

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/7/2017 through 5/13/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CARTER VALIDUS MISSION CRITICAL REIT 2 INC	TAMPA, FL	5/10/2017	00804698-01	Pending-Cleared
A&Q LONG SHORT STRATEGIES FUND LLC	STAMFORD, CT	5/8/2017	00804729-01	Registered
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
STRATEGIC STORAGE GROWTH TR INC	LADERA RANCH, CA	5/11/2017	00804710-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
IVY FUNDS IVY PINEBRIDGE HIGH YIELD FUND CLASS A SHARES	SHAWNEE MISSION, KS	5/12/2017	00804497-03	Registered
IVY FUNDS IVY PINEBRIDGE HIGH YIELD FUND CLASS I SHARES	SHAWNEE MISSION, KS	5/12/2017	00804494-03	Registered
IVY FUNDS IVY PINEBRIDGE HIGH YIELD FUND CLASS N SHARES	SHAWNEE MISSION, KS	5/12/2017	00804495-03	Registered
IVY FUNDS IVY PINEBRIDGE HIGH YIELD FUND CLASS R SHARES	SHAWNEE MISSION, KS	5/12/2017	00804496-03	Registered
1ST TR COMBINED SERIES 556 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO INTERMEDIATE SERIES 23	WHEATON, IL	5/11/2017	00804706-05	Registered

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1ST TR COMBINED SERIES 557 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 30	WHEATON, IL	5/11/2017	00804702-05	Registered
FT 6650 SABRIENT DIVIDEND PORTFOLIO SERIES 18	WHEATON, IL	5/11/2017	00804703-05	Registered
FT 6657 GLOBAL DEEP VALUE DIVIDEND PORTFOLIO SERIES 14	WHEATON, IL	5/11/2017	00804697-05	Registered
FT 6675 LOW VOLATILITY PORTFOLIO SERIES 7	WHEATON, IL	5/11/2017	00804708-05	Registered
FT 6677 DIVIDEND INCOME PLUS PORTFOLIO SERIES 27	WHEATON, IL	5/11/2017	00804705-05	Registered
FT 6681 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 32	WHEATON, IL	5/11/2017	00804709-05	Registered
FT 6688 MEGA CAP PORTFOLIO SERIES 44	WHEATON, IL	5/11/2017	00804704-05	Registered
FT 6725 INTEREST RATE HEDGE PORTFOLIO SERIES 110	WHEATON, IL	5/11/2017	00804696-05	Registered
FT 6726 TAX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 51	WHEATON, IL	5/11/2017	00804707-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1602 BLUE CHIP GROWTH PORTFOLIO SERIES 14	LISLE, IL	5/11/2017	00804684-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1603 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 38	LISLE, IL	5/11/2017	00804685-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1603 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO 2 YEAR SERIES 20	LISLE, IL	5/11/2017	00804686-05	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1604 GLOBAL WATER EQUITIES PORTFOLIO SERIES 32	LISLE, IL	5/11/2017	00804683-05	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH US EQUITY YIELD FUND CLASS B	NEW YORK, NY	5/11/2017	00804679-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH US EQUITY YIELD FUND CLASS R3	NEW YORK, NY	5/11/2017	00804680-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH US EQUITY YIELD FUND CLASS R2	NEW YORK, NY	5/11/2017	00804678-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH US EQUITY YIELD FUND CLASS R6	NEW YORK, NY	5/11/2017	00804682-03	Registered
MAINSTAY FUNDS TR MAINSTAY EPOCH US EQUITY YIELD FUND CLASS R1	NEW YORK, NY	5/11/2017	00804681-03	Registered
GUGGENHEIM FUNDS TR GUGGENHEIM HIGH YIELD FUND R6 CLASS	ROCKVILLE, MD	5/10/2017	00804282-03	Registered
SERIES PORTFOLIOS TR OAKHURST STRATEGIC DEFINED RISK FUND INSTITUTIONAL CLASS	WEST ALLIS, WI	5/10/2017	00804695-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION INTERMEDIATE HORIZON CLASS R	ST. PETERSBURG, FL	5/10/2017	00804221-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION INTERMEDIATE HORIZON CLASS R4	ST. PETERSBURG, FL	5/10/2017	00804222-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION LONG HORIZON CLASS R	ST. PETERSBURG, FL	5/10/2017	00804219-03	Pending-Cleared

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TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION LONG HORIZON CLASS R4	ST. PETERSBURG, FL	5/10/2017	00804220-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION SHORT HORIZON CLASS R4	ST. PETERSBURG, FL	5/10/2017	00804224-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION SHORT HORIZON CLASS R	ST. PETERSBURG, FL	5/10/2017	00804223-03	Pending-Cleared
FIDELITY SALEM STREET TR FIDELITY INTERNATIONAL SUSTAINABILITY INDEX FUND INVESTOR CLASS	BOSTON, MA	5/9/2017	00804231-03	Registered
FIDELITY SALEM STREET TR FIDELITY INTERNATIONAL SUSTAINABILITY INDEX FUND INSTITUTIONAL CLASS	BOSTON, MA	5/9/2017	00804229-03	Registered
FIDELITY SALEM STREET TR FIDELITY INTERNATIONAL SUSTAINABILITY INDEX FUND PREMIUM CLASS	BOSTON, MA	5/9/2017	00804233-03	Registered
FIDELITY SALEM STREET TR FIDELITY US SUSTAINABILITY INDEX FUND INSTITUTIONAL CLASS	BOSTON, MA	5/9/2017	00804228-03	Registered
FIDELITY SALEM STREET TR FIDELITY US SUSTAINABILITY INDEX FUND PREMIUM CLASS	BOSTON, MA	5/9/2017	00804232-03	Registered
FIDELITY SALEM STREET TR FIDELITY US SUSTAINABILITY INDEX FUND INVESTOR CLASS	BOSTON, MA	5/9/2017	00804230-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1600 INFRASTRUCTURE & MLP PORTFOLIO OF CEFS SERIES 30	LISLE, IL	5/8/2017	00804732-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MANAGED PORTFOLIO SERIES NUANCE MID CAP VALUE FUND Z CLASS SHARES	MILWAUKEE, WI	5/8/2017	00804733-03	Registered
Number of Investment Company Filings: 39				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
APEX PRIVATE EQUITY LLC	DENVER, CO	5/12/2017	00804712-07	Filed
BREP ASIA 2 ASP FUND SERIES OF ALTERNATIVE STRATEGIES PRIVATE EQUITY PLATFORM LLC	CHARLOTTE, NC	5/12/2017	00804716-07	Filed
CF NET LEASE PORTFOLIO 2 DST	NEW YORK, NY	5/12/2017	00804721-07	Filed
CF NET LEASE PORTFOLIO 3 DST	NEW YORK, NY	5/12/2017	00804720-07	Filed
DOMO INC	AMERICAN FORK, UT	5/12/2017	00804711-07	Filed
INNOVATION 10 HOLDINGS QP LLC PALANTIR SERIES 1	NEW YORK, NY	5/12/2017	00804728-07	Filed
JCSD PARTNERS LP	WALNUT CREEK, CA	5/12/2017	00804726-07	Filed
LENDINGHOME MARKETPLACE LLC	SAN FRANCISCO, CA	5/12/2017	00804714-07	Filed
MESIROW FINANCIAL REAL ESTATE VALUE FUND 3 LP	CHICAGO, IL	5/12/2017	00804718-07	Filed
METAMORPHOSIS WINES LLC	OAKVILLE, CA	5/12/2017	00804722-07	Filed
NAM BIOTECH FUND 2 LLC SERIES 2	NEW YORK, NY	5/12/2017	00804723-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NAM SPECIAL SITUATIONS FUND 1 QP LLC FBIO SERIES 2	NEW YORK, NY	5/12/2017	00804725-07	Filed
RACON GLOBAL TACTICAL ALLOCATION FUND LP	MILWAUKEE, WI	5/12/2017	00804688-07	Filed
SAN FELASCO NURSERIES INC	GAINESVILLE, FL	5/12/2017	00804719-07	Filed
SUREFIRE SPECIALTY CREDIT LP	MONTREAL H4P2G1, QC	5/12/2017	00804717-07	Filed
VIRTUA HIGH GROWTH FUND 3 LLC	PHOENIX, AZ	5/12/2017	00804724-07	Filed
WELLINGTON HAWKES BAY ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	5/12/2017	00804715-07	Filed
VINCENT MAPLE GROVE LLC	EDEN PRAIRIE, MN	5/11/2017	00804701-07	Filed
ISAM SYSTEMATIC TEND SPV LTD	GRAND CAYMAN KY1-1203, AA	5/9/2017	00804743-07	Filed
STEADYMED LTD	REHOVOT, AA	5/9/2017	00804740-07	Filed
4 POINTS MULTI STRATEGY FUND INC	GRAND CAYMAN KY1-1108, AA	5/8/2017	00804730-07	Filed
Number of Regulation D Notice Filings: 21				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Wild Bird Centers of America, Inc. / Wild Bird Center	Glen Echo, MD	5/13/2017	617563	Registered
TK Franchise Operations, LP / Vom Fass	Madison, WI	5/13/2017	617562	Registered
The Closet Factory Franchise Corporation / Closet Factory	Los Angeles, CA	5/12/2017	617561	Registered
14 Moves International, LLC / 14 Moves	Roseville, MN	5/12/2017	617559	Registered
Remedy Intelligent Staffing, LLC	Atlanta, GA	5/12/2017	617558	Registered

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UATP Management, LLC / Urban Air Trampoline & Adventure Park	Grapevine, TX	5/12/2017	617557	Registered
MMS Franchising LLC / Marilyn Monroe Spas	Orlando, FL	5/12/2017	617556	Registered
Meathead Franchising, LLC / MEATHEADS®	Chicago, IL	5/12/2017	617555	Registered
Realty ONE Group Affiliates, Inc. / Realty ONE Group Regional Director	Las Vegas, NV	5/11/2017	617554	Registered
PIGGLY WIGGLY MIDWEST, LLC	Sheboygan, WI	5/11/2017	617548	Registered
Broadway Station Restaurants, Inc.	Woodbury, MN	5/11/2017	617553	Registered
Rightway Brands, Inc. / Smiling Moose Rocky Mountain Deli	Denver, CO	5/11/2017	617552	Registered
CBD FRANCHISING, INC. / CLOSETS BY DESIGN	CYPRESS, CA	5/11/2017	617550	Registered
Infinity Health Advisors, LLC / BeBalanced Hormone Weight Loss Centers	Lancaster, PA	5/11/2017	617546	Registered
Blue Stamp Franchise Company / Postal Connections/iSold It	Frisco, TX	5/11/2017	617545	Registered
GYM CONSULTING LLC / My Gym & My Gym Children's Fitness Centers	SHERMAN OAKS, CA	5/11/2017	617544	Registered
Saltire Brands LLC / Saltire Brands LLC; House Doctors; House Medic	Milford, OH	5/11/2017	617539	Registered
Floyd's 99 Franchising, LLC / Floyd's 99 Barbershop	Greenwood Village, CO	5/10/2017	617537	Registered
Buji, LLC	Canal Winchester, OH	5/10/2017	617535	Registered
EK Franchising Company / Engineering for Kids	Fredericksburg, VA	5/10/2017	617534	Registered
STOP Franchising, Inc / Service Team of Professionals (S.T.O.P.)	Bloomington, IN	5/10/2017	617533	Registered
Walk-On's Enterprises Franchising, LLC / "Walk On's" and "Walk-On's Bistreaux & Bar"	Baton Rouge, LA	5/10/2017	617532	Registered
Aaron's, Inc. / Aaron's Sales and Lease Ownership	Atlanta, GA	5/10/2017	617530	Registered
ALLOVER MEDIA FRANCHISING, LLC / AllOver Media	Plymouth, MN	5/9/2017	617528	Registered
STEAK N SHAKE ENTERPRISES, INC / Steak n Shake by Biglari	INDIANAPOLIS, IN	5/9/2017	617527	Registered

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Unishippers Global Logistics, LLC / Unishippers	Salt Lake City, UT	5/8/2017	617526	Registered
Goliathtech Inc / Goliathtech	Magog Quebec, ON	5/8/2017	617525	Registered
Number of Franchise Filings: 27				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	