsmith Franchising, Inc. / Groutsmith	Sarasota, FL	7/18/2017	617835	Registered
Leisure Systems, Inc. / Yogi Bear's Jellystone Park Camp - Resorts	Milford, OH	7/17/2017	617833	Registered
Sparkle International, Inc.	Oakwood, OH	7/17/2017	617832	Registered
JTH Tax, Inc. / Liberty Tax Service - Area Developer	Virginia Beach, VA	7/17/2017	617831	Registered
SiempreTax+, LLC / Siempretax+, LLC - Area Developer	Virginia Beach, VA	7/17/2017	617830	Registered
Number of Franchise Filings: 22				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	