| Securities Law Registration Filings Made with DFI's Securities Division | | | | |
|---|---------------|------------------|-------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| HERITAGE NOLA BANCORP INC | COVINGTON, LA | 3/16/2017 | 00800663-01 | Pending-Cleared |
| Number of Registration Filings: 1 | | | | |

| Securities Law Exemption Filings Made with DFI's Securities Division | | | | |
|--|----------|------------------|-------------|--------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Exemption Filings: 0 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|--|--------------|------------------|-------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| ADVISORS DISCIPLINED TR 1747 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 118 | MONUMENT, CO | 3/17/2017 | 00800633-05 | Pending-Cleared |
| ADVISORS DISCIPLINED TR 1778 REIT PORTFOLIO SERIES 2017 1 HIMCO PORTFOLIO | MONUMENT, CO | 3/17/2017 | 00800631-05 | Registered |
| ADVISORS DISCIPLINED TR 1778 SMALL MID CAP ADVANTAGE PORTFOLIO SERIES 2017 1 | MONUMENT, CO | 3/17/2017 | 00800636-05 | Registered |
| ADVISORS DISCIPLINED TR 1779 AGRI BUSINESS OPPORTUNITIES PORTFOLIO SERIES 2017 1 | MONUMENT, CO | 3/17/2017 | 00800634-05 | Registered |
| ADVISORS DISCIPLINED TR 1779 COHEN & STEERS PREFERRED INCOME OPPORTUNITIES PORTFOLIO SERIES 2017 1 | MONUMENT, CO | 3/17/2017 | 00800637-05 | Registered |
| ADVISORS DISCIPLINED TR 1780 AMERICAN RENAISSANCE PORTFOLIO SERIES 2017 1 CJL PORTFOLIO | MONUMENT, CO | 3/17/2017 | 00800632-05 | Registered |

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| Firm Name | Location | Date | File Number | Status |
|--|---------------|-----------|-------------|-----------------|
| | | Received | | |
| ADVISORS DISCIPLINED TR 1781 Q GARP PORTFOLIO SERIES 2017 1Q | MONUMENT, CO | 3/17/2017 | 00800635-05 | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 1581 DIVERSIFIED DIVIDEND & NCOME PORTFOLIO SERIES | LISLE, IL | 3/17/2017 | 00800629-05 | Registered |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 1582 MLP & ENERGY FUNDS PORTFOLIO SERIES 17 | LISLE, IL | 3/17/2017 | 00800630-05 | Registered |
| FIMOTHY PLAN DEFENSIVE STRATEGIES FUND CLASS I | HAUPPAUGE, NY | 3/17/2017 | 00800707-03 | Registered |
| FT 6577 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 64 | WHEATON, IL | 3/16/2017 | 00800655-05 | Pending-Cleared |
| FT 6579 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 16 | WHEATON, IL | 3/16/2017 | 00800656-05 | Registered |
| FT 6583 AMERICAN AGENDA PORTFOLIO SERIES | WHEATON, IL | 3/16/2017 | 00800660-05 | Registered |
| FT 6612 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 112 | WHEATON, IL | 3/16/2017 | 00800658-05 | Registered |
| FT 6613 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 25 | WHEATON, IL | 3/16/2017 | 00800654-05 | Registered |
| FT 6614 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 25 | WHEATON, IL | 3/16/2017 | 00800662-05 | Pending-Cleared |
| FT 6620 GLOBAL EQUITY NCOME CLOSED END PORTFOLIO SERIES 53 | WHEATON, IL | 3/16/2017 | 00800659-05 | Registered |
| FT 6623 PREFERRED INCOME PORTFOLIO SERIES 89 | WHEATON, IL | 3/16/2017 | 00800657-05 | Registered |

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| Firm Name | Location | Date | File Number | Status |
|---|--------------------------|-----------|-------------|-----------------|
| | | Received | | |
| FT 6624 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 29 | WHEATON, IL | 3/16/2017 | 00800661-05 | Registered |
| NVESTMENT MANAGERS SERIES TR ARISTOTLE CORE EQUITY FUND CLASS I SHARES | MILWAUKEE, WI | 3/16/2017 | 00800678-03 | Pending-Cleared |
| FRANSAMERICA FUNDS FRANSAMERICA ASSET ALLOCATION CONSERVATIVE PORTFOLIO CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800495-03 | Registered |
| TRANSAMERICA FUNDS TRANSAMERICA ASSET ALLOCATION GROWTH PORTFOLIO CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800487-03 | Registered |
| FRANSAMERICA FUNDS FRANSAMERICA ASSET ALLOCATION MODERATE BROWTH PORTFOLIO CLASS F1 | ST. PETERSBURG, FL | 3/16/2017 | 00800497-03 | Registered |
| FRANSAMERICA FUNDS FRANSAMERICA ASSET ALLOCATION MODERATE PORTFOLIO CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800496-03 | Registered |
| FRANSAMERICA FUNDS FRANSAMERICA CAPITAL GROWTH CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800498-03 | Registered |
| FRANSAMERICA FUNDS FRANSAMERICA CONCENTRATED GROWTH CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800484-03 | Registered |
| FRANSAMERICA FUNDS FRANSAMERICA DIVIDEND FOCUSED CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800507-03 | Registered |
| RANSAMERICA FUNDS RANSAMERICA DYNAMIC ALLOCATION CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800514-03 | Pending-Cleared |
| RANSAMERICA FUNDS RANSAMERICA DYNAMIC NCOME CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800513-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
|---|--------------------------|------------------|-------------|------------|
| RANSAMERICA FUNDS RANSAMERICA EMERGING MARKETS DEBT CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800512-03 | Registered |
| RANSAMERICA FUNDS RANSAMERICA EMERGING MARKETS EQUITY CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800515-03 | Registered |
| RANSAMERICA FUNDS RANSAMERICA FLEXIBLE NCOME CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800503-03 | Registered |
| RANSAMERICA FUNDS RANSAMERICA FLOATING RATE CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800501-03 | Registered |
| RANSAMERICA FUNDS RANSAMERICA GLOBAL EQUITY CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800489-03 | Registered |
| FRANSAMERICA FUNDS FRANSAMERICA GLOBAL ONG SHORT EQUITY CLASS | ST. PETERSBURG, FL | 3/16/2017 | 00800492-03 | Registered |
| RANSAMERICA FUNDS RANSAMERICA GOVERNMENT MONEY MARKET CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800488-03 | Registered |
| RANSAMERICA FUNDS RANSAMERICA HIGH YIELD BOND CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800493-03 | Registered |
| RANSAMERICA FUNDS RANSAMERICA HIGH YIELD MUNI CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800510-03 | Registered |
| RANSAMERICA FUNDS RANSAMERICA INFLATION DPPORTUNITIES CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800482-03 | Registered |
| RANSAMERICA FUNDS RANSAMERICA NTERMEDIATE MUNI CLASS 1 | ST. PETERSBURG, FL | 3/16/2017 | 00800506-03 | Registered |

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| Securities Law Federal Covere | Divi | sion | - | |
|---|--------------------------|------------------|-------------|------------|
| Firm Name | Location | Date Received | File Number | Status |
| TRANSAMERICA FUNDS TRANSAMERICA INTERNATIONAL EQUITY CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800511-03 | Registered |
| TRANSAMERICA FUNDS TRANSAMERICA NTERNATIONAL SMALL CAP VALUE CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800508-03 | Registered |
| TRANSAMERICA FUNDS TRANSAMERICA LARGE CAP VALUE CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800486-03 | Registered |
| TRANSAMERICA FUNDS TRANSAMERICA MID CAP GROWTH CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800500-03 | Registered |
| TRANSAMERICA FUNDS TRANSAMERICA MID CAP VALUE OPPORTUNITIES CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800481-03 | Registered |
| TRANSAMERICA FUNDS TRANSAMERICA MLP & ENERGY INCOME CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800509-03 | Registered |
| TRANSAMERICA FUNDS TRANSAMERICA MULTI CAP GROWTH CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800504-03 | Registered |
| TRANSAMERICA FUNDS TRANSAMERICA MULTI MANAGED BALANCED CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800502-03 | Registered |
| TRANSAMERICA FUNDS TRANSAMERICA MULTI MANAGER ALTERNATIVE STRATEGIES PORTFOLIO CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800490-03 | Registered |
| TRANSAMERICA FUNDS TRANSAMERICA SHORT TERM BOND CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800491-03 | Registered |
| FRANSAMERICA FUNDS FRANSAMERICA SMALL CAP CORE CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800499-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
|--|--------------------------|------------------|-------------|-----------------|
| TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP GROWTH CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800505-03 | Registered |
| FRANSAMERICA FUNDS FRANSAMERICA SMALL CAP VALUE CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800516-03 | Pending-Cleared |
| FRANSAMERICA FUNDS FRANSAMERICA SMALL MID CAP VALUE CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800494-03 | Registered |
| FRANSAMERICA FUNDS FRANSAMERICA STRATEGIC HIGH INCOME CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800483-03 | Registered |
| FRANSAMERICA FUNDS FRANSAMERICA US GROWTH CLASS T1 | ST. PETERSBURG, FL | 3/16/2017 | 00800485-03 | Registered |
| NUVEEN UNIT INVESTMENT FR SERIES 187 NUVEEN BALANCE SHEET STRENGTH PORTFOLIO 1Q 2017 | CHICAGO, IL | 3/15/2017 | 00800653-05 | Registered |
| NUVEEN UNIT INVESTMENT FR SERIES 188 NAJARIAN HEAT SEEKER PORTFOLIO 1Q 2017 | CHICAGO, IL | 3/15/2017 | 00800652-05 | Registered |
| PRICE T ROWE HIGH YIELD FUND INC PRICE T ROWE US HIGH YIELD FUND | BALTIMORE, MA | 3/15/2017 | 00800545-03 | Pending-Cleared |
| PRICE T ROWE HIGH YIELD FUND INC PRICE T ROWE US HIGH YIELD FUND PRICE T ROWE US HIGH YIELD FUND I CLASS | BALTIMORE, MA | 3/15/2017 | 00800546-03 | Pending-Cleared |
| PRICE T ROWE HIGH YIELD FUND INC PRICE T ROWE US HIGH YIELD FUND PRICE T ROWE US HIGH YIELD FUND ADVISOR CLASS | BALTIMORE, MA | 3/15/2017 | 00800544-03 | Pending-Cleared |
| RUSSELL INVESTMENT CO COMMODITY STRATEGIES FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800527-03 | Registered |

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| Firm Name | Location | Date | File Number | Status |
|---|-------------|-----------|-------------|------------|
| | | Received | | |
| USSELL INVESTMENT CO MERGING MARKETS FUND LASS T | SEATTLE, WA | 3/15/2017 | 00800534-03 | Registered |
| USSELL INVESTMENT CO SLOBAL EQUITY FUND CLASS | SEATTLE, WA | 3/15/2017 | 00800525-03 | Registered |
| RUSSELL INVESTMENT CO BLOBAL INFRASTRUCTURE UND CLASS T | SEATTLE, WA | 3/15/2017 | 00800529-03 | Registered |
| RUSSELL INVESTMENT CO GLOBAL OPPORTUNISTIC CREDIT FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800531-03 | Registered |
| RUSSELL INVESTMENT CO GLOBAL REAL ESTATE SECURITIES FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800530-03 | Registered |
| RUSSELL INVESTMENT CO NTERNATIONAL DEVELOPED MARKETS FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800536-03 | Registered |
| RUSSELL INVESTMENT CO NVESTMENT GRADE BOND FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800542-03 | Registered |
| RUSSELL INVESTMENT CO MULTI ASSET GROWTH STRATEGY FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800543-03 | Registered |
| RUSSELL INVESTMENT CO MULTI STRATEGY INCOME FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800535-03 | Registered |
| RUSSELL INVESTMENT CO SHORT DURATION BOND FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800540-03 | Registered |
| RUSSELL INVESTMENT CO STRATEGIC BOND FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800528-03 | Registered |
| RUSSELL INVESTMENT CO FAX MANAGED NTERNATIONAL EQUITY FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800537-03 | Registered |

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| Securities Law Federal Covere | | nent Company sion | y Filings Made v | with DFI's Securities |
|---|--------------|----------------------|------------------|-----------------------|
| Firm Name | Location | Date Received | File Number | Status |
| RUSSELL INVESTMENT CO TAX MANAGED US LARGE CAP FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800538-03 | Registered |
| RUSSELL INVESTMENT CO TAX MANAGED US MID & SMALL CAP FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800524-03 | Registered |
| RUSSELL INVESTMENT CO TX EX BOND FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800532-03 | Registered |
| RUSSELL INVESTMENT CO TX EX HIGH YIELD BOND FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800539-03 | Registered |
| RUSSELL INVESTMENT CO UNCONSTRAINED TOTAL RETURN FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800541-03 | Registered |
| RUSSELL INVESTMENT CO US SMALL CAP EQUITY FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800526-03 | Registered |
| RUSSELL INVESTMENT CO US STRATEGIC EQUITY FUND CLASS T | SEATTLE, WA | 3/15/2017 | 00800533-03 | Registered |
| FORUM FUNDS DF DENT MIDCAP GROWTH FUND | PORTLAND, ME | 3/14/2017 | 00800480-03 | Registered |
| FT 6544 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 32 | WHEATON, IL | 3/13/2017 | 00800561-05 | Registered |
| FT 6569 SENIOR LOAN & DIVIDEND GROWERS PORTFOLIO SERIES 13 | WHEATON, IL | 3/13/2017 | 00800562-05 | Registered |
| FT 6575 DIVIDEND GROWTH PORTFOLIO SERIES 17 | WHEATON, IL | 3/13/2017 | 00800563-05 | Registered |
| FT 6611 BALANCED INCOME SELECT PORTFOLIO SERIES 66 | WHEATON, IL | 3/13/2017 | 00800560-05 | Registered |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | | | |
|--|-------------|--|-------------|------------|--|--|
| Firm Name | Location | Date Received | File Number | Status | | |
| HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL CAP OPPORTUNITIES FUND CLASS SDR | RADNOR, PA | 3/13/2017 | 00800477-03 | Registered | | |
| Number of Investment Company | Filings: 87 | Number of Investment Company Filings: 87 | | | | |

| Federal Covered Security | -Regulation D Notic | e Filings Mad | de with DFI's Se | curities Division |
|--|----------------------|------------------|------------------|-------------------|
| Firm Name | Location | Date Received | File Number | Status |
| AGRICULTURAL GAS CO | HUDSON, WI | 3/17/2017 | 00800638-07 | Filed |
| AZARIAS FOCUSED SMALL CAP VALUE FUND LP | BERWYN, PA | 3/17/2017 | 00800623-07 | Filed |
| BIB MERCHANT VOUCHER RECEIVABLES LTD | GRAND CAYMAN, AA | 3/17/2017 | 00800622-07 | Filed |
| BLAINE FISHER ROYALTIES LLC | DALLAS, TX | 3/17/2017 | 00800628-07 | Filed |
| CELADON TECHNOLOGY FUND 5 LLC | SAN FRANCISCO, CA | 3/17/2017 | 00800626-07 | Filed |
| IDEA FUND OF LA CROSSE 1 LP | LA CROSSE, WI | 3/17/2017 | 00800619-07 | Filed |
| MLG PF MERCER CO INVESTOR LLC | BROOKFIELD, WI | 3/17/2017 | 00800624-07 | Filed |
| NAM SPECIAL SITUATIONS FUND 1 QP LLC PALANTIR SERIES 1 | NEW YORK, NY | 3/17/2017 | 00800616-07 | Filed |
| NEIGHBORHOOD BANCORP | NATIONAL CITY, CA | 3/17/2017 | 00800620-07 | Filed |
| ORIGINCLEAR INC | LOS ANGELES, CA | 3/17/2017 | 00800618-07 | Filed |
| REDSHIFT BIOANALYTICS INC | BURLINGTON, MA | 3/17/2017 | 00800625-07 | Filed |
| XSTAR VALUE FUND LP | MOUNTAIN VIEW, CA | 3/17/2017 | 00800617-07 | Filed |

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| Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division | | | | |
|--|----------------------|------------------|-------------|--------|
| Firm Name | Location | Date Received | File Number | Status |
| LUCENT HEALTH SOLUTIONS INC | NASHVILLE, TN | 3/16/2017 | 00800679-07 | Filed |
| ASCENT CAPITAL FUND 2 LLC | BELLEVUE, WA | 3/14/2017 | 00800063-07 | Filed |
| ASCENT CAPITAL FUND 3 LLC | BELLEVUE, WA | 3/14/2017 | 00800066-07 | Filed |
| PB BALANCED FUND 2 LP | VILLANOVA, PA | 3/14/2017 | 00800065-07 | Filed |
| ZERO COUPON PHARMACY 7 DST | OAK BROOK, IL | 3/14/2017 | 00800064-07 | Filed |
| HAWKES BAY INVESTORS CAYMAN LTD | CAYMAN ISLAND, AB | 3/13/2017 | 00800564-07 | Filed |
| Number of Regulation D Notice Filings: 18 | | | | |

| Franchise Law Filings Made with DFI's Securities Division | | | | |
|--|-----------------------|------------------|-------------|------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| Drama Kids International, Inc. / Drama Kids | Leesburg, VA | 3/17/2017 | 614627 | Registered |
| FLOORS TO GO LLC / Floors To Go | BONITA SPRINGS, FL | 3/17/2017 | 614626 | Registered |
| ABBEY CARPET CO., INC / Abbey Carpet & Floor Showrooms | BONITA SPRINGS, FL | 3/17/2017 | 614625 | Registered |
| LoLo's CNW, LLC / Lo-Lo's Chicken & Waffles | Phoenix, AZ | 3/17/2017 | 614624 | Registered |
| Culture of Crust LLC / The Roman Candle Pizza | Madison, WI | 3/17/2017 | 614623 | Registered |
| Maid Right Franchising LLC / Might Right | Alphretta, GA | 3/17/2017 | 614622 | Registered |
| Liquid Capital of America Corp. / Liquid Capital | Irving, TX | 3/17/2017 | 614621 | Registered |
| ABC, Inc., a North Dakota corporation / abc Seamless | Fargo, ND | 3/17/2017 | 614620 | Registered |
| Minuteman Press International, Inc. / Minuteman Press | Farmingdale, NY | 3/17/2017 | 614619 | Registered |
| 19 SPORTS CORP / 19 SPORTS | RIVERVIEW, FL | 3/17/2017 | 614618 | Registered |
| Pizza Bell Hop, LLC / Pizza Bell Hop | Blaine, MN | 3/16/2017 | 614617 | Registered |

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| Franchise | Franchise Law Filings Made with DFI's Securities Division | | | |
|--|---|------------------|-------------|------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| MICHELIN RETREAD TECHNOLOGIES INC / Michelin Commercial Service Network | DUNCAN, SC | 3/16/2017 | 614616 | Registered |
| MICHELIN RETREAD TECHNOLOGIES INC / Michelin Retread Shop | DUNCAN, SC | 3/16/2017 | 614615 | Registered |
| Chicago Doughnut Franchise Company, LLC / The Dapper Doughnut | Jacksonville, FL | 3/16/2017 | 614614 | Registered |
| Qdoba Restaurant Corporation / 'Qdoba®" "Qdoba Mexican EatsTM" | San Diego, CA | 3/16/2017 | 614613 | Registered |
| Jack in the Box Inc. / Jack in the Box | San Diego, CA | 3/16/2017 | 614612 | Registered |
| BOJANGLES INTERNATIONAL LLC / Bojangles'®, Bojangles' Famous Chicken 'n Biscuits®, and Bojangles' Express | CHARLOTTE, NC | 3/16/2017 | 614611 | Registered |
| EmbroidMe.com, Inc. / Fully Promoted | West Palm Beach, FL | 3/16/2017 | 614610 | Registered |
| SSV Franchising LLC / School Spirit Vending | Stephenville, TX | 3/16/2017 | 614608 | Registered |
| Restoration Specialties Franchise Group, LLC / ART | Livonia, MI | 3/16/2017 | 614607 | Registered |
| Restoration Specialties Franchise Group, LLC / ERS | Livonia, MI | 3/16/2017 | 614606 | Registered |
| The Tutoring Center Franchise Corp. / Tutoring Center | Long Beach, CA | 3/16/2017 | 614605 | Registered |
| Winmark Corporation / Style Encore | Minneapolis, MN | 3/16/2017 | 614604 | Registered |
| Winmark Corporation / Plato's Closet | Minneapolis, MN | 3/16/2017 | 614603 | Registered |
| Winmark Corporation / Music Go Round | Minneapolis, MN | 3/16/2017 | 614602 | Registered |
| WINMARK CORP / Once Upon A Child Franchise Program | MINNEAPOLIS, MN | 3/16/2017 | 614601 | Registered |
| Winmark Corporation / Play It Again Sports | Minneapolis, MN | 3/16/2017 | 614600 | Registered |
| Aurelio's Is Pizza Franchise, Ltd. / Aurelio's, Aurelio's Is Pizza | Homewood, IL | 3/16/2017 | 614598 | Registered |
| Bonchon Franchise LLC / BonChon Business and Restaurant | New York, NY | 3/15/2017 | 614595 | Registered |
| Phocatox Technologies, LLC / Biosweep® | Carmel, IN | 3/15/2017 | 614594 | Registered |
| IMG Universe, LLC / Miss Universe; Miss Universe Organization; Miss Teen USA | New York, NY | 3/14/2017 | 614592 | Registered |

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| Franchise Law Filings Made with DFI's Securities Division | | | | |
|---|----------------|------------------|-------------|------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| IMG Universe, LLC / Miss Universe; Miss Universe Organization; Miss USA | New York, NY | 3/14/2017 | 614591 | Registered |
| FUSA, INC. / Fix Auto USA | San Diego, CA | 3/14/2017 | 614590 | Registered |
| MAID BRIGADE INC / Maid Brigade | ATLANTA, GA | 3/14/2017 | 614588 | Registered |
| MAID BRIGADE INC / Maid Simple | ATLANTA, GA | 3/14/2017 | 614587 | Registered |
| World Inspection Network International, Inc. / WIN Home Inspection | Franklin, TN | 3/14/2017 | 614586 | Registered |
| Murphy Business & Financial Corporation LLC / Murphy Business & Financial Corporation | Clearwater, FL | 3/14/2017 | 614585 | Registered |
| Panchero's Franchise Corporation / Panchero's | Coralville, IA | 3/13/2017 | 614584 | Registered |
| PRECISION HOLDINGS OF BREVARD INC / Precision Door Service | TITUSVILLE, FL | 3/13/2017 | 614582 | Registered |
| Enviro-Master International Franchise, LLC / Enviro-Master International Franchise, LLC | Charlotte, NC | 3/13/2017 | 614581 | Registered |
| Number of Franchise Filings: 40 | | | | |

| Corporate Take-Over Law Filings Made with DFI's Securities Division | | | |
|---|---------------|--|--|
| Takeover Filing Reference | Date Received | | |
| Number of Takeover Filings: 0 | | | |

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