Securities Law Registration Filings Made with DFI's Securities Division					
Firm Name	Location	Date Received	File Number	Status	
PEACHTREE ALTERNATIVE STRATEGIES FUND	CINCINNATI, OH	6/20/2017	00807992-01	Registered	
Number of Registration Filings: 1					

Securities Law Exemption Filings Made with DFI's Securities Division					
Firm Name	Location	Date Received	File Number	Status	
AMERICAN HOMEOWNER PRESERVATION 2015A PLUS LLC	CHICAGO, IL	6/20/2017	00807744-25	Filed	
Number of Exemption Filings: 1					

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CAVANAL HILL FUNDS GOVERNMENT SECURITIES MONEY MARKET FUND PREMIER	COLUMBUS, OH	6/23/2017	00807846-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 4 PRUDENTIAL MUNI HIGH INCOME FUND CLASS Q	NEWARK, NJ	6/23/2017	00807845-03	Registered
ADVISORS DISCIPLINED TR 1810 COHEN & STEERS DYNAMIC INCOME PORTFOLIO SERIES 2017 3	MONUMENT, CO	6/22/2017	00807976-05	Registered
ADVISORS DISCIPLINED TR 1810 DIVIDEND SUSTAINABILITY PORTFOLIO SERIES 2017 3Q HIMCO PORTFOLIO	MONUMENT, CO	6/22/2017	00807980-05	Registered
ADVISORS DISCIPLINED TR 1811 ADVISORS CORE EQUITY STRATEGY PORTFOLIO SERIES 2017 3Q	MONUMENT, CO	6/22/2017	00807977-05	Registered

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Firm Name	Location	Date	File Number	Status
· ····································	20041011	Received		Clarao
ADVISORS DISCIPLINED TR 811 BAHL & GAYNOR NCOME GROWTH PORTFOLIO SERIES 2017 3Q	MONUMENT, CO	6/22/2017	00807981-05	Registered
DVISORS DISCIPLINED TR 811 DIVIDEND ADVANTAGE ORTFOLIO SERIES 2017 3Q	MONUMENT, CO	6/22/2017	00807982-05	Registered
ADVISORS DISCIPLINED TR 811 EUROPEAN SELECT PORTFOLIO SERIES 2017 3Q CJL PORTFOLIO	MONUMENT, CO	6/22/2017	00807983-05	Registered
ADVISORS DISCIPLINED TR 811 INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 2017 3Q	MONUMENT, CO	6/22/2017	00807984-05	Registered
ADVISORS DISCIPLINED TR 811 MINIMUM VOLATILITY EQUITY INCOME PORTFOLIO BERIES 2017 3Q HIMCO PORTFOLIO	MONUMENT, CO	6/22/2017	00807975-05	Registered
ADVISORS DISCIPLINED TR 811 STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2017 3Q	MONUMENT, CO	6/22/2017	00807985-05	Registered
ADVISORS DISCIPLINED TR 812 AEROSPACE DEFENSE DPPORTUNITIES PORTFOLIO SERIES 2017 3	MONUMENT, CO	6/22/2017	00807978-05	Registered
ADVISORS DISCIPLINED TR 812 BLUE CHIP PORTFOLIO SERIES 2017 3Q	MONUMENT, CO	6/22/2017	00807987-05	Registered
ADVISORS DISCIPLINED TR 812 COHEN & STEERS COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2017 3	MONUMENT, CO	6/22/2017	00807988-05	Registered
ADVISORS DISCIPLINED TR 812 COHEN & STEERS GLOBAL COVERED CALL & NCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2017 3	MONUMENT, CO	6/22/2017	00807974-05	Registered

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Firm Name Location Date File Number Status					
T IIII Hullo	Location	Received	. no rambor	Claras	
DVISORS DISCIPLINED TR 812 CORE ANGELS ORTFOLIO SERIES 2017 2	MONUMENT, CO	6/22/2017	00807973-05	Registered	
DVISORS DISCIPLINED TR 812 ENERGY PPORTUNITIES PORTFOLIO ERIES 2017 3Q	MONUMENT, CO	6/22/2017	00807989-05	Registered	
DVISORS DISCIPLINED TR 812 STRATEGIC OUNDATIONS OF GROWTH ORTFOLIO SERIES 2017 3Q	MONUMENT, CO	6/22/2017	00807990-05	Registered	
DVISORS DISCIPLINED TR 812 TACTICAL INCOME LOSED END PORTFOLIO ERIES 2017 3	MONUMENT, CO	6/22/2017	00807991-05	Registered	
T 6810 US EQUITY CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	6/22/2017	00807972-05	Registered	
T 6823 US ENERGY NDEPENDENCE PORTFOLIO SERIES 16	WHEATON, IL	6/22/2017	00807971-05	Registered	
GUGGENHEIM DEFINED ORTFOLIOS SERIES 1623 INANCIALS PORTFOLIO SERIES 9	LISLE, IL	6/22/2017	00807986-05	Registered	
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1623 ECHNOLOGICAL NNOVATION PORTFOLIO ERIES 9	LISLE, IL	6/22/2017	00807979-05	Registered	
T 6734 SABRIENT FORWARD OOKING VALUE PORTFOLIO SERIES 5	WHEATON, IL	6/20/2017	00807751-05	Registered	
T 6774 DIVIDEND EDGE ORTFOLIO SERIES 7	WHEATON, IL	6/20/2017	00807758-05	Registered	
T 6776 EUROPEAN DEEP ALUE DIVIDEND PORTFOLIO ERIES 15	WHEATON, IL	6/20/2017	00807749-05	Registered	

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Division Firm Name Leastion Data File Number Status					
Firm Name	Location	Date Received	File Number	Status	
FT 6777 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 55	WHEATON, IL	6/20/2017	00807750-05	Registered	
FT 6778 UTILITIES SELECT PORTFOLIO SERIES 43	WHEATON, IL	6/20/2017	00807757-05	Registered	
FT 6785 CYCLICAL STRENGTH PORTFOLIO SERIES 18	WHEATON, IL	6/20/2017	00807748-05	Registered	
FT 6786 GLOBAL EQUITY NCOME CLOSED END PORTFOLIO SERIES 55	WHEATON, IL	6/20/2017	00807755-05	Registered	
FT 6787 REIT GROWTH & NCOME SELECT PORTFOLIO SERIES 42	WHEATON, IL	6/20/2017	00807754-05	Registered	
FT 6792 WATER UTILITY & NFRASTRUCTURE SELECT PORTFOLIO SERIES 46	WHEATON, IL	6/20/2017	00807753-05	Registered	
FT 6800 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 29	WHEATON, IL	6/20/2017	00807752-05	Registered	
FT 6862 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 2Q 17B TERM 7 16 18	WHEATON, IL	6/20/2017	00807747-05	Registered	
FT 6863 CAPITAL STRENGTH DPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	6/20/2017	00807756-05	Registered	
NVESTMENT MANAGERS SERIES TR OAK RIDGE GLOBAL RESOURCES & NFRASTRUCTURE FUND CLASS A	MILWAUKEE, WI	6/20/2017	00807745-03	Pending-Cleared	
NVESTMENT MANAGERS SERIES TR OAK RIDGE GLOBAL RESOURCES & NFRASTRUCTURE FUND CLASS I	MILWAUKEE, WI	6/20/2017	00807746-03	Pending-Cleared	
SEI INSTITUTIONAL NVESTMENTS TR WORLD SELECT EQUITY FUND CLASS A SHARES	OAKS, PA	6/20/2017	00807844-03	Registered	

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division					
Firm Name	Location	Date Received	File Number	Status	
FT 6799 MULTI SECTOR INCOME PORTFOLIO SERIES 36	WHEATON, IL	6/19/2017	00807740-05	Registered	
SMART TR 321 SMART TR MORNINGSTAR DIVIDEND YIELD SELECT TR SERIES 2	PARSIPPANY, NJ	6/19/2017	00807741-05	Registered	
SMART TR 322 SMART TR DYNAMIC SECTOR INCOME TR SERIES 18	PARSIPPANY, NJ	6/19/2017	00807742-05	Registered	
Number of Investment Company Filings: 41					

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AEI NET LEASE INCOME FUND 36 LP	SAINT PAUL, MN	6/23/2017	00808053-07	Filed
BIOINNOVATION CAPITAL 1 LP	CAMBRIDGE, MA	6/23/2017	00808049-07	Filed
BORGER CAPITAL TIMBER FUND LP	WAUSAU, WI	6/23/2017	00808042-07	Filed
CORE SENIOR LENDING FUND PB LP	NEW YORK, NY	6/23/2017	00808041-07	Filed
HCG LP LLC	CHICAGO, IL	6/23/2017	00808046-07	Filed
HGI SF K30 LLC	NORFOLK, VA	6/23/2017	00808043-07	Filed
INTERNET OF VALUE FUND 1 LLC	CHICAGO, IL	6/23/2017	00808056-07	Filed
JUNIPER AMARILLO HOLDINGS LTD	HOUSTON, TX	6/23/2017	00808051-07	Filed
MACKAY MUNICIPAL CREDIT OPPORTUNITIES HL FUND LP	NEW YORK, NY	6/23/2017	00808057-07	Filed
PONDEROSA DEVELOPMENT JOINT VENTURE	SAN ANTONIO, TX	6/23/2017	00808048-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PRIVATE EQUITY CORE FUND 7 LP	CHICAGO, IL	6/23/2017	00808054-07	Filed
RHINO STEEL CORP	KIRKLAND, WA	6/23/2017	00808052-07	Filed
SEA STREET TECHNOLOGIES INC	CANTON, MA	6/23/2017	00808050-07	Filed
SOF 11 VIP TE LP	GREENWICH, CT	6/23/2017	00808044-07	Filed
STAR MOUNTAIN DIVERSIFIED SMALL BUSINESS ACCESS FUND 2 LP	NEW YORK, NY	6/23/2017	00808039-07	Filed
HELLO BEVERAGES LLC	CHICAGO, IL	6/21/2017	00807297-07	Filed
Number of Regulation D Notice Filings: 16				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
British Swim Centers Franchising, LLC / British Swim School	Oakland Park, FL	6/24/2017	617747	Registered
Sbarro Franchise Co., LLC / Sbarro Conversion Restaurant Program	Columbus, OH	6/23/2017	617745	Registered
YOGIBO FRANCHISING LLC / Yogibo	Nashua, NH	6/23/2017	617740	Registered
OfficeZilla Franchise Company, LLC / OfficeZilla	Kennesaw, GA	6/22/2017	617737	Registered
Arthur Rutenberg Homes, Inc. / Arthur Rutenberg	Clearwater, FL	6/22/2017	617734	Registered
Junkluggers Franchising, LLC / "The Junkluggers" and "Luggers Moving"	Stamford, CT	6/20/2017	617729	Registered
Number of Franchise Filings: 6				

Corporate Take-Over Law Filings Made with DFI's Securities Division				
Takeover Filing Reference Date Received				
Number of Takeover Filings: 0				

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