

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/25/2017 through 7/1/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MOUNTAIN SPRINGS CHURCH	COLO SPGS, CO	6/29/2017	00808367-02	Pending
NEXPOINT CAPITAL INC	DALLAS, TX	6/29/2017	00808366-01	Pending
RESOURCE CREDIT INCOME FUND CLASS L SHARES	PHILADELPHIA, PA	6/26/2017	00808038-01	Pending-Cleared
RESOURCE REAL ESTATE DIVERSIFIED INCOME FUND CLASS L SHARES	PHILADELPHIA, PA	6/26/2017	00808037-01	Pending-Cleared
Number of Registration Filings: 4				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MOGULREIT 2 INC	LOS ANGELES, CA	6/30/2017	00808369-25	Filed
INSPRO TECHNOLOGIES CORP	EDDYSTONE, PA	6/28/2017	00808372-08	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DAVIS NY VENTURE FUND INC DAVIS NY VENTURE FUND CLASS T	TUCSON, AZ	6/30/2017	00808271-03	Registered
GUIDESTONE FUNDS STRATEGIC ALTERNATIVES FUND INSTITUTIONAL CLASS	DALLAS, TX	6/30/2017	00808183-03	Registered
GUIDESTONE FUNDS STRATEGIC ALTERNATIVES FUND INVESTOR CLASS	DALLAS, TX	6/30/2017	00808184-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/25/2017 through 7/1/2017**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
JPMORGAN TR I JPMORGAN MANAGED INCOME FUND CLASS I SHARES	NEW YORK, NY	6/30/2017	00808272-03	Registered
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN CORE PLUS FUND CLASS A	NEW YORK, NY	6/30/2017	00808273-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN CORE PLUS FUND CLASS C	NEW YORK, NY	6/30/2017	00808274-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN CORE PLUS FUND INSTITUTIONAL CLASS	NEW YORK, NY	6/30/2017	00808275-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN CORE PLUS FUND CLASS R6	NEW YORK, NY	6/30/2017	00808276-03	Pending-Cleared
ADVISORS INNER CIRCLE FUND 3 BNP PARIBAS AM EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES	OAKS, PA	6/29/2017	00808341-03	Registered
ADVISORS INNER CIRCLE FUND 3 BNP PARIBAS AM EMERGING MARKETS DEBT FUND INVESTOR SHARES	OAKS, PA	6/29/2017	00808342-03	Registered
ADVISORS INNER CIRCLE FUND 3 BNP PARIBAS AM US INFLATION LINKED BOND FUND INSTITUTIONAL SHARES	OAKS, PA	6/29/2017	00808339-03	Registered
ADVISORS INNER CIRCLE FUND 3 BNP PARIBAS AM US INFLATION LINKED BOND FUND INVESTOR SHARES	OAKS, PA	6/29/2017	00808340-03	Registered
GABELLI EQUITY SERIES FUNDS INC GABELLI EQUITY INCOME FUND CLASS T SHARES	RYE, NY	6/29/2017	00808346-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/25/2017 through 7/1/2017**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GABELLI EQUITY SERIES FUNDS INC GABELLI FOCUS 5 FUND CLASS T SHARES	RYE, NY	6/29/2017	00808345-03	Registered
GABELLI EQUITY SERIES FUNDS INC GABELLI SMALL CAP GROWTH FUND CLASS T SHARES	RYE, NY	6/29/2017	00808343-03	Registered
GABELLI UTILITIES FUND CLASS T SHARES	RYE, NY	6/29/2017	00808344-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1629 GUGGENHEIM INTERNATIONAL DIVIDEND STRATEGY PORTFOLIO SERIES 36	LISLE, IL	6/29/2017	00808364-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1629 QUALITY DIVIDEND STRATEGY PORTFOLIO SERIES 21	LISLE, IL	6/29/2017	00808365-05	Registered
LEGG MASON PARTNERS INCOME TR WESTERN ASSET CA MUNICIPALS FUND CLASS IS	NEW YORK, NY	6/29/2017	00808348-03	Registered
NORTHERN LIGHTS FUND TR 3 COUNTERPOINT TACTICAL EQUITY FUND CLASS I SHARES	HAUPPAUGE, NY	6/29/2017	00808156-03	Registered
TETON WESTWOOD FUNDS TETON WESTWOOD MIGHTY MITES FUND CLASS T SHARES	RYE, NY	6/29/2017	00808347-03	Registered
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2065 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/29/2017	00808362-03	Registered
VANGUARD CHESTER FUNDS VANGUARD TARGET RETIREMENT 2065 FUND INVESTOR SHARES	VALLEY FORGE, PA	6/29/2017	00808363-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/25/2017 through 7/1/2017**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
COLUMBIA FUNDS SERIES TR COLUMBIA SELECT LARGE CAP EQUITY FUND CLASS R4	BOSTON, MA	6/28/2017	00808187-03	Registered
LORD ABBETT AFFILIATED FUND INC CLASS T	JERSEY CITY, NJ	6/28/2017	00808194-03	Registered
LORD ABBETT BOND DEBENTURE FUND INC CLASS T	JERSEY CITY, NJ	6/28/2017	00808188-03	Registered
LORD ABBETT INVESTMENT TR LORD ABBETT FLOATING RATE FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808195-03	Registered
LORD ABBETT INVESTMENT TR LORD ABBETT HIGH YIELD FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808196-03	Registered
LORD ABBETT INVESTMENT TR LORD ABBETT INFLATION FOCUSED FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808197-03	Registered
LORD ABBETT INVESTMENT TR LORD ABBETT MULTI ASSET BALANCED OPPORTUNITY FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808198-03	Registered
LORD ABBETT INVESTMENT TR LORD ABBETT MULTI ASSET INCOME FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808199-03	Registered
LORD ABBETT INVESTMENT TR LORD ABBETT SHORT DURATION INCOME FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808200-03	Registered
LORD ABBETT INVESTMENT TR LORD ABBETT TOTAL RETURN FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808201-03	Registered
LORD ABBETT MUNICIPAL INCOME FUND INC LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808192-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/25/2017 through 7/1/2017**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LORD ABBETT MUNICIPAL INCOME FUND INC LORD ABBETT HIGH YIELD MUNICIPAL BOND FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808191-03	Registered
LORD ABBETT MUNICIPAL INCOME FUND INC LORD ABBETT SHORT DURATION TAX FREE FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808193-03	Registered
LORD ABBETT RESEARCH FUND INC LORD ABBETT CALIBRATED DIVIDEND GROWTH FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808202-03	Registered
LORD ABBETT SECURITIES TR LORD ABBETT GROWTH LEADERS FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808189-03	Registered
LORD ABBETT SECURITIES TR LORD ABBETT VALUE OPPORTUNITIES FUND CLASS T	JERSEY CITY, NJ	6/28/2017	00808190-03	Registered
PREDEX	HAUPPAUGE, NY	6/28/2017	00808155-05	Pending-Cleared
TRANSAMERICA FUNDS CLEARTRACK 2055 CLASS R1	ST. PETERSBURG, FL	6/28/2017	00808088-03	Pending-Cleared
TRANSAMERICA FUNDS CLEARTRACK 2055 CLASS R6	ST. PETERSBURG, FL	6/28/2017	00808089-03	Pending-Cleared
TRANSAMERICA FUNDS CLEARTRACK 2060 CLASS R1	ST. PETERSBURG, FL	6/28/2017	00808090-03	Pending-Cleared
TRANSAMERICA FUNDS CLEARTRACK 2060 CLASS R6	ST. PETERSBURG, FL	6/28/2017	00808091-03	Pending-Cleared
CHARTWELL FUNDS BERWYN FUND	BERWYN, PA	6/27/2017	00808070-03	Pending-Cleared
CHARTWELL FUNDS BERWYN INCOME FUND	BERWYN, PA	6/27/2017	00808072-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/25/2017 through 7/1/2017**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHARTWELL FUNDS CHARTWELL MID CAP VALUE FUND	BERWYN, PA	6/27/2017	00808074-03	Pending-Cleared
CHARTWELL FUNDS CHARTWELL SHORT DURATION HIGH YIELD FUND	BERWYN, PA	6/27/2017	00808073-03	Pending-Cleared
CHARTWELL FUNDS CHARTWELL SMALL CAP VALUE FUND	BERWYN, PA	6/27/2017	00808071-03	Pending-Cleared
FT 6713 TACTICAL ALPHA PORTFOLIO SERIES 13	WHEATON, IL	6/27/2017	00808077-05	Registered
FT 6802 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 28	WHEATON, IL	6/27/2017	00808080-05	Registered
FT 6808 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 63	WHEATON, IL	6/27/2017	00808079-05	Registered
FT 6814 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 30	WHEATON, IL	6/27/2017	00808082-05	Registered
FT 6815 LOW VOLATILITY PORTFOLIO SERIES 8	WHEATON, IL	6/27/2017	00808076-05	Registered
FT 6832 GLOBAL BOND INCOME CLOSED END PORTFOLIO SERIES 25	WHEATON, IL	6/27/2017	00808075-05	Registered
FT 6864 DIVIDEND STRENGTH OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	6/27/2017	00808081-05	Registered
FT 6865 INTEREST RATE HEDGE OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	6/27/2017	00808078-05	Registered
INVESCO UNIT TR SERIES 1782 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 2017 3	DOWNERS GROVE, IL	6/27/2017	00808063-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/25/2017 through 7/1/2017**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1784	DOWNERS GROVE, IL	6/27/2017	00808062-05	Pending-Cleared
INVESCO UNIT TR SERIES 1785 BUYBACK LEADERS PORTFOLIO 2017 3	DOWNERS GROVE, IL	6/27/2017	00808061-05	Pending-Cleared
INVESCO UNIT TR SERIES 1786	DOWNERS GROVE, IL	6/27/2017	00808060-05	Registered
INVESCO UNIT TR SERIES 1789 GLOBAL HIGH DIVIDEND PORTFOLIO 2017 3	DOWNERS GROVE, IL	6/27/2017	00808059-05	Pending-Cleared
INVESCO UNIT TR SERIES 1792	DOWNERS GROVE, IL	6/27/2017	00808058-05	Pending-Cleared
MORGAN STANLEY INVESTMENT SOLUTIONS UNCOMMON VALUES TR 2017 SERIES & UNCOMMON VALUES GROWTH & INCOME SERIES 2017	PURCHASE, NY	6/27/2017	00808064-05	Pending-Cleared
FT 6730 EQUITY CLOSED END PORTFOLIO SERIES 45	WHEATON, IL	6/26/2017	00807945-05	Registered
FT 6736 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 27	WHEATON, IL	6/26/2017	00807944-05	Registered
FT 6747 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 27	WHEATON, IL	6/26/2017	00807954-05	Registered
FT 6789 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 24	WHEATON, IL	6/26/2017	00807953-05	Registered
FT 6798 BIOTECHNOLOGY PORTFOLIO SERIES 37	WHEATON, IL	6/26/2017	00807950-05	Registered
FT 6801 BALANCED INCOME PORTFOLIO SERIES 57	WHEATON, IL	6/26/2017	00807952-05	Registered
FT 6803 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 36	WHEATON, IL	6/26/2017	00807947-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/25/2017 through 7/1/2017**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6809 TAX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 52	WHEATON, IL	6/26/2017	00807955-05	Registered
FT 6813 GLOBAL DEEP VALUE DIVIDEND PORTFOLIO SERIES 15	WHEATON, IL	6/26/2017	00807946-05	Registered
FT 6866 INTERNATIONAL CAPITAL STRENGTH OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	6/26/2017	00807948-05	Registered
FT 6866 SMID CAPITAL STRENGTH OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	6/26/2017	00807949-05	Registered
HORIZON FUNDS HORIZON ACTIVE DIVIDEND FUND ADVISOR CLASS	MILWAUKEE, WI	6/26/2017	00808087-03	Registered
SMART TR 323 SMART TR ARGUS DIVIDEND GROWERS TOTAL RETURN TR SERIES 13	PARSIPPANY, NJ	6/26/2017	00807951-05	Registered
SMART TR 324 SMART TR CEFA SELECT BDC TR SERIES 10	PARSIPPANY, NJ	6/26/2017	00807956-05	Pending-Cleared
Number of Investment Company Filings: 78				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMCRETE PARKDALE LLC	NORTHRIDGE, CA	6/30/2017	00808103-07	Filed
BRICK HOUSE FARMS 10 LLC	EVANSTON, IL	6/30/2017	00808114-07	Filed
CLEAN ENERGY CAPITAL FUND 4 LLC	LOUISVILLE, CO	6/30/2017	00808109-07	Filed
ENDRIES HOLDINGS LLC	PROVIDENCE, RI	6/30/2017	00808107-07	Filed
FLEXDEX INC	BRIGHTON, MI	6/30/2017	00808105-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/25/2017 through 7/1/2017**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FRONT RANGE BIOSCIENCES INC	LAFAYETTE, CO	6/30/2017	00808112-07	Filed
GPB WASTE MANAGEMENT FUND LP	NEW YORK, NY	6/30/2017	00808104-07	Filed
LINCOLN POINTE APARTMENTS LLC	CHICAGO, IL	6/30/2017	00808113-07	Filed
NAPALI 3 LLC	DALLAS, TX	6/30/2017	00808111-07	Filed
SOLBE LEARNING UNO LLC	RANDOLPH, MA	6/30/2017	00808102-07	Filed
WATERLEAF APARTMENTS LLC	WOODLAND HLS, CA	6/30/2017	00808108-07	Filed
XEALTH INC	SEATTLE, WA	6/30/2017	00808110-07	Filed
VAST MOUNTAIN DEVELOPMENT INC	DALLAS, TX	6/29/2017	00808368-07	Filed
CIRRINA HOLDINGS LLC	ENCINO, CA	6/26/2017	00807941-07	Filed
PACIFIC SHORE HOLDINGS INC	CANOGA PARK, CA	6/26/2017	00807940-07	Filed
Number of Regulation D Notice Filings: 15				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
HCAFranchise Corporation / Home Care Assistance	San Francisco, CA	6/30/2017	617794	Registered
Big O Tires, LLC / Big O Tires (PDF)	Palm Beach Gardens, FL	6/29/2017	617786	Registered
Midas International Corporation / Midas Shop	Palm Beach Gardens, FL	6/29/2017	617784	Registered
Big O Tires, LLC / Business Format Franchise	Palm Beach Gardens, FL	6/29/2017	617785	Registered
HEALTH MART SYSTEMS, INC / "HEALTH MART" or"HEALTH MART SYSTEMS, INC"	SAN FRANCISCO, CA	6/29/2017	617783	Registered
Cabin Coffee Franchising, Inc. / Cabin Coffee Co.	Clear Lake, IA	6/28/2017	617766	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/25/2017 through 7/1/2017**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
YGM Franchise LL / You've Got MAIDS	Mount Pleasant, SC	6/28/2017	617767	Registered
K-9 Franchising, LLC / K-9 Resorts Daycare and Luxury Hotel	Fanwood, NJ	6/27/2017	617764	Registered
Monster Franchise LLC / Monster Tree Service	Fort Washington, PA	6/27/2017	617762	Registered
P&B Franchise, LLC / Primp and Blow	Scottsdale, AZ	6/26/2017	617759	Registered
Paramount Franchising, LLC / Paramount	West Palm Beach, FL	6/26/2017	617757	Registered
DOLLAR RENT A CAR INC	Estero, FL	6/26/2017	617756	Registered
THRIFTY RENT-A-CAR SYSTEM INC / THRIFTY, THRIFTY RENT-A-CAR	Estero, FL	6/26/2017	617755	Registered
HERTZ SYSTEM, INC. / HERTZ	Estero, FL	6/26/2017	617754	Registered
Saladworks, LLC / Saladworks	Conshohocken, PA	6/26/2017	617753	Registered
Stars & Stripes Kids Activity Center Franchising, LLC / Stars & Stripes Kids Activity Centers	Clarkston, MI	6/26/2017	617750	Registered
TMA Franchise Systems, Inc. / The Mosquito Authority	Hickory, NC	6/26/2017	617749	Registered
Number of Franchise Filings: 17				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	