

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2017 through 5/6/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FS GLOBAL CREDIT OPPORTUNITIES FUND T2	PHILADELPHIA, PA	5/5/2017	00804113-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FUNDRISE FOR SALE HOUSING E FUND WA DC LLC	WASHINGTON, DC	5/5/2017	00804112-25	Filed
FUNDRISE FOR SALE HOUSING EFUND LOS ANGELES CA LLC	WASHINGTON, DC	5/5/2017	00804111-25	Filed
GRACE REDEEMER CHURCH NJ NONPROFIT CORP	NEW JERSEY, NJ	5/5/2017	00804116-10	Not Disallowed
LEGION M ENTERTAINMENT INC	ALEXANDRIA, VA	5/1/2017	00803556-25	Filed
Number of Exemption Filings: 4				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6635 DIVERSIFIED INCOME & GROWTH PORTFOLIO SUMMER 2017 SERIES	WHEATON, IL	5/4/2017	00804117-05	Registered
FT 6629 DOW TARGET 10 MAY 17 TERM 6 8 18	WHEATON, IL	5/3/2017	00803599-05	Registered
FT 6649 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 25	WHEATON, IL	5/3/2017	00803592-05	Registered
FT 6655 GLOBAL COMMODITIES PORTFOLIO SERIES 31	WHEATON, IL	5/3/2017	00803591-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6662 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 60	WHEATON, IL	5/3/2017	00803595-05	Registered
FT 6676 REIT GROWTH & INCOME PLUS PORTFOLIO SERIES 30	WHEATON, IL	5/3/2017	00803594-05	Registered
FT 6679 CONTENT LEADERS PORTFOLIO SERIES 5	WHEATON, IL	5/3/2017	00803596-05	Registered
FT 6685 GLOBAL BOND INCOME CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	5/3/2017	00803597-05	Registered
FT 6686 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 26	WHEATON, IL	5/3/2017	00803598-05	Registered
FT 6687 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 46	WHEATON, IL	5/3/2017	00803593-05	Registered
ADVISORS DISCIPLINED TR 1792 COHEN & STEERS DYNAMIC INCOME PORTFOLIO SERIES 2017 2	MONUMENT, CO	5/2/2017	00803558-05	Registered
ADVISORS DISCIPLINED TR 1792 DIVIDEND SUSTAINABILITY PORTFOLIO SERIES 2017 2 HIMCO PORTFOLIO	MONUMENT, CO	5/2/2017	00803557-05	Registered
EATON VANCE UNIT TR SERIES 12	BOSTON, MA	5/2/2017	00803561-05	Registered
EATON VANCE UNIT TR SERIES 13	BOSTON, MA	5/2/2017	00803560-05	Pending-Cleared
FUNDVANTAGE TR TOBAM EMERGING MARKETS FUND CLASS I	WILMINGTON, DE	5/2/2017	00803527-03	Registered
INVESCO UNIT TR SERIES 1772 INSIDER BUY STRATEGY 2017 2	DOWNERS GROVE, IL	5/2/2017	00803559-05	Pending-Cleared

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PRUDENTIAL INVESTMENT PORTFOLIOS 3 PRUDENTIAL QMA STRATEGIC VALUE FUND CLASS Q	NEWARK, NJ	5/2/2017	00803528-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 3 PRUDENTIAL UNCONSTRAINED BOND FUND CLASS Q	NEWARK, NJ	5/2/2017	00803529-03	Registered
DE GROUP LTD TERM GOVERNMENT FUNDS DE LTD TERM DIVERSIFIED INCOME FUND CLASS R6	PHILADELPHIA, PA	5/1/2017	00803515-03	Registered
FT 6630 CONTENT LEADERS OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	5/1/2017	00803590-05	Registered
FT 6656 DIVIDEND EDGE PORTFOLIO SERIES 6	WHEATON, IL	5/1/2017	00803589-05	Registered
FT 6674 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 17	WHEATON, IL	5/1/2017	00803587-05	Registered
FT 6684 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 60	WHEATON, IL	5/1/2017	00803588-05	Registered
GLENMEDE FUND INC RESPONSIBLE ESG US EQUITY PORTFOLIO	BOSTON, MA	5/1/2017	00803518-03	Registered
GLENMEDE FUND INC WOMEN IN LEADERSHIP US EQUITY PORTFOLIO	BOSTON, MA	5/1/2017	00803519-03	Registered
SMART TR 316 SMART TR HEALTHCARE INNOVATIONS TR SERIES 3	PARSIPPANY, NJ	5/1/2017	00803555-05	Registered
SUNAMERICA EQUITY FUNDS AIG JAPAN FUND CLASS W	JERSEY CITY, NJ	5/1/2017	00803520-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SUNAMERICA SENIOR FLOATING RATE FUND INC AIG SENIOR FLOATING RATE FUND CLASS W	JERSEY CITY, NJ	5/1/2017	00803522-03	Registered
SUNAMERICA SERIES INC AIG STRATEGIC VALUE FUND CLASS W	JERSEY CITY, NJ	5/1/2017	00803521-03	Registered
Number of Investment Company Filings: 29				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ACCOLADE PARTNERS 6 LP	WASHINGTON, DC	5/5/2017	00804156-07	Filed
AMCRETE FREEPORT LLC	NORTHRIDGE, CA	5/5/2017	00804150-07	Filed
BVIP FUND 9 LP	BOSTON, MA	5/5/2017	00804140-07	Filed
BVIP PARALLEL FUND 9 LP	BOSTON, MA	5/5/2017	00804142-07	Filed
EURASIAN MINERALS INC	VANCOUVER, BC	5/5/2017	00804151-07	Filed
KENOSHA CREDIT OPPORTUNITIES FUND LP	BOULDER, CO	5/5/2017	00804148-07	Filed
LANDSCAPE HIGH LEVERAGE FUND LP	ENGLEWOOD, NJ	5/5/2017	00804114-07	Filed
MVP ES FUND 19 LLC	AUSTIN, TX	5/5/2017	00804143-07	Filed
MVP LS FUND 7 LLC	AUSTIN, TX	5/5/2017	00804145-07	Filed
PEEKS SOCIAL LTD	TORONTO, ON	5/5/2017	00804154-07	Filed
PELTON TECHNOLOGY INC	MOUNTAIN VIEW, CA	5/5/2017	00804135-07	Filed
PI PARKWAY COMMONS LLC	KANSAS CITY, KS	5/5/2017	00804138-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PROMINENT HORIZONTAL 3A LP	COLO SPGS, CO	5/5/2017	00804152-07	Filed
REALTYSHARES 287 LLC	LEWES, DE	5/5/2017	00804144-07	Filed
SMARTUQ LLC	MADISON, WI	5/5/2017	00804155-07	Filed
STAGECOACH COMMUNITIES LLC	BOULDER, CO	5/5/2017	00804141-07	Filed
VITAL FARMLAND REIT LLC	SAN FRANCISCO, CA	5/5/2017	00804153-07	Filed
WATERMARK FUND 2016 LLC	TERRE HAUTE, IN	5/5/2017	00804146-07	Filed
WORKFORCE SOFTWARE HOLDINGS LLC	LIVONIA, MI	5/5/2017	00804115-07	Filed
WRR MADISON 4 LP	BENBROOK, TX	5/5/2017	00804137-07	Filed
ADAMAS OPPORTUNITIES LP	BOSTON, MA	5/3/2017	00803600-07	Filed
SILICON SCIENCES LLC	SEMINOLE, FL	5/3/2017	00803601-07	Filed
Number of Regulation D Notice Filings: 22				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ReBath, LLC / Re-Bath	Tempe, AZ	5/5/2017	617523	Registered
Amazing Lash Studio Franchise, LLC / Amazing Lash Studio	Scottsdale, AZ	5/5/2017	617522	Registered
Another Broken Egg of America, Inc. / Another Broken Egg Cafe	Miramar Beach, FL	5/5/2017	617521	Registered
The UPS Store, Inc. / The UPS Store® (Non-Traditional)	SAN DIEGO, CA	5/5/2017	617519	Registered
The UPS Store, Inc. / The UPS Store® (Traditional)	SAN DIEGO, CA	5/5/2017	617518	Registered
Fetch! Pet Care, Inc. / Fetch! Pet Care; Fetch!	Dayton, OH	5/5/2017	617517	Registered
Rush Bowls Franchising, LLC / Rush Bowls	Boulder, CO	5/5/2017	617516	Registered

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COMPLETE NUTRITION FRANCHISING, LLC / Complete Nutrition	OMAHA, NE	5/5/2017	617515	Registered
Uptown Cheapskate, LLC / Uptown Cheapskate	North Salt Lake, UT	5/4/2017	617514	Registered
Caribou Coffee Development Company, Inc. / Caribou Coffee & Einstein Bros. Bagels	Minneapolis, MN	5/4/2017	617513	Registered
Caribou Coffee Development Company, Inc. / Caribou Coffee	Minneapolis, MN	5/4/2017	617512	Registered
Zerorez Franchising Systems, Inc. / Zerorez	Draper, UT	5/4/2017	617511	Registered
Benvenuto's Franchising Systems LLC / Benvenuto's Italian Grill	Three Lakes, WI	5/4/2017	617510	Registered
Zerorez Franchising Systems, Inc. / Zerorez Regional Developer	Draper, UT	5/4/2017	617509	Registered
SWEETWATERS GROUP LLC	ANN ARBOR, MI	5/4/2017	617508	Registered
Disaster Kleenup International, LLC / DKI	Elk Grove Village, IL	5/4/2017	617507	Registered
CARSTAR Franchisor SPV LLC / CARSTAR	Charlotte, NC	5/4/2017	617505	Registered
LONG JOHN SILVERS LLC / Long John Silver's	LOUISVILLE, KY	5/3/2017	617502	Registered
Zava Zone LG, LLC / Zava Zone	Rockville, MD	5/3/2017	617499	Registered
Kahala Franchising, L.L.C. / Surf City Squeeze	Scottsdale, AZ	5/3/2017	617501	Registered
Kahala Franchising, L.L.C. / Samurai Sam's Teriyaki Grill	Scottsdale, AZ	5/3/2017	617500	Registered
Kahala Franchising, L.L.C. / Pinkberry	Scottsdale, AZ	5/3/2017	617496	Registered
UNITED COUNTRY REAL ESTATE INC / United Country Real Estate	KANSAS CITY, MO	5/3/2017	617498	Registered
Five D Inc. / United Real Estate	Kansas City, MO	5/3/2017	617497	Registered
Kahala Franchising, L.L.C. / Planet Smoothie	Scottsdale, AZ	5/3/2017	617495	Registered
Kahala Franchising, L.L.C. / NrGize Lifestyle Cafe	Scottsdale, AZ	5/3/2017	617494	Registered
Kahala Franchising, L.L.C. / Maui Wowi	Scottsdale, AZ	5/3/2017	617493	Registered
Kahala Franchising, L.L.C. / Kahala Coffee Traders	Scottsdale, AZ	5/3/2017	617492	Registered
Kahala Franchising, L.L.C. / Great Steak	Scottsdale, AZ	5/3/2017	617491	Registered

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Kahala Franchising, L.L.C. / Cold Stone Creamery	Scottsdale, AZ	5/3/2017	617490	Registered
Kahala Franchising, L.L.C. / Blimpie	Scottsdale, AZ	5/3/2017	617489	Registered
FLIP FLOP SHOPS FRANCHISE COMPANY, LLC / Flip Flop Shops	Sherman Oaks, CA	5/3/2017	617488	Registered
Mosquito Joe Franchising, LLC / Mosquito Joe	Virginia Beach, VA	5/3/2017	617487	Registered
Penn Station, Inc.	Milford, OH	5/3/2017	617484	Registered
Wahlburgers Franchising, LLC / Wahlburgers	Hingham, MA	5/3/2017	617486	Registered
Vapor Shark Franchising LLC / Vapor Shark	Miami, FL	5/3/2017	617483	Registered
Wine and Design Franchise LLC / Wine and Design	Raleigh, NC	5/3/2017	617481	Registered
Old Chicago Franchising LLC / Old Chicago	Broomfield, CO	5/2/2017	617480	Registered
REAL LIVING REAL ESTATE, LLC / Real Living Real Estate	Irvine, CA	5/2/2017	617479	Registered
BHH Affiliates LLC / Berkshire Hathaway HomeServices	Irvine, CA	5/2/2017	617477	Registered
EDIBLE ARRANGEMENTS INTERNATIONAL, LLC / EDIBLE ARRANGEMENTS®	WILLINGFORD, CT	5/2/2017	617476	Registered
Good Feet Worldwide, LLC / GOOD FEET®	CARLSBAD, CA	5/2/2017	617475	Registered
Einstein Bros. Bagels Franchise Corporation / Einstein Bros. Bagels, Noah's New York Bagels, Noah's Bagels	Lakewood, CO	5/2/2017	617474	Registered
J N C Real Estate Development, LLC / Salons By JC	San Antonio, TX	5/2/2017	617473	Registered
Alphagraphics, Inc. / AlphaGraphics	Salt Lake City, UT	5/2/2017	617472	Registered
Slim Chicken's Development Company / Slim Chicken's	Fayetteville, AK	5/2/2017	617468	Registered
TBC International, LLC / Title Boxing Club Fitness Facility	Overland Park, KS	5/2/2017	617467	Registered
UBIF Franchising, Co. / UBREAKIFIX - Regional Representative Offering	Orlando, FL	5/2/2017	617466	Registered
UBIF Franchising, Co. / UBREAKIFIX - Unit Offering	Orlando, FL	5/2/2017	617465	Registered
Byrider Franchising, LLC / J.D. Byrider and CNAC (CarNow Acceptance Co.)	Carmel, IN	5/2/2017	617463	Registered

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Superior Walls of America, Ltd. / Superior Walls	New Holland, PA	5/2/2017	617462	Registered
SuperAmerica Franchising LLC / SuperAmerica	Woodbury, MN	5/2/2017	617461	Registered
Coffee News USA, Inc. / Coffee News	Bangor, ME	5/2/2017	617460	Registered
Rebounderz Franchise and Development, Inc. / Rebounderz	Sanford, FL	5/2/2017	617459	Registered
NGW, LLC / Native Grill and Wings	Chandler, AZ	5/2/2017	617458	Registered
Signal 88, LLC / Signal 88 Security	Omaha, NE	5/2/2017	617457	Registered
Best In Class Education Center, LLC / Best In Class Education Center	Mercer Island, WA	5/2/2017	617456	Registered
The Filta Group, Inc. / Filta	Orlando, FL	5/2/2017	617455	Registered
WINGSTOP RESTAURANTS INC / Wing-Stop®	Dallas, TX	5/2/2017	617454	Registered
Tutoring Club, LLC / Tutoring Club	Henderson, NV	5/1/2017	617453	Registered
Pinot's Palette Franchise LLC / Pinot's Palette	Houston, TX	5/1/2017	617452	Registered
Newk's Franchise Company LLC / Newk's and Newk's Eatery	Jackson, MS	5/1/2017	617451	Registered
PRIMROSE SCHOOL FRANCHISING CO / PRIMROSE SCHOOLS	ACWORTH, GA	5/1/2017	617450	Registered
CROWN TROPHY INC	HAWTHORNE, NY	5/1/2017	617449	Registered
Shula Burger LLC / Shula Burger and Shula's Bar & Grill	Ft. Lauderdale, FL	5/1/2017	617448	Registered
RETROFITNESS LLC	COLTS NECK, NJ	5/1/2017	617446	Registered
EVERYTHING YOGURT BRANDS, LLC / GREEN LEAF'S BEYOND GREAT SALADS/BANANAS SMOOTHIES & FROZEN YOGURT	Morristown, NJ	5/1/2017	617445	Registered
OTRR FRANCHISING, LLC / Crushed Red® Restaurant	St. Louis, MO	5/1/2017	617443	Registered
Kampgrounds of America, Inc. / KOA	Billings, MT	5/1/2017	617442	Registered
EVERYTHING YOGURT BRANDS, LLC / SOUTH PHILLY STEAKS & FRIES	Morristown, NJ	5/1/2017	617441	Registered
A Corp / Rooter Man	North Billerica, MA	5/1/2017	617440	Registered

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CycleBar Franchising, LLC / CycleBar	Cincinnati, OH	5/1/2017	617439	Registered
Global Orange Development, LLC / BIGGBY COFFEE	East Lansing, MI	5/1/2017	617438	Registered
LUXOTTICA RETAIL NORTH AMERICA, INC. / Pearle Vision or Pearle	Mason, OH	5/1/2017	617436	Registered
Wireless Zone LLC / WIRELESS ZONE	Rocky Hill, CT	5/1/2017	617437	Registered
Lendio Franchising, LLC / Lendio	South Jordan, UT	5/1/2017	617435	Registered
360BRANDS, INC. / 360clean Complete Facility Care	Daniel Island, SC	5/1/2017	617434	Registered
Dealer Specialties International, Inc. / Dealer Specialties	Monroe, OH	5/1/2017	617433	Registered
Home Instead, Inc. / Home Instead Senior Care	Omaha, NE	5/1/2017	617432	Registered
Famous Recipe Group, LLC / Lee's Famous Recipe Chicken	Shalimar, FL	5/1/2017	617431	Registered
Fas-Tes Franchise Systems, LLC / Fastest Labs	San Antonio, TX	4/30/2017	617430	Registered
MERLIN FRANCHISOR SPV LLC / MERLIN 200,000 MILES SHOP	Charlotte, NC	4/30/2017	617429	Registered
DRIVE N STYLE FRANCHISOR SPV LLC / DRIVE N STYLE®	Charlotte, NC	4/30/2017	617428	Registered
Number of Franchise Filings: 83				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	