

**WI Securities Law and Franchise Law Filings  
for 4/16/2017 through 4/22/2017**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ARTISAN PARTNERS FUNDS INC ARTISAN THEMATIC FUND INVESTOR SHARES	MILWAUKEE, WI	4/21/2017	00802775-03	Registered
HEARTLAND GROUP INC HEARTLAND INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	4/21/2017	00802774-03	Pending-Cleared
<b>Number of Investment Company Filings: 2</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Regulation D Notice Filings: 0</b>				

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GN Independents, Inc / Glass Nickel Pizza Co.	Madison, WI	4/19/2017	617182	Registered
<b>Number of Franchise Filings: 1</b>				