

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/2/2017 through 4/8/2017**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MISSION INVESTMENT FUND OF EVANGELICAL LUTHERAN CHURCH IN AMERICA	CHICAGO, IL	4/3/2017	00801788-02	Registered
PRIME MERIDIAN HOLDING CO	TALLAHASSEE, FL	4/3/2017	00801787-01	Pending
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CALVARY EVANGELICAL LUTHERAN CHURCH OF RUSKIN FL INC	APOLLO BEACH, FL	4/7/2017	00801766-08	Not Disallowed
NORTHSTAR REAL ESTATE INCOME 2 INC	NEW YORK, NY	4/3/2017	00801817-08	Not Disallowed
STREETSHARES INC	RESTON, VA	4/3/2017	00801821-25	Filed
<b>Number of Exemption Filings: 3</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1786 BLUE CHIP COVERED CALL PORTFOLIO SERIES 2017 2Q	MONUMENT, CO	4/7/2017	00801754-05	Registered
ADVISORS DISCIPLINED TR 1787 INCOME QUALITY COVERED CALL PORTFOLIO SERIES 2017 2Q	MONUMENT, CO	4/7/2017	00801753-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1587 CONVERTIBLE & INCOME PORTFOLIO OF FUNDS SERIES 25	LISLE, IL	4/7/2017	00801758-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1588 ALTERNATIVE INCOME PORTFOLIO SERIES 8	LISLE, IL	4/7/2017	00801756-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1589 FINANCIALS PORTFOLIO SERIES 8	LISLE, IL	4/7/2017	00801755-05	Registered
NORTHERN LIGHTS FUND TR 3 HCM DIVIDEND SECTOR PLUS FUND INVESTOR CLASS SHARES	HAUPPAUGE, NY	4/7/2017	00801634-03	Registered
NORTHERN LIGHTS FUND TR 3 HCM INCOME PLUS FUND INVESTOR CLASS SHARES	HAUPPAUGE, NY	4/7/2017	00801635-03	Registered
NORTHERN LIGHTS FUND TR 3 HCM TACTICAL GROWTH FUND INVESTOR CLASS SHARES	HAUPPAUGE, NY	4/7/2017	00801636-03	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS GSA TREND STRATEGY FUND CLASS I	HAUPPAUGE, NY	4/7/2017	00801632-03	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS GSA TREND STRATEGY FUND CLASS A	HAUPPAUGE, NY	4/7/2017	00801633-03	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS GSA TREND STRATEGY FUND CLASS N	HAUPPAUGE, NY	4/7/2017	00801631-03	Registered
NUVEEN UNIT INVESTMENT TR SERIES 190 NUVEEN NWQ DIVERSIFIED INCOME PORTFOLIO 2Q 2017	CHICAGO, IL	4/7/2017	00801757-05	Registered
TIMOTHY PLAN FIXED INCOME FUND CLASS I	HAUPPAUGE, NY	4/7/2017	00801630-03	Registered
1ST TR COMBINED SERIES 554 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO LONG TERM SERIES 27	WHEATON, IL	4/6/2017	00801743-05	Registered

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DRIEHAUS MUTUAL FUNDS DRIEHAUS MULTI ASSET GROWTH ECONOMIES FUND	CHICAGO, IL	4/6/2017	00801606-03	Registered
FT 6584 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 23	WHEATON, IL	4/6/2017	00801738-05	Registered
FT 6585 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 28	WHEATON, IL	4/6/2017	00801739-05	Registered
FT 6586 EUROPEAN TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 13	WHEATON, IL	4/6/2017	00801730-05	Registered
FT 6586 TARGET DIVIDEND BLEND PORTFOLIO SERIES 13	WHEATON, IL	4/6/2017	00801729-05	Registered
FT 6586 TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 18	WHEATON, IL	4/6/2017	00801728-05	Registered
FT 6587 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 2Q 17 TERM 7 16 18	WHEATON, IL	4/6/2017	00801740-05	Registered
FT 6588 INCOME ALLOCATION CLOSED END 2Q 17	WHEATON, IL	4/6/2017	00801741-05	Registered
FT 6589 DOW TARGET 10 APR 17 TERM 5 9 18	WHEATON, IL	4/6/2017	00801734-05	Registered
FT 6590 DOW TARGET 5 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801727-05	Registered
FT 6590 DOW TARGET DVD 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801726-05	Registered
FT 6590 GLOBAL TARGET 15 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801725-05	Registered
FT 6590 S&P TARGET 24 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801724-05	Registered
FT 6590 S&P TARGET SMID 60 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801723-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 6590 TARGET DBL PLAY 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801720-05	Registered
FT 6590 TARGET DIVSD DVD 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801722-05	Registered
FT 6590 TARGET FOCUS 4 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801721-05	Registered
FT 6590 TARGET GLOBAL DVD LEADERS 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801719-05	Registered
FT 6590 TARGET GROWTH 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801718-05	Registered
FT 6590 TARGET TRIAD 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801717-05	Registered
FT 6590 TARGET VIP 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801716-05	Registered
FT 6590 VALUE LINE TARGET 25 2Q 17 TERM 7 9 18	WHEATON, IL	4/6/2017	00801715-05	Registered
FT 6591 60 40 STRATEGIC ALLOCATION PORT 2Q 17 TERM 7 17 18	WHEATON, IL	4/6/2017	00801732-05	Registered
FT 6591 75 25 STRATEGIC ALLOCATION PORT 2Q 17 TERM 7 17 18	WHEATON, IL	4/6/2017	00801731-05	Registered
FT 6592 DIVERSIFIED FIXED INCOME ETF PORTFOLIO SERIES 30	WHEATON, IL	4/6/2017	00801742-05	Registered
FT 6593 INVESTMENT GRADE SELECT CLOSED END & ETF PORTFOLIO SERIES 3	WHEATON, IL	4/6/2017	00801733-05	Registered
FT 6595 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 58	WHEATON, IL	4/6/2017	00801745-05	Registered
FT 6596 1ST TR ETF ALLOCATION PORTFOLIO SERIES 20	WHEATON, IL	4/6/2017	00801736-05	Registered

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FT 6598 SABRIENT SMALL CAP GROWTH PORTFOLIO SERIES 14	WHEATON, IL	4/6/2017	00801744-05	Registered
FT 6599 40 60 STRATEGIC ALLOCATION PORT 2Q 17 TERM 7 19 18	WHEATON, IL	4/6/2017	00801737-05	Registered
FT 6617 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 43	WHEATON, IL	4/6/2017	00801735-05	Registered
GREAT WEST FUNDS INC GREAT WEST S&P MID CAP 400B INDEX FUND CLASS L	GREENWOOD VILLAGE, CO	4/5/2017	00801629-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO S&P 500 INDEX FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801555-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO PA TAX FREE INCOME FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801567-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO CA TAX FREE INCOME FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801553-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO LOW VOLATILITY EQUITY YIELD FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801549-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO SHORT DURATION HIGH YIELD MUNICIPAL FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801573-03	Registered
AIM EQUITY FUNDS INVESCO EQUITY FUNDS INVESCO SUMMIT FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801550-03	Registered

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AIM FUNDS GROUP INVESCO FUNDS GROUP INVESCO EUROPEAN SMALL CO FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801562-03	Registered
AIM FUNDS GROUP INVESCO FUNDS GROUP INVESCO GLOBAL CORE EQUITY FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801552-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO INTERNATIONAL ALLOCATION FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801547-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO CONSERVATIVE ALLOCATION FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801543-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO GLOBAL LOW VOLATILITY EQUITY YIELD FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801542-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO GROWTH ALLOCATION FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801554-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO INCOME ALLOCATION FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801545-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO MODERATE ALLOCATION FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801564-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO QUALITY INCOME FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801569-03	Registered

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AIM INTERNATIONAL MUTUAL FUNDS INVESCO INTERNATIONAL MUTUAL FUNDS INVESCO ASIA PACIFIC GROWTH FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801566-03	Registered
AIM INTERNATIONAL MUTUAL FUNDS INVESCO INTERNATIONAL MUTUAL FUNDS INVESCO GLOBAL SMALL & MID CAP GROWTH FUND CLASS R6	HOUSTON, TX	4/4/2017	00801544-03	Registered
AIM INTERNATIONAL MUTUAL FUNDS INVESCO INTERNATIONAL MUTUAL FUNDS INVESCO EUROPEAN GROWTH FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801558-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GREATER CHINA FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801551-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO PACIFIC GROWTH FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801557-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL HEALTH CARE FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801572-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO SELECT CO FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801568-03	Registered
AIM INVESTMENT SECURITIES FUNDS INVESCO INVESTMENT SECURITIES FUNDS INVESCO US GOVERNMENT FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801560-03	Registered
AIM INVESTMENT SECURITIES FUNDS INVESCO INVESTMENT SECURITIES FUNDS INVESCO GOVERNMENT MONEY MARKET FUND CLASS	HOUSTON, TX	4/4/2017	00801548-03	Registered

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AIM SECTOR FUNDS INVESCO SECTOR FUNDS INVESCO ENERGY FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801556-03	Registered
AIM SECTOR FUNDS INVESCO SECTOR FUNDS INVESCO GOLD & PRECIOUS METALS FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801540-03	Registered
AIM SECTOR FUNDS INVESCO SECTOR FUNDS INVESCO TECHNOLOGY FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801541-03	Registered
AIM SECTOR FUNDS INVESCO SECTOR FUNDS INVESCO VALUE OPPORTUNITIES FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801571-03	Registered
AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO HIGH YIELD MUNICIPAL FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801559-03	Registered
AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO INTERMEDIATE TERM MUNICIPAL INCOME FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801561-03	Registered
AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO LTD TERM MUNICIPAL INCOME FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801546-03	Registered
AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO MUNICIPAL INCOME FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801563-03	Registered
AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO NY TAX FREE INCOME FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801565-03	Registered
AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO TX EX CASH FUND CLASS R6 SHARES	HOUSTON, TX	4/4/2017	00801570-03	Registered

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INVESCO UNIT TR SERIES 1764	DOWNERS GROVE, IL	4/4/2017	00801533-05	Pending-Cleared
INVESCO UNIT TR SERIES 1765 SELECT 10 INDUSTRIAL PORTFOLIO 2017 3	DOWNERS GROVE, IL	4/4/2017	00801532-05	Pending-Cleared
INVESCO UNIT TR SERIES 1766 BALANCED DIVIDEND SUSTAINABILITY & INCOME PORTFOLIO 2017 2	DOWNERS GROVE, IL	4/4/2017	00801531-05	Pending-Cleared
INVESCO UNIT TR SERIES 1767 CLOSED END STRATEGY DISCOUNT OPPORTUNITY PORTFOLIO 2017 2	DOWNERS GROVE, IL	4/4/2017	00801530-05	Pending-Cleared
INVESCO UNIT TR SERIES 1770 PREFERRED OPPORTUNITY PORTFOLIO 2017 2	DOWNERS GROVE, IL	4/4/2017	00801529-05	Pending-Cleared
INVESCO UNIT TR SERIES 1771 GLOBAL HIGH DIVIDEND PORTFOLIO 2017 2	DOWNERS GROVE, IL	4/4/2017	00801528-05	Pending-Cleared
SMART TR 306 SMART TR ARGUS DIVIDEND GROWERS TOTAL RETURN TR SERIES 12	PARSIPPANY, NJ	4/4/2017	00801527-05	Registered
ADVISORS DISCIPLINED TR 1783 BALANCED PORTFOLIO SERIES 2017 2	MONUMENT, CO	4/3/2017	00801825-05	Registered
ADVISORS DISCIPLINED TR 1783 COHEN & STEERS EQUITY DIVIDEND & INCOME CLOSED END PORTFOLIO SERIES 2017 2	MONUMENT, CO	4/3/2017	00801824-05	Registered
ADVISORS DISCIPLINED TR 1785 GLOBAL TECHNOLOGY PORTFOLIO SERIES 2017 2	MONUMENT, CO	4/3/2017	00801826-05	Registered
BLACKROCK LIQUIDITY FUNDS CA MONEY FUND CAPITAL SHARES	WILMINGTON, DE	4/3/2017	00801593-03	Registered

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BLACKROCK LIQUIDITY FUNDS T FUND CAPITAL SHARES	WILMINGTON, DE	4/3/2017	00801591-03	Registered
BLACKROCK LIQUIDITY FUNDS TEMPFUND CAPITAL SHARES	WILMINGTON, DE	4/3/2017	00801592-03	Registered
FT 6580 CYCLICAL STRENGTH PORTFOLIO SERIES 17	WHEATON, IL	4/3/2017	00801822-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1585 ENHANCED QUALITY 16 STRATEGY PORTFOLIO SERIES 7	LISLE, IL	4/3/2017	00801827-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1586 SENIOR LOAN & INCOME PORTFOLIO OF CEFS SERIES 31	LISLE, IL	4/3/2017	00801830-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1586 STRATEGIC INCOME PORTFOLIO SERIES 97	LISLE, IL	4/3/2017	00801829-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1597 S&P GLOBAL DIVIDEND ARISTOCRATS SELECT 25 STRATEGY PORTFOLIO SERIES 3	LISLE, IL	4/3/2017	00801828-05	Registered
HANCOCK JOHN FUNDS 2 MULTI INDEX 2010 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801493-03	Registered
HANCOCK JOHN FUNDS 2 MULTI INDEX 2015 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801482-03	Registered
HANCOCK JOHN FUNDS 2 MULTI INDEX 2020 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801483-03	Registered
HANCOCK JOHN FUNDS 2 MULTI INDEX 2025 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801484-03	Registered

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HANCOCK JOHN FUNDS 2 MULTI INDEX 2030 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801485-03	Registered
HANCOCK JOHN FUNDS 2 MULTI INDEX 2035 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801486-03	Registered
HANCOCK JOHN FUNDS 2 MULTI INDEX 2040 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801487-03	Registered
HANCOCK JOHN FUNDS 2 MULTI INDEX 2045 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801488-03	Registered
HANCOCK JOHN FUNDS 2 MULTI INDEX 2050 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801489-03	Registered
HANCOCK JOHN FUNDS 2 MULTI INDEX 2055 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801491-03	Registered
HANCOCK JOHN FUNDS 2 MULTI INDEX 2060 LIFETIME PORTFOLIO CLASS R4	BOSTON, MA	4/3/2017	00801490-03	Registered
JPMORGAN TR I JPMORGAN CA TAX FREE BOND FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	4/3/2017	00801492-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN HEDGE OPTION PREMIUM STRATEGY FUND INSTITUTIONAL CLASS	NEW YORK, NY	4/3/2017	00801481-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN HEDGE OPTION PREMIUM STRATEGY FUND CLASS A	NEW YORK, NY	4/3/2017	00801480-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN HEDGE OPTION PREMIUM STRATEGY FUND CLASS R6	NEW YORK, NY	4/3/2017	00801495-03	Registered

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NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN HEDGE OPTION PREMIUM STRATEGY FUND CLASS C	NEW YORK, NY	4/3/2017	00801494-03	Registered
STONE RIDGE TR ELEMENTS US PORTFOLIO	MILWAUKEE, WI	4/3/2017	00801820-03	Registered
STONE RIDGE TR ELEMENTS US SMALL CAP PORTFOLIO	MILWAUKEE, WI	4/3/2017	00801819-03	Registered
<b>Number of Investment Company Filings: 116</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
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37P WHISPERING WINDS LLC	RICHMOND, VA	4/7/2017	00801981-07	Filed
3D BIO HOLDINGS LLC	BROOKLYN, NY	4/7/2017	00801992-07	Filed
AERPIO PHARMACEUTICALS INC	CINCINNATI, OH	4/7/2017	00801995-07	Filed
ALL PRO FUNDING 3 LLC	COLO SPGS, CO	4/7/2017	00801748-07	Filed
ALTERNATE HEALTH CORP	VANCOUVER, BC	4/7/2017	00801986-07	Filed
CONAM 2017 MULTIFAMILY OPPORTUNITY FUND LLC	SAN DIEGO, CA	4/7/2017	00801982-07	Filed
DERRIDA VENTURES LLC	BOWLING GREEN, NY	4/7/2017	00801983-07	Filed
GRAND ENERGY 2016 91 LTD	ADDISON, TX	4/7/2017	00801988-07	Filed
HGI OPPORTUNITY FUND 11 LLC	NORFOLK, VA	4/7/2017	00801990-07	Filed
HGI US PROPERTY FUND 10 LLC	NORFOLK, VA	4/7/2017	00801994-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HPI REAL ESTATE FUND 5 LLC	OLD LYME, CT	4/7/2017	00801991-07	Filed
INNOVATION X HOLDINGS QP LLC LYFT SERIES 4	NEW YORK, NY	4/7/2017	00801989-07	Filed
LC DIVERPSP 14 LLC	NEW YORK, NY	4/7/2017	00801974-07	Filed
LOOKHU INC	CANOGA PARK, CA	4/7/2017	00801977-07	Filed
SC STRATEGIC OPPORTUNITY FUND LLC	GREENVILLE, SC	4/7/2017	00801978-07	Filed
SNOWBIRD CO INVESTMENT PARTNERS LLC	SANTA MONICA, CA	4/7/2017	00801595-07	Filed
SPACE TECHNOLOGY HOLDINGS LLC	SAN DIEGO, CA	4/7/2017	00801984-07	Filed
TAMPA APARTMENTS LLC	CHICAGO, IL	4/7/2017	00801980-07	Filed
VANCE STREET CAPITAL 2 LP	LOS ANGELES, CA	4/7/2017	00801976-07	Filed
IPSIDY INC	LONG BEACH, NY	4/6/2017	00801714-07	Filed
TIGER GLOBAL PIP X PARTNERS LP	NEW YORK, NY	4/5/2017	00801785-07	Filed
<b>Number of Regulation D Notice Filings: 21</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DHH Group, Inc. / My Elder Advocate	Great Neck, NY	4/8/2017	616042	Registered
WZ FRANCHISE CORP / Wing Zone	ATLANTA, GA	4/7/2017	616041	Registered
Toppers Pizza, Inc. / Toppers Pizza	Whitewater, WI	4/7/2017	616040	Registered
Shoot 360 Franchise, LLC / Shoot 360	Vancouver, WA	4/7/2017	616039	Registered
Stay Express World Wide, LLP / Stay Express, Stay Express Inn, Stay Express Inn & Suites, Stay Express Hotel, Local Suites	Dallas, TX	4/7/2017	616038	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CMIT Solutions, Inc. / CMIT Solutions	Austin, TX	4/7/2017	616036	Registered
LITTLE CAESAR ENTERPRISES INC / Little Caesars	DETROIT, MI	4/7/2017	616035	Registered
Noodles & Company	Broomfield, CO	4/7/2017	616034	Registered
TMS Franchising, Inc. / Town Money Saver	Lucas, OH	4/7/2017	616033	Registered
Paisano's Franchise System, Inc. / Paisano's	Chantilly, VA	4/7/2017	616032	Registered
Furniture Medic Limited Partnership / Furniture Medic	MEMPHIS, TN	4/7/2017	616031	Registered
American Poolplayers Association, Inc. / American Poolplayers Association	Lake Saint Louis, MO	4/7/2017	616029	Registered
SVN INTERNATIONAL CORP. / SVN	BOSTON, MA	4/6/2017	616027	Registered
JIFFY LUBE INTERNATIONAL INC / Jiffy Lube	HOUSTON, TX	4/6/2017	616026	Registered
Taco John's International, Inc. / Taco John's	Cheyenne, WY	4/6/2017	616022	Registered
GROUT DOCTOR GLOBAL FRANCHISE CORP / The Grout Doctor	Salt Lake City, UT	4/6/2017	616021	Registered
Cookie Cutters Franchising, Inc. / Cookie Cutters	Holladay, UT	4/6/2017	616020	Registered
TAB Boards International, Inc. / The Alternative Board	Westminster, CO	4/6/2017	616019	Registered
All About People Franchise Services, LLC / All About People	Phoenix, AZ	4/6/2017	616018	Registered
Holiday Diversified Services, Inc. / Holiday Stationstores	Minneapolis, MN	4/6/2017	616016	Registered
ZIPS Franchising, LLC / ZIPS Dry Cleaners	Greenbelt, MD	4/6/2017	616017	Registered
RELAX THE BACK CORP	La Palma, CA	4/6/2017	616015	Registered
HUNTINGTON LEARNING CENTERS INC / Huntington Learning Center	ORADELL, NJ	4/6/2017	616014	Registered
OTA FRANCHISE CORP / Online Trading Academy	IRVINE, CA	4/6/2017	616013	Registered
REGENCY FRANCHISE GROUP, LLC / Regency Office Products	Raleigh, NC	4/6/2017	616012	Registered
JERSEY MIKES FRANCHISE SYSTEMS INC / Jersey Mike's	MANASQUAN, NJ	4/6/2017	616010	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BASKIN ROBBINS FRANCHISING LLC / Baskin-Robbins	CANTON, MA	4/6/2017	616009	Registered
DUNKIN DONUTS FRANCHISING LLC / Dunkin' Donuts	CANTON, MA	4/6/2017	616008	Registered
7-Eleven, Inc.	Irving, TX	4/6/2017	616007	Registered
HUHOT MONGOLIAN GRILLS LLC / HuHot Mongolian Grill	MISSOULA, MT	4/6/2017	616006	Registered
Money Mailer Franchise Corp. / Money Mailer	Cypress, CA	4/6/2017	616005	Registered
Martinizing International LLC / Martinizing	Berkley, MI	4/6/2017	616004	Registered
Management Recruiters International, Inc. / Management Recruiters, Sales Consultants and MRINetwork	Philadelphia, PA	4/6/2017	616003	Registered
A&W RESTAURANTS INC / A&W All American Food; A&W Restaurants	Lexington, KY	4/5/2017	616002	Registered
Worldwide Refinishing Systems, Inc. / DreamMaker Bath & Kitchen by Worldwide	Waco, TX	4/5/2017	616001	Registered
N2 Franchising, LLC / "N2 Publishing" and "N2 Franchising, LLC"	Wilmington, NC	4/5/2017	616000	Registered
PETS ARE INN INC / Pets Are Inn	MINNEAPOLIS, MN	4/5/2017	615999	Registered
Lil' Kickers Inc. / Lil' Kickers	Redmond, WA	4/5/2017	615998	Registered
THE CLEANING AUTHORITY LLC	COLUMBIA, MD	4/5/2017	615996	Registered
InXpress, LLC / InXpress	South Jordan, UT	4/5/2017	615994	Registered
Snap Fitness, Inc. / Snap Fitness	Chanhassen, MN	4/5/2017	615995	Registered
HAND AND STONE FRANCHISE CORP / Hand & Stone Massage, Facial Spa	Trevoese, PA	4/5/2017	615992	Registered
Daekyo America, Inc. / Eye Level Learning Center	Ridgefield Park, NJ	4/5/2017	615991	Registered
PuroSystems, LLC / PuroClean	Tamarac, FL	4/5/2017	615990	Registered
AFC Franchising, LLC / AFC/American Family Care	Birmingham, AL	4/5/2017	615989	Registered
Image Marketing Enterprises, LLC / John Casablancas Modeling & Career Center	Chesterfield, MO	4/5/2017	615985	Registered
Fleet Feet, Incorporated / Fleet Feet Sports	Carrboro, NC	4/5/2017	615984	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Pigtails & Crewcuts Franchise, LLC / Pigtails & Crewcuts	Atlanta, GA	4/4/2017	615983	Registered
Drybar Franchising LLC / Drybar	Irvine, CA	4/4/2017	615982	Registered
Natural Awakenings Publishing Corporation / Natural Awakenings	Naples, FL	4/4/2017	615980	Registered
MASSAGE LUXE INTERNATIONAL LLC / MassageLuXe Area Development Program	FENTON, MO	4/4/2017	615979	Registered
PROPERTY DAMAGE APPRAISERS INC / PDA	FORT WORTH, TX	4/4/2017	615978	Registered
Halloween Express, LLC / Halloween Express	Owenton, KY	4/4/2017	615976	Registered
JumpBunch, Inc.	Annapolis, MD	4/4/2017	615975	Registered
WaveMAX Franchise LLC / WaveMAX Coin Laundry (Area Representative)	Jacksonville, FL	4/4/2017	615974	Registered
WaveMAX Franchise LLC / WaveMAX Coin Laundry (Unit Franchise)	Jacksonville, FL	4/4/2017	615973	Registered
HOSPITALITY INTERNATIONAL INC / Hospitality International, Inc.	TUCKER, GA	4/4/2017	615972	Registered
STANLEY STEEMER INTERNATIONAL INC / Stanley Steemer Carpet Cleaner	DUBLIN, OH	4/4/2017	615971	Registered
California Closet Company, Inc. / California Closets	Richmond, CA	4/4/2017	615970	Registered
HUNGRY HOWIES PIZZA & SUBS INC / Hungry Howie's Pizza & Subs, Inc.	MADISON HEIGHTS, MI	4/4/2017	615968	Registered
PILLAR TO POST INC / Non-Exclusive Territory	TAMPA, FL	4/4/2017	615967	Registered
PILLAR TO POST INC / Exclusive Territory	TAMPA, FL	4/4/2017	615966	Registered
Kona Ice, Inc. / Kona Ice	Florence, KY	4/3/2017	615965	Registered
Men's Hair House Holdings, Inc. / Men's Hair House	Menasha, WI	4/3/2017	615964	Registered
ZAGG Inc / ZAGG	Midvale, UT	4/3/2017	615962	Registered
PAPA MURPHY'S INTERNATIONAL LLC / Papa Murphy's	VANCOUVER, WA	4/3/2017	615961	Registered
BNI Franchising LLC / BNI	Charlotte, NC	4/3/2017	615960	Registered
EARL OF SANDWICH (USA), LLC / EARL OF SANDWICH	ORLANDO, FL	4/3/2017	615959	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Fish Window Cleaning Services, Inc. / FISH WINDOW CLEANING	Manchester, MO	4/3/2017	615958	Registered
PERKINS & MARIE CALLENDERS, LLC / Perkins Restaurant & Bakery	MEMPHIS, TN	4/3/2017	615957	Registered
Mosquito Squad Franchising Corporation / Mosquito Squad	Richmond, VA	4/3/2017	615955	Registered
PizzaRev Franchising, LLC / PizzaRev®	Westlake Village, CA	4/3/2017	615954	Registered
Pandora Franchising, LLC / Pandora	Baltimore, MD	4/3/2017	615953	Registered
Rytech Franchising, Inc.	Kennesaw, GA	4/3/2017	615952	Registered
Smile Source L.P. / Smile Source	Kingwood, TX	4/3/2017	615951	Registered
Renew Crew Franchising Corporation / Renew Crew	Richmond, VA	4/3/2017	615950	Registered
Deli Management, Inc. / Jason's Deli	Beaumont, TX	4/3/2017	615949	Registered
Vision Source LP / Vision Source	Kingwood, TX	4/3/2017	615948	Registered
Rococo Franchise Corporation / Rocky Rococo Pizza and Pasta	Oconomowoc, WI	4/3/2017	615944	Registered
ACFN FRANCHISED INC / ACFN	SAN JOSE, CA	4/3/2017	615946	Registered
YESCO Franchising LLC / YESCO	Salt Lake City, UT	4/3/2017	615945	Registered
WINDOW WORLD, INC. / WINDOW WORLD	N. Wilkesboro, NC	4/3/2017	615943	Registered
BLUE MOON ESTATE SALES USA LLC / BLUE MOON ESTATE SALES	Fuquay Varina, NC	4/3/2017	615942	Registered
<b>Number of Franchise Filings: 83</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	