

**WI Securities Law and Franchise Law Filings  
for 4/2/2017 through 4/8/2017**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
STONE RIDGE TR ELEMENTS US PORTFOLIO	MILWAUKEE, WI	4/3/2017	00801820-03	Registered
STONE RIDGE TR ELEMENTS US SMALL CAP PORTFOLIO	MILWAUKEE, WI	4/3/2017	00801819-03	Registered
<b>Number of Investment Company Filings: 2</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Regulation D Notice Filings: 0</b>				

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Toppers Pizza, Inc. / Toppers Pizza	Whitewater, WI	4/7/2017	616040	Registered
Men's Hair House Holdings, Inc. / Men's Hair House	Menasha, WI	4/3/2017	615964	Registered
Rococo Franchise Corporation / Rocky Rococo Pizza and Pasta	Oconomowoc, WI	4/3/2017	615944	Registered
<b>Number of Franchise Filings: 3</b>				

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