

**WI Securities Law and Franchise Law Filings
for 6/18/2017 through 6/24/2017**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR OAK RIDGE GLOBAL RESOURCES & INFRASTRUCTURE FUND CLASS A	MILWAUKEE, WI	6/20/2017	00807745-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR OAK RIDGE GLOBAL RESOURCES & INFRASTRUCTURE FUND CLASS I	MILWAUKEE, WI	6/20/2017	00807746-03	Pending-Cleared
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BORGER CAPITAL TIMBER FUND LP	WAUSAU, WI	6/23/2017	00808042-07	Filed
Number of Regulation D Notice Filings: 1				