

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/16/2017 through 4/22/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WILDERMUTH ENDOWMENT STRATEGY FUND CLASS I SHARES	ALPHARETTA, GA	4/21/2017	00802156-01	Registered
RIVER OF LIFE FELLOWSHIP	KENT, WA	4/19/2017	00802148-02	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ARTISAN PARTNERS FUNDS INC ARTISAN THEMATIC FUND INVESTOR SHARES	MILWAUKEE, WI	4/21/2017	00802775-03	Registered
FEDERATED HIGH YIELD TR CLASS R6 SHARES	WARRENDALE, PA	4/21/2017	00802776-03	Registered
HEARTLAND GROUP INC HEARTLAND INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	4/21/2017	00802774-03	Pending-Cleared
WESTCORE TR WESTCORE MUNICIPAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	DENVER, CO	4/21/2017	00802773-03	Registered
WESTCORE TR WESTCORE MUNICIPAL OPPORTUNITIES FUND RETAIL CLASS	DENVER, CO	4/21/2017	00802772-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1595 S&P DIVIDEND ARISTOCRATS SELECT 25 STRATEGY PORTFOLIO SERIES 7	LISLE, IL	4/20/2017	00802149-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1595 US 50 DIVIDEND STRATEGY PORTFOLIO SERIES 12	LISLE, IL	4/20/2017	00802150-05	Registered
1ST TR COMBINED SERIES 552 TX EX MUNICIPAL INCOME TR 10 20 YEAR SERIES 30	WHEATON, IL	4/18/2017	00802050-05	Registered
1ST TR COMBINED SERIES 555 TX EX MUNICIPAL INCOME TR SERIES 298	WHEATON, IL	4/18/2017	00802047-05	Registered
ADVISORS DISCIPLINED TR 1795 BOFA MERRILL LYNCH SMART CITIES PORTFOLIO 2017	MONUMENT, CO	4/18/2017	00802146-05	Registered
FT 6601 US ENERGY INDEPENDENCE PORTFOLIO SERIES 15	WHEATON, IL	4/18/2017	00802058-05	Registered
FT 6602 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 33	WHEATON, IL	4/18/2017	00802049-05	Registered
FT 6602 SMID CAPITAL STRENGTH PORTFOLIO SERIES 33	WHEATON, IL	4/18/2017	00802048-05	Registered
FT 6603 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 33	WHEATON, IL	4/18/2017	00802046-05	Registered
FT 6604 ELECTION PORTFOLIO 2016 SERIES 3	WHEATON, IL	4/18/2017	00802057-05	Registered
FT 6605 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 84	WHEATON, IL	4/18/2017	00802053-05	Registered
FT 6631 RICHARD BERNSTEIN ADVISORS FOCUS AMERICA GROWTH & INCOME PORTFOLIO SERIES	WHEATON, IL	4/18/2017	00802055-05	Registered
FT 6632 S&P DIVIDEND ARISTOCRATS TARGET 25 PORTFOLIO SERIES	WHEATON, IL	4/18/2017	00802052-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 6636 SABRIENT BAKERS DOZEN PORTFOLIO APRIL 2017 SERIES	WHEATON, IL	4/18/2017	00802051-05	Registered
FT 6639 INTEREST RATE HEDGE & ETF PORTFOLIO SERIES 16	WHEATON, IL	4/18/2017	00802056-05	Registered
FT 6673 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 31	WHEATON, IL	4/18/2017	00802054-05	Registered
INVESCO UNIT TR TAXABLE INCOME SERIES 600 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 27	DOWNERS GROVE, IL	4/18/2017	00802059-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 601 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 28	DOWNERS GROVE, IL	4/18/2017	00802060-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 602 INVESTMENT GRADE CORPORATE TR 3 7 YEAR SERIES 27	DOWNERS GROVE, IL	4/18/2017	00802061-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 603 HIGH YIELD CORPORATE TR 4 7 YEAR SERIES 12	DOWNERS GROVE, IL	4/18/2017	00802062-05	Pending-Cleared
FINANCIAL INVESTORS TR RONDURE NEW WORLD FUND INSTITUTIONAL CLASS	DENVER, CO	4/17/2017	00802114-03	Registered
FINANCIAL INVESTORS TR RONDURE NEW WORLD FUND INVESTOR CLASS	DENVER, CO	4/17/2017	00802113-03	Registered
FINANCIAL INVESTORS TR RONDURE OVERSEAS FUND INSTITUTIONAL CLASS	DENVER, CO	4/17/2017	00802115-03	Registered
FINANCIAL INVESTORS TR RONDURE OVERSEAS FUND INVESTOR CLASS	DENVER, CO	4/17/2017	00802112-03	Registered

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FT 6594 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 77	WHEATON, IL	4/17/2017	00802068-05	Registered
FT 6597 SELECT DSIP PORTFOLIO 2ND QUARTER 2017 SERIES	WHEATON, IL	4/17/2017	00802072-05	Registered
FT 6600 DIVIDEND GROWTH PORTFOLIO APRIL 2017 SERIES	WHEATON, IL	4/17/2017	00802071-05	Registered
FT 6628 DOW 30 BUY WRITE PORTFOLIO SERIES	WHEATON, IL	4/17/2017	00802069-05	Registered
FT 6637 INTEREST RATE HEDGE PORTFOLIO SERIES 109	WHEATON, IL	4/17/2017	00802070-05	Registered
FT 6672 BALANCED INCOME SELECT PORTFOLIO SERIES 67	WHEATON, IL	4/17/2017	00802073-05	Registered
OBERWEIS FUNDS OBERWEIS CHINA OPPORTUNITIES FUND INSTITUTIONAL CLASS	LISLE, IL	4/17/2017	00802079-03	Registered
OBERWEIS FUNDS OBERWEIS EMERGING GROWTH FUND INSTITUTIONAL CLASS	LISLE, IL	4/17/2017	00802077-03	Registered
OBERWEIS FUNDS OBERWEIS MICRO CAP FUND INSTITUTIONAL CLASS	LISLE, IL	4/17/2017	00802078-03	Registered
OBERWEIS FUNDS OBERWEIS SMALL CAP OPPORTUNITIES FUND INSTITUTIONAL CLASS	LISLE, IL	4/17/2017	00802080-03	Registered
SMART TR 311 SMART TR TAX ADVANTAGED GROWTH & INCOME SERIES 13	PARSIPPANY, NJ	4/17/2017	00802076-05	Registered
SMART TR 312 SMART TR ZACKS GARP COMPOSITE 35 TR SERIES 13	PARSIPPANY, NJ	4/17/2017	00802075-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SMART TR 313 SMART TR PORTFOLIO OF CLOSED END FUND OPPORTUNITIES TR SERIES 12	PARSIPPANY, NJ	4/17/2017	00802074-05	Registered
TRANSAMERICA FUNDS TRANSAMERICA HIGH QUALITY BOND CLASS R	ST. PETERSBURG, FL	4/17/2017	00802118-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA HIGH QUALITY BOND CLASS R4	ST. PETERSBURG, FL	4/17/2017	00802119-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA INFLATION PROTECTED SECURITIES CLASS R4	ST. PETERSBURG, FL	4/17/2017	00802117-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA INFLATION PROTECTED SECURITIES CLASS R	ST. PETERSBURG, FL	4/17/2017	00802116-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP VALUE CLASS R	ST. PETERSBURG, FL	4/17/2017	00802110-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP VALUE CLASS R4	ST. PETERSBURG, FL	4/17/2017	00802111-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA STOCK INDEX CLASS R	ST. PETERSBURG, FL	4/17/2017	00802120-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA STOCK INDEX CLASS R4	ST. PETERSBURG, FL	4/17/2017	00802121-03	Registered
Number of Investment Company Filings: 50				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLAVITY INC	LOS ANGELES, CA	4/21/2017	00802136-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ELITE AEROSPACE GROUP INC	TUSTIN, CA	4/21/2017	00802130-07	Filed
GA CNG PARTNERS LLC	COSTA MESA, CA	4/21/2017	00802129-07	Filed
GOLUB CAPITAL PARTNERS INTERNATIONAL 11 LP	GRAND CAYMAN, AA	4/21/2017	00802135-07	Filed
JUNIPER SANTA FE LTD	HOUSTON, TX	4/21/2017	00802132-07	Filed
KKR ASIAN FUND 3 LP	NEW YORK, NY	4/21/2017	00802134-07	Filed
RCP SBIC OPPORTUNITIES FUND LP	CHICAGO, IL	4/21/2017	00802128-07	Filed
SA IGNITE INC	CHICAGO, IL	4/21/2017	00802137-07	Filed
SLP 5 TECHNOLOGY FUND LP	NEW YORK, NY	4/21/2017	00802133-07	Filed
TRANSPLANT GENOMICS INC	MANSFIELD, MA	4/21/2017	00802127-07	Filed
OAKTREE HIGH INCOME CONVERTIBLE FUND 2 LP	LOS ANGELES, CA	4/19/2017	00802147-07	Filed
Number of Regulation D Notice Filings: 11				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
F45 Training Incorporated / F45 Training	Los Angeles, CA	4/22/2017	617239	Registered
iTRIP, LLC / iTRIPVACATIONS and ITRIP	Brentwood, TN	4/22/2017	617238	Registered
Freshii Development, LLC / Freshii	Chicago, IL	4/22/2017	617237	Registered
Aussie Pet Mobile Inc / Aussie Pet Mobile	Aliso Viejo, CA	4/21/2017	617236	Registered
America's Music School, LLC / America's Music School; Bach to Rock	Bethesda, MD	4/21/2017	617235	Registered
BELLACINOS INC / BELLACINOS PIZZA AND GRINDERS	PORTAGE, MI	4/21/2017	617234	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Grease Monkey Franchising, LLC / Grease Monkey	Greenwood Village, CO	4/21/2017	617233	Registered
Escapology, LLC / Escapology	Orlando, FL	4/21/2017	617221	Registered
M & T Pizza Incorporated / Carbone's Pizzeria and Carbone's Pizza	St. Paul, MN	4/21/2017	617227	Registered
American Blue Ribbon Holdings, LLC / Village Inn	Nashville, TN	4/21/2017	617232	Registered
Neurosculpting Institute Franchising, LLC / The Neurosculpting Institute	Denver, CO	4/21/2017	617231	Registered
BL Restaurant Franchises, LLC / Bar Louie	Addison, TX	4/21/2017	617230	Registered
AMRAMP, LLC / AMRAMP	SOUTH BOSTON, MA	4/21/2017	617229	Registered
WETZELS PRETZELS LLC	PASADENA, CA	4/21/2017	617228	Registered
ILKB LLC / iLoveKickboxing.com	Merrick, NY	4/21/2017	617226	Registered
Crunch Franchising, LLC / CRUNCH	Portsmouth, NH	4/21/2017	617225	Registered
RPM Summit Group, LLC / Rocket Fizz	Incline Village, NV	4/21/2017	617224	Registered
Living Assistance Services, Inc. / Visiting Angels	Bryn Mawr, PA	4/21/2017	617223	Registered
Five Star Bath, L.L.C. / Five Star Bath Solutions	Spanish Fork, UT	4/21/2017	617222	Registered
Motto Franchising, LLC / Motto Mortgage	Denver, CO	4/21/2017	617220	Registered
K F Tea Franchising LLC / Kung Fu Tea	New York, NY	4/21/2017	617219	Registered
Gimex Properties Corp., Inc. / Tuffy Auto Service Centers/Tuffy Tire & Auto Serv	Toledo, OH	4/21/2017	617218	Registered
Your Pie Franchising, LLC / Your Pie	Athens, GA	4/20/2017	617217	Registered
J.S. Subs, LLC / Jon Smith Subs	West Palm Beach, FL	4/20/2017	617216	Registered
MASSAGE ENVY FRANCHISING, LLC / Massage Envy	Scottsdale, AZ	4/20/2017	617215	Registered
THE SNIP ITS FRANCHISE COMPANY, LLC / Snip-its	Eden Prairie, MN	4/20/2017	617214	Registered
BOSTON PIZZA RESTAURANTS LP / Boston's The Gourmet Pizza Restaurant & Sports Bar	DALLAS, TX	4/20/2017	617213	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Healthy Pet Partners, LLC / Wag N Wash	Colorado Springs, CO	4/20/2017	617212	Registered
HandyPro International, LLC / HandyPro	Farmington, MI	4/20/2017	617209	Registered
1-800-GOT-JUNK? LLC / 1-800-GOT-JUNK?	Vancouver, BC	4/20/2017	617208	Registered
NorthStar Franchising, LLC / NorthStar Moving	Chatsworth, CA	4/20/2017	617207	Registered
COIT SERVICES INC / COIT Services	BURLINGAME, CA	4/20/2017	617206	Registered
Massage Heights Franchising, LLC / Massage Heights	San Antonio, TX	4/20/2017	617204	Registered
Club Pilates Franchise, LLC / Club Pilates	Costa Mesa, CA	4/20/2017	617203	Registered
Clothes Mentor, LLC / Clothes Mentor	Minnetonka, MN	4/20/2017	617202	Registered
WoodSpring Hotels Franchise Services LLC / Value Place	Wichita, KS	4/20/2017	617201	Registered
WoodSpring Hotels Franchise Services LLC / WoodSpring Suites, WoodSpring Suites Signature	WICHITA, KS	4/20/2017	617199	Registered
CULLIGAN INTERNATIONAL COMPANY / CULLIGAN	Rosemont, IL	4/20/2017	617198	Registered
BAM Franchising, Inc. / Bricks and Minifigs	Clackamas, OR	4/20/2017	617197	Registered
Jewelry Repair Enterprises, Inc. / Fast-Fix Jewelry and Watch Repairs	Boca Raton, FL	4/20/2017	617196	Registered
Keyrenter Franchise LLC / Keyrenter Property Management	Midvale, UT	4/20/2017	617195	Registered
Assisting Hands Home Care, LLC / Assisting Hands Home Care	Nampa, ID	4/19/2017	617192	Registered
The Bar Method Franchising Inc. / The Bar Method	San Francisco, CA	4/19/2017	617191	Registered
Fuzzy's Taco Opportunities, LLC / Fuzzy's Taco Shop	Fort Worth, TX	4/19/2017	617190	Registered
Bottle & Bottega, Inc. / Bottle & Bottega	Chicago, IL	4/19/2017	617189	Registered
Cellairis Franchise, Inc. / Cellairis	Alpharetta, GA	4/19/2017	617188	Registered
Salon Professional Education Company, LLC / SPEC	Fargo, ND	4/19/2017	617187	Registered
Children's Orchard, LLC / Children's Orchard	Minnetonka, MN	4/19/2017	617186	Registered
Pistor and Associates, Inc. / Miracle Method	Colorado Springs, CO	4/19/2017	617184	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Device Pitstop, LLC / Device Pitstop	Minnetonka, MN	4/19/2017	617183	Registered
Corporate Caterers Franchise, LLC / Corporate Caterers	Miami, FL	4/19/2017	617180	Registered
GN Independents, Inc / Glass Nickel Pizza Co.	Madison, WI	4/19/2017	617182	Registered
M.G.M. LIQUOR STORES, INC. / MGM WINE & SPIRITS	ST PAUL, MN	4/19/2017	617181	Registered
Crest Foods, Inc. / Nestle Toll House Cafe by Chip	Richardson, TX	4/19/2017	617178	Registered
NITELITES FRANCHISE SYSTEMS INC / NITELITES	FRANKLIN, OH	4/19/2017	617177	Registered
B3 Franchising LLC / barre3	Portland, OR	4/18/2017	617175	Registered
US LAWNS INC / U.S. LAWNS	ORLANDO, FL	4/18/2017	617174	Registered
Freedom Franchise Systems, LLC / Freedom Boat Club, Freedom Yacht Club and Freedom Yacht and Boat Club	Venice, FL	4/18/2017	617173	Registered
JEI SELF LEARNING SYSTEMS, INC.	LOS ANGELES, CA	4/18/2017	617169	Registered
EPCON COMMUNITIES FRANCHISING INC / Epcon Communities	DUBLIN, OH	4/18/2017	617172	Registered
TX ROADHOUSE DEVELOPMENT CORP / Texas Roadhouse	LOUISVILLE, KY	4/18/2017	617171	Registered
Nothing Bundt Franchising, LLC / Nothing Bundt Cakes	Addison, TX	4/18/2017	617170	Registered
Vive Bene Enterprises, Inc. / Papa Saverio's	Elgin, IL	4/18/2017	617168	Registered
AmeriSpec L.L.C. / AmeriSpec Inspection Services	Memphis, TN	4/17/2017	617167	Registered
MARCO'S FRANCHISING, LLC / Marco's Pizza	TOLEDO, OH	4/17/2017	617166	Registered
FocalPoint Coaching, Inc. / FocalPoint unit franchise business	Henderson, NV	4/17/2017	617165	Registered
FocalPoint Coaching, Inc. / FocalPoint Area Representative Franchise Business	Henderson, NV	4/17/2017	617164	Registered
AmSpirit Franchise Corp / AmSpirit Business Connections	Columbus, OH	4/17/2017	617163	Registered
Made In The Shade Blinds & More, LLC / Made In The Shade Blinds And More	San Antonio, TX	4/17/2017	617162	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
LunchBOX Franchise, LLC / LunchBOX (A WAXING SALON) or LunchboxWax	Boise, ID	4/17/2017	617161	Registered
NOBLE ROMANS INC / Noble Roman's Pizza	INDIANAPOLIS, IN	4/17/2017	617160	Registered
SP Franchising LLC / Speedpro	Centennial, CO	4/17/2017	617159	Registered
ActiKare, Inc. / ActiKare In Home Care Services	Tampa, FL	4/17/2017	617158	Registered
Club Z!, Inc. / Club Z!	Tampa, FL	4/17/2017	617157	Registered
PALM BEACH TAN FRANCHISING INC / Palm Beach Tan	Coppell, TX	4/17/2017	617156	Registered
AERUS FRANCHISING, LLC / AERUS, AERUS ELECTROLUX, LUX	DALLAS, TX	4/17/2017	617155	Registered
PM Franchising, LLC / Pretzelmaker	Atlanta, GA	4/16/2017	617154	Registered
MARBLE SLAB FRANCHISING, LLC / Marble Slab Creamery	Atlanta, GA	4/16/2017	617153	Registered
HDOS Franchising, LLC / HOT DOG ON A STICK	Atlanta, GA	4/16/2017	617152	Registered
GAC FRANCHISING, LLC / Great American Cookies	Atlanta, GA	4/16/2017	617151	Registered
Jan-Pro Franchising International, Inc. / Jan-Pro; Jan-Pro Cleaning Systems	Alpharetta, GA	4/16/2017	617150	Registered
Number of Franchise Filings: 81				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	