

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/28/2017 through 6/3/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CALVARY EVANGELICAL LUTHERAN CHURCH OF RUSKIN FL INC	APOLLO BEACH, FL	6/2/2017	00806798-10	Not Disallowed
FARMERS & MERCHANTS BANCSHARES INC	HAMPSTEAD, MD	6/2/2017	00806797-08	Pending
RIVER OF LIFE FELLOWSHIP	KENT, WA	6/2/2017	00806799-10	Not Disallowed
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FEDERATED EQUITY FUNDS FEDERATED KAUFMANN LARGE CAP FUND CLASS T SHARES	WARRENDALE, PA	6/2/2017	00806575-03	Registered
FEDERATED EQUITY FUNDS FEDERATED STRATEGIC VALUE DIVIDEND FUND CLASS T SHARES	WARRENDALE, PA	6/2/2017	00806574-03	Registered
FEDERATED INCOME SECURITIES TR FEDERATED CAPITAL INCOME FUND CLASS T SHARES	WARRENDALE, PA	6/2/2017	00806576-03	Registered
FEDERATED MDT SERIES FEDERATED MDT SMALL CAP CORE FUND CLASS T SHARES	WARRENDALE, PA	6/2/2017	00806577-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FEDERATED TOTAL RETURN SERIES INC FEDERATED TOTAL RETURN BOND FUND CLASS T SHARES	WARRENDALE, PA	6/2/2017	00806573-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON ALL ASSET FUND CLASS A SHARES	DENVER, CO	6/2/2017	00806639-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON ALL ASSET FUND CLASS C SHARES	DENVER, CO	6/2/2017	00806637-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON ALL ASSET FUND CLASS D SHARES	DENVER, CO	6/2/2017	00806641-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON ALL ASSET FUND CLASS I SHARES	DENVER, CO	6/2/2017	00806635-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON ALL ASSET FUND CLASS N SHARES	DENVER, CO	6/2/2017	00806636-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON ALL ASSET FUND CLASS S SHARES	DENVER, CO	6/2/2017	00806638-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON ALL ASSET FUND CLASS T SHARES	DENVER, CO	6/2/2017	00806640-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS C SHARES	DENVER, CO	6/2/2017	00806643-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS A SHARES	DENVER, CO	6/2/2017	00806642-03	Registered

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Firm Name	Location	Date Received	File Number	Status
JANUS INVESTMENT FUND JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS D SHARES	DENVER, CO	6/2/2017	00806654-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS N SHARES	DENVER, CO	6/2/2017	00806662-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS S SHARES	DENVER, CO	6/2/2017	00806648-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS I SHARES	DENVER, CO	6/2/2017	00806646-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS T SHARES	DENVER, CO	6/2/2017	00806656-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EMERGING MARKETS FUND CLASS C SHARES	DENVER, CO	6/2/2017	00806660-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EMERGING MARKETS FUND CLASS N SHARES	DENVER, CO	6/2/2017	00806644-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EMERGING MARKETS FUND CLASS D SHARES	DENVER, CO	6/2/2017	00806652-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EMERGING MARKETS FUND CLASS S SHARES	DENVER, CO	6/2/2017	00806645-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EMERGING MARKETS FUND CLASS I SHARES	DENVER, CO	6/2/2017	00806658-03	Registered

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JANUS INVESTMENT FUND JANUS HENDERSON EMERGING MARKETS FUND CLASS T SHARES	DENVER, CO	6/2/2017	00806647-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EMERGING MARKETS FUND CLASS A SHARES	DENVER, CO	6/2/2017	00806650-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EUROPEAN FOCUS FUND CLASS S SHARES	DENVER, CO	6/2/2017	00806659-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EUROPEAN FOCUS FUND CLASS N SHARES	DENVER, CO	6/2/2017	00806657-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EUROPEAN FOCUS FUND CLASS D SHARES	DENVER, CO	6/2/2017	00806653-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EUROPEAN FOCUS FUND CLASS I SHARES	DENVER, CO	6/2/2017	00806655-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EUROPEAN FOCUS FUND CLASS A SHARES	DENVER, CO	6/2/2017	00806649-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EUROPEAN FOCUS FUND CLASS T SHARES	DENVER, CO	6/2/2017	00806661-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON EUROPEAN FOCUS FUND CLASS C SHARES	DENVER, CO	6/2/2017	00806651-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS T SHARES	DENVER, CO	6/2/2017	00806670-03	Registered

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JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS S SHARES	DENVER, CO	6/2/2017	00806696-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS D SHARES	DENVER, CO	6/2/2017	00806666-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS I SHARES	DENVER, CO	6/2/2017	00806686-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS A SHARES	DENVER, CO	6/2/2017	00806663-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS C SHARES	DENVER, CO	6/2/2017	00806664-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS N SHARES	DENVER, CO	6/2/2017	00806668-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS R SHARES	DENVER, CO	6/2/2017	00806676-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS T SHARES	DENVER, CO	6/2/2017	00806678-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS S SHARES	DENVER, CO	6/2/2017	00806698-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS A SHARES	DENVER, CO	6/2/2017	00806688-03	Registered

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JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS I SHARES	DENVER, CO	6/2/2017	00806674-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS D SHARES	DENVER, CO	6/2/2017	00806704-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS N SHARES	DENVER, CO	6/2/2017	00806690-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS C SHARES	DENVER, CO	6/2/2017	00806672-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL LONG SHORT EQUITY FUND CLASS D SHARES	DENVER, CO	6/2/2017	00806697-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL LONG SHORT EQUITY FUND CLASS A SHARES	DENVER, CO	6/2/2017	00806693-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL LONG SHORT EQUITY FUND CLASS T SHARES	DENVER, CO	6/2/2017	00806705-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL LONG SHORT EQUITY FUND CLASS I SHARES	DENVER, CO	6/2/2017	00806699-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL LONG SHORT EQUITY FUND CLASS N SHARES	DENVER, CO	6/2/2017	00806701-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL LONG SHORT EQUITY FUND CLASS C SHARES	DENVER, CO	6/2/2017	00806695-03	Registered

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Firm Name	Location	Date Received	File Number	Status
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL LONG SHORT EQUITY FUND CLASS S SHARES	DENVER, CO	6/2/2017	00806703-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL SMALL CAP FUND CLASS D SHARES	DENVER, CO	6/2/2017	00806702-03	Pending-Cleared
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL SMALL CAP FUND CLASS C SHARES	DENVER, CO	6/2/2017	00806680-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL SMALL CAP FUND CLASS A SHARES	DENVER, CO	6/2/2017	00806692-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL SMALL CAP FUND CLASS T SHARES	DENVER, CO	6/2/2017	00806700-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL SMALL CAP FUND CLASS S SHARES	DENVER, CO	6/2/2017	00806684-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL SMALL CAP FUND CLASS N SHARES	DENVER, CO	6/2/2017	00806694-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON INTERNATIONAL SMALL CAP FUND CLASS I SHARES	DENVER, CO	6/2/2017	00806682-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON STRATEGIC INCOME FUND CLASS I SHARES	DENVER, CO	6/2/2017	00806671-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON STRATEGIC INCOME FUND CLASS N SHARES	DENVER, CO	6/2/2017	00806673-03	Registered

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JANUS INVESTMENT FUND JANUS HENDERSON STRATEGIC INCOME FUND CLASS S SHARES	DENVER, CO	6/2/2017	00806675-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON STRATEGIC INCOME FUND CLASS C SHARES	DENVER, CO	6/2/2017	00806667-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON STRATEGIC INCOME FUND CLASS A SHARES	DENVER, CO	6/2/2017	00806665-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON STRATEGIC INCOME FUND CLASS T SHARES	DENVER, CO	6/2/2017	00806677-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON STRATEGIC INCOME FUND CLASS D SHARES	DENVER, CO	6/2/2017	00806669-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON US GROWTH OPPORTUNITIES FUND CLASS A SHARES	DENVER, CO	6/2/2017	00806679-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON US GROWTH OPPORTUNITIES FUND CLASS I SHARES	DENVER, CO	6/2/2017	00806685-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON US GROWTH OPPORTUNITIES FUND CLASS S SHARES	DENVER, CO	6/2/2017	00806689-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON US GROWTH OPPORTUNITIES FUND CLASS D SHARES	DENVER, CO	6/2/2017	00806683-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON US GROWTH OPPORTUNITIES FUND CLASS C SHARES	DENVER, CO	6/2/2017	00806681-03	Registered

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JANUS INVESTMENT FUND JANUS HENDERSON US GROWTH OPPORTUNITIES FUND CLASS T SHARES	DENVER, CO	6/2/2017	00806691-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON US GROWTH OPPORTUNITIES FUND CLASS N SHARES	DENVER, CO	6/2/2017	00806687-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AC ALTERNATIVES INCOME FUND T CLASS	KANSAS CITY, MO	6/1/2017	00806551-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY VALUE FUND T CLASS	KANSAS CITY, MO	6/1/2017	00806550-03	Registered
AMERICAN CENTURY INTERNATIONAL BOND FUNDS AMERICAN CENTURY GLOBAL BOND FUND T CLASS	KANSAS CITY, MO	6/1/2017	00806553-03	Registered
AMERICAN CENTURY INVESTMENT TR AMERICAN CENTURY DIVERSIFIED BOND FUND T CLASS	KANSAS CITY, MO	6/1/2017	00806552-03	Registered
AMERICAN CENTURY MUNICIPAL TR AMERICAN CENTURY INTERMEDIATE TERM TAX FREE BOND FUND T CLASS	KANSAS CITY, MO	6/1/2017	00806549-03	Registered
AMERICAN CENTURY WORLD MUTUAL FUNDS INC AMERICAN CENTURY EMERGING MARKETS FUND T CLASS	KANSAS CITY, MO	6/1/2017	00806554-03	Registered
DEUTSCHE GLOBAL INTERNATIONAL FUND INC DEUTSCHE GLOBAL INFRASTRUCTURE FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806562-03	Registered
DEUTSCHE GLOBAL INTERNATIONAL FUND INC DEUTSCHE GLOBAL SMALL CAP FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806561-03	Registered

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Firm Name	Location	Date Received	File Number	Status
DEUTSCHE INCOME TR DEUTSCHE GLOBAL HIGH INCOME FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806565-03	Registered
DEUTSCHE INCOME TR DEUTSCHE GNMA FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806563-03	Registered
DEUTSCHE INCOME TR DEUTSCHE HIGH INCOME FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806564-03	Registered
DEUTSCHE INCOME TR DEUTSCHE SHORT DURATION FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806566-03	Registered
DEUTSCHE INTERNATIONAL FUND INC DEUTSCHE CROCI INTERNATIONAL FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806569-03	Registered
DEUTSCHE INTERNATIONAL FUND INC DEUTSCHE EMERGING MARKETS EQUITY FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806567-03	Registered
DEUTSCHE INTERNATIONAL FUND INC DEUTSCHE WORLD DIVIDEND FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806568-03	Registered
DEUTSCHE INVESTMENT TR DEUTSCHE CORE EQUITY FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806571-03	Registered
DEUTSCHE INVESTMENT TR DEUTSCHE CROCI US FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806570-03	Registered
DEUTSCHE INVESTMENT TR DEUTSCHE SMALL CAP CORE FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806555-03	Registered
DEUTSCHE MARKET TR DEUTSCHE REAL ASSETS FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806556-03	Registered

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Firm Name	Location	Date Received	File Number	Status
DEUTSCHE SECURITIES TR DEUTSCHE ENHANCED COMMODITY STRATEGY FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806557-03	Registered
DEUTSCHE SECURITIES TR DEUTSCHE GLOBAL REAL ESTATE SECURITIES FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806558-03	Registered
DEUTSCHE SECURITIES TR DEUTSCHE REAL ESTATE SECURITIES FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806559-03	Registered
DEUTSCHE VALUE SERIES INC DEUTSCHE CROCI EQUITY DIVIDEND FUND CLASS T SHARES	CHICAGO, IL	6/1/2017	00806560-03	Registered
GMO SERIES TR GMO QUALITY SERIES FUND CLASS PS	BOSTON, MA	6/1/2017	00806510-03	Registered
GMO SERIES TR GMO SGM MAJOR MARKETS SERIES FUND CLASS PS	BOSTON, MA	6/1/2017	00806509-03	Registered
PRINCIPAL FUNDS INC BLUE CHIP FUND CLASS T	DES MOINES, IA	6/1/2017	00806512-03	Registered
PRINCIPAL FUNDS INC GLOBAL DIVERSIFIED INCOME FUND CLASS T	DES MOINES, IA	6/1/2017	00806514-03	Registered
PRINCIPAL FUNDS INC HIGH YIELD FUND CLASS T	DES MOINES, IA	6/1/2017	00806511-03	Registered
PRINCIPAL FUNDS INC PREFERRED SECURITIES FUND CLASS T	DES MOINES, IA	6/1/2017	00806513-03	Registered
PRINCIPAL FUNDS INC SHORT TERM INCOME FUND CLASS T	DES MOINES, IA	6/1/2017	00806515-03	Registered

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AMG FUNDS 3 AMG MANAGERS CADENCE EMERGING CO FUND CLASS Z	GREENWICH, CT	5/31/2017	00806492-03	Registered
LEGG MASON PARTNERS EQUITY TR QS CONSERVATIVE GROWTH FUND CLASS IS	NEW YORK, NY	5/31/2017	00806493-03	Registered
LEGG MASON PARTNERS EQUITY TR QS DEFENSIVE GROWTH FUND CLASS IS	NEW YORK, NY	5/31/2017	00806495-03	Registered
LEGG MASON PARTNERS EQUITY TR QS GROWTH FUND CLASS IS	NEW YORK, NY	5/31/2017	00806496-03	Registered
LEGG MASON PARTNERS EQUITY TR QS MODERATE GROWTH FUND CLASS IS	NEW YORK, NY	5/31/2017	00806494-03	Registered
MANAGED PORTFOLIO SERIES FRIESS SMALL CAP GROWTH FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	5/31/2017	00806776-03	Registered
MANAGED PORTFOLIO SERIES FRIESS SMALL CAP GROWTH FUND INVESTOR CLASS SHARES	MILWAUKEE, WI	5/31/2017	00806775-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE LOOMIS ALL CAP GROWTH FUND CLASS R6	WEST ALLIS, WI	5/31/2017	00806779-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE LOOMIS ALL CAP GROWTH FUND CLASS A	WEST ALLIS, WI	5/31/2017	00806777-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE LOOMIS ALL CAP GROWTH FUND INSTITUTIONAL SERVICE CLASS	WEST ALLIS, WI	5/31/2017	00806778-03	Registered
PRICE T ROWE CA TAX FREE INCOME TR PRICE T ROWE CA TAX FREE BOND FUND PRICE T ROWE CA TAX FREE BOND FUND I CLASS	BALTIMORE, MA	5/31/2017	00806521-03	Pending-Cleared

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PRICE T ROWE CA TAX FREE INCOME TR PRICE T ROWE CA TAX FREE MONEY FUND PRICE T ROWE CA TAX FREE MONEY FUND I CLASS	BALTIMORE, MA	5/31/2017	00806520-03	Pending-Cleared
PRICE T ROWE INTERMEDIATE TAX FREE HIGH YIELD FUND INC PRICE T ROWE INTERMEDIATE TAX FREE HIGH YIELD FUND I CLASS	BALTIMORE, MA	5/31/2017	00806517-03	Pending-Cleared
PRICE T ROWE SHORT TERM BOND FUND INC PRICE T ROWE ULTRA SHORT TERM BOND FUND PRICE T ROWE ULTRA SHORT TERM BOND FUND	BALTIMORE, MA	5/31/2017	00806522-03	Pending-Cleared
PRICE T ROWE STATE TAX FREE INCOME TR GA TAX FREE BOND FUND PRICE T ROWE GA TAX FREE BOND FUND I CLASS	BALTIMORE, MA	5/31/2017	00806523-03	Pending-Cleared
PRICE T ROWE STATE TAX FREE INCOME TR MD SHORT TERM TAX FREE BOND FUND PRICE T ROWE MD SHORT TERM TAX FREE BOND FUND I	BALTIMORE, MA	5/31/2017	00806524-03	Pending-Cleared
PRICE T ROWE STATE TAX FREE INCOME TR MD TAX FREE BOND FUND PRICE T ROWE MD TAX FREE BOND FUND I CLASS	BALTIMORE, MA	5/31/2017	00806525-03	Pending-Cleared
PRICE T ROWE STATE TAX FREE INCOME TR MD TAX FREE MONEY FUND PRICE T ROWE MD TAX FREE MONEY FUND I CLASS	BALTIMORE, MA	5/31/2017	00806526-03	Pending-Cleared
PRICE T ROWE STATE TAX FREE INCOME TR NJ TAX FREE BOND FUND PRICE T ROWE NJ TAX FREE BOND FUND I CLASS	BALTIMORE, MA	5/31/2017	00806527-03	Pending-Cleared
PRICE T ROWE STATE TAX FREE INCOME TR NY TAX FREE BOND FUND PRICE T ROWE NY TAX FREE BOND FUND I CLASS	BALTIMORE, MA	5/31/2017	00806528-03	Pending-Cleared

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PRICE T ROWE STATE TAX FREE INCOME TR NY TAX FREE MONEY FUND PRICE T ROWE NY TAX FREE MONEY FUND I CLASS	BALTIMORE, MA	5/31/2017	00806529-03	Pending-Cleared
PRICE T ROWE STATE TAX FREE INCOME TR VA TAX FREE BOND FUND PRICE T ROWE VA TAX FREE BOND FUND I CLASS	BALTIMORE, MA	5/31/2017	00806530-03	Pending-Cleared
PRICE T ROWE TAX EFFICIENT FUNDS INC PRICE T ROWE TAX EFFICIENT EQUITY FUND PRICE T ROWE TAX EFFICIENT EQUITY FUND	BALTIMORE, MA	5/31/2017	00806531-03	Pending-Cleared
PRICE T ROWE TAX FREE INCOME FUND INC PRICE T ROWE TAX FREE INCOME FUND I CLASS	BALTIMORE, MA	5/31/2017	00806519-03	Pending-Cleared
PRICE T ROWE TX EX MONEY FUND INC PRICE T ROWE TX EX MONEY FUND I CLASS	BALTIMORE, MA	5/31/2017	00806518-03	Pending-Cleared
SARATOGA ADVANTAGE TR JAMES ALPHA EQUITY HEDGE PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806147-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA EVENT DRIVEN PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806148-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA FAMILY OFFICE PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806158-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA GLOBAL REAL ESTATE INVESTMENTS PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806152-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA HEDGED HIGH INCOME PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806157-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA MACRO PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806151-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SARATOGA ADVANTAGE TR JAMES ALPHA MANAGED RISK DOMESTIC EQUITY PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806155-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA MANAGED RISK EMERGING MARKETS EQUITY PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806156-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA MULTI STRATEGY ALTERNATIVE INCOME PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806153-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA RELATIVE VALUE PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806149-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA TOTAL HEDGE PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806150-03	Registered
SARATOGA ADVANTAGE TR JAMES ALPHA YORKVILLE MLP PORTFOLIO CLASS S	HAUPPAUGE, NY	5/31/2017	00806154-03	Registered
CHARTWELL FUNDS CHARTWELL SMALL CAP GROWTH FUND	BERWYN, PA	5/30/2017	00806161-03	Pending-Cleared
MANAGED ACCOUNT SERIES BLACKROCK GA DISCIPLINED VOLATILITY EQUITY FUND	WILMINGTON, DE	5/30/2017	00806488-03	Registered
MANAGED ACCOUNT SERIES BLACKROCK GA ENHANCED EQUITY FUND	WILMINGTON, DE	5/30/2017	00806489-03	Registered
MFS SERIES TR 3 MFS MUNICIPAL HIGH INCOME FUND CLASS R6 SHARES	BOSTON, MA	5/30/2017	00806490-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC SMALL CO GROWTH PORTFOLIO CLASS C	NEW YORK, NY	5/30/2017	00806218-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MORGAN STANLEY INSTITUTIONAL FUND TR MID CAP GROWTH PORTFOLIO CLASS C	NEW YORK, NY	5/30/2017	00806219-03	Registered
Number of Investment Company Filings: 149				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
2017 HIBNER 2 HORIZONTAL LP	BOWLING GREEN, KY	6/2/2017	00806822-07	Filed
AVISTONE CRAMER CREEK LLC	LAGUNA BEACH, CA	6/2/2017	00806818-07	Filed
BERYL CAPITAL FUND LTD	RCHO SANTA FE, CA	6/2/2017	00806825-07	Filed
CUJO LLC	EL SEGUNDO, CA	6/2/2017	00806821-07	Filed
EXCHANGERIGHT NET LEASED PREFERRED EQUITY FUND 3 LLC	PASADENA, CA	6/2/2017	00806828-07	Filed
LIGNETICS INVESTMENT CORP	NEW YORK, NY	6/2/2017	00806819-07	Filed
LKP WALKERS LLC	MILWAUKEE, WI	6/2/2017	00806800-07	Filed
NARRATIVEDX INC	AUSTIN, TX	6/2/2017	00806820-07	Filed
REALTYSHARES 289 LLC	LEWES, DE	6/2/2017	00806815-07	Filed
REALTYSHARES 296 LLC	LEWES, DE	6/2/2017	00806827-07	Filed
RH ON BROADWAY LLC	NEW YORK, NY	6/2/2017	00806823-07	Filed
ROCKSPRING CAPITAL TX REAL ESTATE BD RIA PARTNERS LP	HOUSTON, TX	6/2/2017	00806816-07	Filed
VALOR EQUITY PARTNERS 4 LP	CHICAGO, IL	6/2/2017	00806814-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/28/2017 through 6/3/2017**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ORDERGROVE INC	NEW YORK, NY	5/31/2017	00806773-07	Filed
USI ADVANTAGE CORP	NEW YORK, NY	5/31/2017	00806774-07	Filed
VR GLOBAL ONSHORE FUND LP	NEW YORK, NY	5/30/2017	00806160-07	Filed
Number of Regulation D Notice Filings: 16				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Hurricane AMT, LLC / Hurricane Grill and Wings	West Palm Beach, FL	6/2/2017	617666	Registered
Two Maids Franchising, LLC / Two Maids & A Mop	Birmingham, AL	6/2/2017	617665	Registered
SystemForward America, Inc. / TemperaturePro	Lafayette, LA	6/2/2017	617664	Registered
Petland, Inc. / Petland	CHILLICOTHE, OH	6/2/2017	617663	Registered
Three Dog Bakery, LLC / Three Dog Bakery	Kansas City, MO	6/2/2017	617660	Registered
LifeSpring In-Home Care Network, L.L.C. / LifeSpring In-Home Care Network	Norman, OK	6/2/2017	617659	Registered
Zaxby's Franchising LLC / Zaxby's	Athens, GA	6/1/2017	617658	Registered
United Franchise Holdings, LLC / United Water Restoration Group	Ormond Beach, FL	6/1/2017	617657	Registered
MTY Franchising USA, Inc. f/k/a The Extreme Pita Franchising USA, Inc. / Extreme Pita	Scottsdale, AZ	6/1/2017	617656	Registered
THG Franchise Group, LLC / Tap House Grill Addictive Food/Creative Brews	Palatine, IL	5/31/2017	617655	Registered
FIRESTORM FRANCHISING LLC / FIRESTORM	ROSWELL, GA	5/31/2017	617654	Registered
Color Me Mine Enterprises, Inc. / Color Me Mine	Glendale, CA	5/31/2017	617653	Registered
HomeWell Senior Care, Inc. / HomeWell Senior Care	Fort Worth, TX	5/30/2017	617651	Registered
Campero USA Corp. / Pollo Campero	Dallas, TX	5/30/2017	617650	Registered
ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE	Brookfield, WI	5/30/2017	617648	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
WOW CAFE AND WINGERY FRANCHISING ACCOUNT LLC / WOW Cafe	COVINGTON, LA	5/30/2017	617647	Registered
Number of Franchise Filings: 16				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	