

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 7/2/2017 through 7/8/2017**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
WASILLA CHRISTIAN CHURCH	WASILLA, AK	7/7/2017	00808469-02	Pending
RELIANCE CHURCH	TEMECULA, CA	7/3/2017	00808434-02	Pending
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1804 INCOME QUALITY COVERED CALL PORTFOLIO SERIES 2017 3Q	MONUMENT, CO	7/7/2017	00808456-05	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI REAL ESTATE DEBT FUND CLASS P	NEW YORK, NY	7/7/2017	00808409-03	Pending-Cleared
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI REAL ESTATE DEBT FUND INSTITUTIONAL CLASS	NEW YORK, NY	7/7/2017	00808408-03	Pending-Cleared
FT 6695 HOMEBUILDERS RECOVERY SELECT PORTFOLIO SERIES 16	WHEATON, IL	7/7/2017	00808464-05	Registered
FT 6706 EUROPEAN TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 14	WHEATON, IL	7/7/2017	00808459-05	Registered
FT 6706 TARGET DIVIDEND BLEND PORTFOLIO SERIES 14	WHEATON, IL	7/7/2017	00808457-05	Registered

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FT 6706 TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 19	WHEATON, IL	7/7/2017	00808458-05	Registered
FT 6707 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 3Q 17 TERM 10 15 18	WHEATON, IL	7/7/2017	00808460-05	Registered
FT 6708 INCOME ALLOCATION CLOSED END 3Q 17	WHEATON, IL	7/7/2017	00808465-05	Registered
FT 6709 DOW TARGET 10 JULY 17 TERM 8 9 18	WHEATON, IL	7/7/2017	00808467-05	Registered
FT 6762 RICHARD BERNSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 23	WHEATON, IL	7/7/2017	00808461-05	Registered
FT 6765 DIVERSIFIED FIXED INCOME ETF PORTFOLIO SERIES 31	WHEATON, IL	7/7/2017	00808466-05	Registered
FT 6771 40 60 STRATEGIC ALLOCATION PORT 3Q 17 TERM 10 18 18	WHEATON, IL	7/7/2017	00808451-05	Registered
FT 6819 DOW JONES GLOBAL TITANS PORTFOLIO SERIES 22	WHEATON, IL	7/7/2017	00808450-05	Pending-Cleared
FT 6833 GLOBAL COMMODITIES PORTFOLIO SERIES 32	WHEATON, IL	7/7/2017	00808463-05	Registered
FT 6874 MARKET STRENGTH ALLOCATION OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	7/7/2017	00808462-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1626 TOTAL INCOME PORTFOLIO SERIES 10	LISLE, IL	7/7/2017	00808454-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1635 ENERGY PORTFOLIO SERIES 23	LISLE, IL	7/7/2017	00808455-05	Registered

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SMART TR 325 SMART TR DORSEY WRIGHT INTERNATIONAL MOMENTUM TR SERIES 8	PARSIPPANY, NJ	7/7/2017	00808452-05	Registered
SMART TR 326 SMART TR DEFENSIVE 50 EQUITIES TR SERIES 12	PARSIPPANY, NJ	7/7/2017	00808453-05	Registered
FIDELITY HEREFORD STREET TR FIDELITY GOVERNMENT MONEY MARKET FUND ADVISOR CLASS M	BOSTON, MA	7/6/2017	00808410-03	Registered
ADVISORS DISCIPLINED TR 1803 BLUE CHIP COVERED CALL PORTFOLIO SERIES 2017 3Q	MONUMENT, CO	7/5/2017	00808446-05	Registered
GABELLI 787 FUND INC GABELLI ENTERPRISE MERGERS & ACQUISITIONS FUND CLASS T SHARES	RYE, NY	7/5/2017	00808430-03	Registered
GABELLI ASSET FUND CLASS T SHARES	RYE, NY	7/5/2017	00808426-03	Registered
GABELLI ESG FUND INC CLASS T SHARES	RYE, NY	7/5/2017	00808428-03	Registered
GABELLI GOLD FUND INC CLASS T SHARES	RYE, NY	7/5/2017	00808432-03	Registered
GABELLI VALUE 25 FUND INC CLASS T SHARES	RYE, NY	7/5/2017	00808429-03	Registered
GAMCO GLOBAL SERIES FUNDS INC GAMCO GLOBAL TELECOMMUNICATIONS FUND CLASS T SHARES	RYE, NY	7/5/2017	00808433-03	Registered
GAMCO GROWTH FUND CLASS T SHARES	RYE, NY	7/5/2017	00808431-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1625 CONVERTIBLE & INCOME PORTFOLIO OF FUNDS SERIES 26	LISLE, IL	7/5/2017	00808443-05	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1632 ENHANCED QUALITY 16 STRATEGY PORTFOLIO SERIES 8	LISLE, IL	7/5/2017	00808442-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1632 SENIOR LOAN & INCOME PORTFOLIO OF CEFS SERIES 32	LISLE, IL	7/5/2017	00808441-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1633 S&P GLOBAL DIVIDEND ARISTOCRATS SELECT 25 STRATEGY PORTFOLIO SERIES 4	LISLE, IL	7/5/2017	00808445-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1634 STRATEGIC INCOME PORTFOLIO SERIES 98	LISLE, IL	7/5/2017	00808444-05	Registered
TETON WESTWOOD FUNDS TETON WESTWOOD SMALLCAP EQUITY FUND CLASS T SHARES	RYE, NY	7/5/2017	00808427-03	Registered
WAKEFIELD ALTERNATIVE SERIES TR WAKEFIELD MANAGED FUTURES STRATEGY FUND CLASS A SHARES	DENVER, CO	7/5/2017	00808399-03	Registered
WAKEFIELD ALTERNATIVE SERIES TR WAKEFIELD MANAGED FUTURES STRATEGY FUND CLASS I SHARES	DENVER, CO	7/5/2017	00808400-03	Registered
DE GROUP GLOBAL & INTERNATIONAL FUNDS DE INTERNATIONAL SMALL CAP FUND CLASS R6	PHILADELPHIA, PA	7/3/2017	00808401-03	Registered
FT 6653 INNOVATION TECHNOLOGY PORTFOLIO SERIES 15	WHEATON, IL	7/3/2017	00808380-05	Registered
FT 6710 DOW TARGET 5 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808381-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 6710 DOW TARGET DVD 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808384-05	Registered
FT 6710 GLOBAL TARGET 15 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808386-05	Registered
FT 6710 S&P TARGET 24 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808385-05	Registered
FT 6710 S&P TARGET SMID 60 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808387-05	Registered
FT 6710 TARGET DBL PLAY 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808389-05	Registered
FT 6710 TARGET DIVSD DVD 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808388-05	Registered
FT 6710 TARGET FOCUS 4 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808390-05	Registered
FT 6710 TARGET GLOBAL DVD LEADERS 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808391-05	Registered
FT 6710 TARGET GROWTH 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808392-05	Registered
FT 6710 TARGET TRIAD 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808393-05	Registered
FT 6710 TARGET VIP 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808394-05	Registered
FT 6710 VALUE LINE TARGET 25 3Q 17 TERM 10 9 18	WHEATON, IL	7/3/2017	00808395-05	Registered
FT 6717 US REVENUE PORTFOLIO SERIES 10	WHEATON, IL	7/3/2017	00808376-05	Registered
FT 6731 S&P DIVIDEND ARISTOCRATS TARGET 25 PORTFOLIO SERIES 2	WHEATON, IL	7/3/2017	00808374-05	Registered
FT 6757 STRUCTURED PORTFOLIO PLAN DEFINED PORTFOLIO JULY 2017 SERIES	WHEATON, IL	7/3/2017	00808377-05	Registered

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FT 6764 60 40 STRATEGIC ALLOCATION PORT 3Q 17 TERM 10 16 18	WHEATON, IL	7/3/2017	00808382-05	Registered
FT 6764 75 25 STRATEGIC ALLOCATION PORT 3Q 17 TERM 10 16 18	WHEATON, IL	7/3/2017	00808383-05	Registered
FT 6828 MEGA CAP PORTFOLIO SERIES 45	WHEATON, IL	7/3/2017	00808379-05	Registered
FT 6873 TACTICAL INCOME OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	7/3/2017	00808378-05	Registered
FT 6875 BALANCED INCOME OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	7/3/2017	00808375-05	Pending-Cleared
IVY FUNDS IVY EMERGING MARKETS EQUITY FUND CLASS T SHARES	SHAWNEE MISSION, KS	7/3/2017	00808483-03	Registered
IVY FUNDS IVY GOVERNMENT MONEY MARKET FUND CLASS N SHARES	SHAWNEE MISSION, KS	7/3/2017	00808482-03	Registered
IVY FUNDS IVY HIGH INCOME FUND CLASS T SHARES	SHAWNEE MISSION, KS	7/3/2017	00808484-03	Registered
IVY FUNDS IVY INTERNATIONAL CORE EQUITY FUND CLASS T SHARES	SHAWNEE MISSION, KS	7/3/2017	00808485-03	Registered
IVY FUNDS IVY LASALLE GLOBAL REAL ESTATE FUND CLASS N SHARES	SHAWNEE MISSION, KS	7/3/2017	00808490-03	Registered
IVY FUNDS IVY LASALLE GLOBAL RISK MANAGED REAL ESTATE FUND CLASS N SHARES	SHAWNEE MISSION, KS	7/3/2017	00808479-03	Registered
IVY FUNDS IVY MANAGED INTERNATIONAL OPPORTUNITIES FUND CLASS N SHARES	SHAWNEE MISSION, KS	7/3/2017	00808480-03	Registered

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IVY FUNDS IVY MUNICIPAL BOND FUND CLASS N SHARES	SHAWNEE MISSION, KS	7/3/2017	00808481-03	Registered
IVY FUNDS IVY MUNICIPAL HIGH INCOME FUND CLASS N SHARES	SHAWNEE MISSION, KS	7/3/2017	00808487-03	Registered
IVY FUNDS IVY SMALL CAP CORE FUND CLASS T SHARES	SHAWNEE MISSION, KS	7/3/2017	00808486-03	Registered
IVY FUNDS IVY SMALL CAP GROWTH FUND CLASS T SHARES	SHAWNEE MISSION, KS	7/3/2017	00808489-03	Registered
IVY FUNDS IVY TAX MANAGED EQUITY FUND CLASS N SHARES	SHAWNEE MISSION, KS	7/3/2017	00808488-03	Registered
SA FUNDS INVESTMENT TR SA EMERGING MARKETS VALUE FUND SELECT SHARES	SAN JOSE, CA	7/3/2017	00808356-03	Registered
SA FUNDS INVESTMENT TR SA GLOBAL FIXED INCOME FUND SELECT SHARES	SAN JOSE, CA	7/3/2017	00808349-03	Registered
SA FUNDS INVESTMENT TR SA INTERNATIONAL SMALL CO FUND SELECT SHARES	SAN JOSE, CA	7/3/2017	00808354-03	Registered
SA FUNDS INVESTMENT TR SA INTERNATIONAL VALUE FUND SELECT SHARES	SAN JOSE, CA	7/3/2017	00808353-03	Registered
SA FUNDS INVESTMENT TR SA REAL ESTATE SECURITIES FUND SELECT SHARES	SAN JOSE, CA	7/3/2017	00808357-03	Registered
SA FUNDS INVESTMENT TR SA US CORE MARKET FUND SELECT SHARES	SAN JOSE, CA	7/3/2017	00808350-03	Registered
SA FUNDS INVESTMENT TR SA US FIXED INCOME FUND SELECT SHARES	SAN JOSE, CA	7/3/2017	00808355-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SA FUNDS INVESTMENT TR SA US SMALL CO FUND SELECT SHARES	SAN JOSE, CA	7/3/2017	00808352-03	Registered
SA FUNDS INVESTMENT TR SA US VALUE FUND SELECT SHARES	SAN JOSE, CA	7/3/2017	00808351-03	Registered
<b>Number of Investment Company Filings: 81</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SELF STORAGE PORTFOLIO 3 DST	OAK BROOK, IL	7/7/2017	00808449-07	Filed
ARCTR INC	CLIFTON, NJ	7/6/2017	00808468-07	Filed
ACP SPECIAL OPPORTUNITY FUND LLC	HAUPPAUGE, NY	7/3/2017	00808396-07	Filed
ETON PHARMACEUTICALS INC	SAN DIEGO, CA	7/3/2017	00808397-07	Filed
<b>Number of Regulation D Notice Filings: 4</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Jimmy John's Franchisor SPV, LLC / Jimmy John's	Champaign, IL	7/8/2017	617806	Registered
Kidcreate Studio Franchising, LLC / Kidcreate Studio	Eden Prairie, MN	7/7/2017	617805	Registered
Chefs For Seniors Franchising, LLC.	Sun Prairie, WI	7/7/2017	617802	Registered
IHA Franchising, LLC / IceBorn	Jacksonville, FL	7/7/2017	617801	Registered
Image Studios 360 Franchise, LLC / Image Studios 360	Salt Lake City, UT	7/6/2017	617800	Registered
TMC FRANCHISE CORP / Circle K	TEMPE, AZ	7/6/2017	617799	Registered
Interstate Battery Franchising & Development, Inc. / Interstate All Battery Center	Urbandale, IA	7/6/2017	617797	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SandalStone Ventures, Inc. / Vanguard Cleaning Systems of SE Wisconsin	Greenfield, WI	7/5/2017	617796	Registered
<b>Number of Franchise Filings: 8</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	