

**WI Securities Law and Franchise Law Filings
for 5/7/2017 through 5/13/2017**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SERIES PORTFOLIOS TR OAKHURST STRATEGIC DEFINED RISK FUND INSTITUTIONAL CLASS	WEST ALLIS, WI	5/10/2017	00804695-03	Registered
MANAGED PORTFOLIO SERIES NUANCE MID CAP VALUE FUND Z CLASS SHARES	MILWAUKEE, WI	5/8/2017	00804733-03	Registered
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RACON GLOBAL TACTICAL ALLOCATION FUND LP	MILWAUKEE, WI	5/12/2017	00804688-07	Filed
Number of Regulation D Notice Filings: 1				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TK Franchise Operations, LP / Vom Fass	Madison, WI	5/13/2017	617562	Registered

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Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
PIGGLY WIGGLY MIDWEST, LLC	Sheboygan, WI	5/11/2017	617548	Registered
Number of Franchise Filings: 2				