

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/19/2017 through 2/25/2017**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PIMCO FLEXIBLE CREDIT INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	2/22/2017	00797441-01	Registered
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HIDDEN CREEK 2 RESIDENCES LLC	MIDDLETON, WI	2/22/2017	00798405-27	Filed
MIDDLETON CENTER PH 2 LLC	MIDDLETON, WI	2/22/2017	00798406-27	Filed
BLUE MARBLE ENERGY CORP	ALEXANDRIA, VA	2/21/2017	00798349-25	Filed
SAGOON INC	WOODBRIIDGE, VA	2/21/2017	00798350-25	Filed
<b>Number of Exemption Filings: 4</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALGER FUNDS 2 ALGER DYNAMIC OPPORTUNITIES FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798049-03	Registered
ALGER FUNDS 2 ALGER EMERGING MARKETS FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798050-03	Registered
ALGER FUNDS 2 ALGER MID CAP FOCUS FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798048-03	Registered
ALGER FUNDS 2 ALGER RESPONSIBLE INVESTING FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798052-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALGER FUNDS 2 ALGER SPECTRA FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798051-03	Registered
ALGER FUNDS ALGER CAPITAL APPRECIATION FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798038-03	Registered
ALGER FUNDS ALGER GROWTH & INCOME FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798037-03	Registered
ALGER FUNDS ALGER HEALTH SCIENCES FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798042-03	Registered
ALGER FUNDS ALGER INTERNATIONAL GROWTH FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798039-03	Registered
ALGER FUNDS ALGER MID CAP GROWTH FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798040-03	Registered
ALGER FUNDS ALGER SMALL CAP FOCUS FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798044-03	Registered
ALGER FUNDS ALGER SMALL CAP FOCUS FUND CLASS Y SHARES	NEW YORK, NY	2/24/2017	00798036-03	Registered
ALGER FUNDS ALGER SMALL CAP GROWTH FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798041-03	Registered
ALGER FUNDS ALGER SMID CAP GROWTH FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798043-03	Registered
ALGER GLOBAL GROWTH FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798047-03	Registered
ALGER INSTITUTIONAL FUNDS ALGER CAPITAL APPRECIATION INSTITUTIONAL FUND CLASS Y SHARES	NEW YORK, NY	2/24/2017	00798053-03	Registered

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ALGER INSTITUTIONAL FUNDS ALGER CAPITAL APPRECIATION FOCUS FUND CLASS Y SHARES	NEW YORK, NY	2/24/2017	00798046-03	Registered
ALGER INSTITUTIONAL FUNDS ALGER CAPITAL APPRECIATION FOCUS FUND CLASS T SHARES	NEW YORK, NY	2/24/2017	00798045-03	Registered
FT 6515 EQUITY INCOME SELECT PORTFOLIO SERIES 52	WHEATON, IL	2/24/2017	00798394-05	Registered
FT 6516 RICHARD BERNSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 21	WHEATON, IL	2/24/2017	00798392-05	Registered
FT 6518 TOP PICKS FEBRUARY 2017 PORTFOLIO	WHEATON, IL	2/24/2017	00798391-05	Registered
FT 6530 DIVIDEND STRENGTH PORTFOLIO SERIES 35	WHEATON, IL	2/24/2017	00798384-05	Registered
FT 6538 PRECIOUS METALS SELECT PORTFOLIO SERIES 40	WHEATON, IL	2/24/2017	00798393-05	Registered
FT 6539 HOMEBUILDERS RECOVERY SELECT PORTFOLIO SERIES 15	WHEATON, IL	2/24/2017	00798396-05	Registered
FT 6540 TECHNOLOGY SELECT PORTFOLIO SERIES 64	WHEATON, IL	2/24/2017	00798388-05	Registered
FT 6541 GLOBAL DIVIDEND PORTFOLIO SERIES 50	WHEATON, IL	2/24/2017	00798387-05	Registered
FT 6547 MULTI ASSET INCOME PORTFOLIO SERIES	WHEATON, IL	2/24/2017	00798395-05	Pending-Cleared
FT 6551 US INFRASTRUCTURE PORTFOLIO SERIES 10	WHEATON, IL	2/24/2017	00798385-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 6562 SKYBRIDGE CORE DIVIDEND STRATEGY SERIES	WHEATON, IL	2/24/2017	00798389-05	Registered
FT 6567 ALTERNATIVE INCOME PORTFOLIO SERIES 22	WHEATON, IL	2/24/2017	00798390-05	Registered
FT 6571 CORPORATE HIGH INCOME PORTFOLIO SERIES 24	WHEATON, IL	2/24/2017	00798386-05	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD GROWTH OPPORTUNITIES FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798083-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD MUNICIPAL REAL RETURN FUND CLASS F	RADNOR, PA	2/24/2017	00798035-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS MULTI SECTOR BOND FUND CLASS F	RADNOR, PA	2/24/2017	00798009-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS DEBT & CURRENCY FUND CLASS F	RADNOR, PA	2/24/2017	00798059-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS EQUITY FUND CLASS F	RADNOR, PA	2/24/2017	00798060-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS GLOBAL STRATEGIC BOND FUND CLASS F	RADNOR, PA	2/24/2017	00798012-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS INCOME BUILDER FUND CLASS F	RADNOR, PA	2/24/2017	00798013-03	Registered

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HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL MULTI CAP VALUE FUND CLASS F	RADNOR, PA	2/24/2017	00798010-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS F	RADNOR, PA	2/24/2017	00798062-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS TAX AWARE BOND FUND CLASS F	RADNOR, PA	2/24/2017	00798014-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL CAP OPPORTUNITIES FUND CLASS F	RADNOR, PA	2/24/2017	00798061-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL MID CAP OPPORTUNITIES FUND CLASS F	RADNOR, PA	2/24/2017	00798011-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SMALLCAP GROWTH FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798055-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD VALUE OPPORTUNITIES FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798082-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798077-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED INCOME FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798071-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD CAPITAL APPRECIATION FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798034-03	Registered

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HARTFORD MUTUAL FUNDS INC HARTFORD CHECKS & BALANCES FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798023-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD CONSERVATIVE ALLOCATION FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798024-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD CORE EQUITY FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798032-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD DIVIDEND & GROWTH FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798081-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD EMERGING MARKETS EQUITY FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798017-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD EMERGING MARKETS LOCAL DEBT FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798018-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD ENVIRONMENTAL OPPORTUNITIES FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798054-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD EQUITY INCOME FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798027-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD FLOATING RATE FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798026-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD FLOATING RATE HIGH INCOME FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798066-03	Registered

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HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL ALL ASSET FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798016-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL CAPITAL APPRECIATION FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798025-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL EQUITY INCOME FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798070-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL REAL ASSET FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798021-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GROWTH ALLOCATION FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798072-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD HEALTHCARE FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798076-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD HIGH YIELD FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798033-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD INFLATION PLUS FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798028-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL OPPORTUNITIES FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798080-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL EQUITY FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798019-03	Registered

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HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL GROWTH FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798031-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL SMALL CO FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798030-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL VALUE FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798020-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD LONG SHORT GLOBAL EQUITY FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798063-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MIDCAP FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798079-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MIDCAP VALUE FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798029-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MODERATE ALLOCATION FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798073-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798067-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MUNICIPAL INCOME FUND CLASS F	RADNOR, PA	2/24/2017	00798057-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MUNICIPAL OPPORTUNITIES FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798068-03	Registered

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HARTFORD MUTUAL FUNDS INC HARTFORD MUNICIPAL SHORT DURATION FUND CLASS F	RADNOR, PA	2/24/2017	00798058-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD QUALITY BOND FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798015-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD REAL TOTAL RETURN FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798064-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD SHORT DURATION FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798075-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD SMALL CAP CORE FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798022-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD SMALL CO FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798056-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD STRATEGIC INCOME FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798069-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TOTAL RETURN BOND FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798078-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD UNCONSTRAINED BOND FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798074-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD WORLD BOND FUND CLASS F SHARES	RADNOR, PA	2/24/2017	00798065-03	Registered

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THRIVENT MUTUAL FUNDS THRIVENT LOW VOLATILITY EQUITY FUND CLASS S SHARES	MINNEAPOLIS, MN	2/24/2017	00798322-03	Registered
THRIVENT MUTUAL FUNDS THRIVENT MULTIDIMENSIONAL INCOME FUND CLASS S SHARES	MINNEAPOLIS, MN	2/24/2017	00798321-03	Registered
1290 FUNDS 1290 LOW VOLATILITY GLOBAL EQUITY FUND CLASS I	NEW YORK, NY	2/23/2017	00797673-03	Pending-Cleared
1290 FUNDS 1290 RETIREMENT 2020 FUND CLASS I	NEW YORK, NY	2/23/2017	00797656-03	Pending-Cleared
1290 FUNDS 1290 RETIREMENT 2025 FUND CLASS I	NEW YORK, NY	2/23/2017	00797658-03	Pending-Cleared
1290 FUNDS 1290 RETIREMENT 2030 FUND CLASS I	NEW YORK, NY	2/23/2017	00797659-03	Pending-Cleared
1290 FUNDS 1290 RETIREMENT 2035 FUND CLASS I	NEW YORK, NY	2/23/2017	00797657-03	Pending-Cleared
1290 FUNDS 1290 RETIREMENT 2040 FUND CLASS I	NEW YORK, NY	2/23/2017	00797662-03	Pending-Cleared
1290 FUNDS 1290 RETIREMENT 2045 FUND CLASS I	NEW YORK, NY	2/23/2017	00797663-03	Pending-Cleared
1290 FUNDS 1290 RETIREMENT 2050 FUND CLASS I	NEW YORK, NY	2/23/2017	00797661-03	Pending-Cleared
1290 FUNDS 1290 RETIREMENT 2055 FUND CLASS I	NEW YORK, NY	2/23/2017	00797660-03	Pending-Cleared
1290 FUNDS 1290 RETIREMENT 2060 FUND CLASS I	NEW YORK, NY	2/23/2017	00797664-03	Pending-Cleared

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HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL IMPACT FUND CLASS R4 SHARES	RADNOR, PA	2/23/2017	00797672-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL IMPACT FUND CLASS I SHARES	RADNOR, PA	2/23/2017	00797667-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL IMPACT FUND CLASS A SHARES	RADNOR, PA	2/23/2017	00797665-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL IMPACT FUND CLASS F SHARES	RADNOR, PA	2/23/2017	00797655-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL IMPACT FUND CLASS R3 SHARES	RADNOR, PA	2/23/2017	00797669-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL IMPACT FUND CLASS R5 SHARES	RADNOR, PA	2/23/2017	00797670-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL IMPACT FUND CLASS Y SHARES	RADNOR, PA	2/23/2017	00797668-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL IMPACT FUND CLASS R6 SHARES	RADNOR, PA	2/23/2017	00797671-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL IMPACT FUND CLASS C SHARES	RADNOR, PA	2/23/2017	00797666-03	Registered
LEGG MASON PARTNERS INCOME TR WESTERN ASSET INTERMEDIATE TERM MUNICIPALS FUND CLASS IS	NEW YORK, NY	2/23/2017	00798007-03	Registered

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LEGG MASON PARTNERS INCOME TR WESTERN ASSET MANAGED MUNICIPALS FUND CLASS IS	NEW YORK, NY	2/23/2017	00798008-03	Registered
ADVISORS DISCIPLINED TR 1771 BLUE CHIP PORTFOLIO SERIES 2017 1	MONUMENT, CO	2/21/2017	00798354-05	Registered
ADVISORS DISCIPLINED TR 1771 TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2017 1	MONUMENT, CO	2/21/2017	00798356-05	Registered
ADVISORS DISCIPLINED TR 1772 AEROSPACE DEFENSE OPPORTUNITIES PORTFOLIO SERIES 2017 1	MONUMENT, CO	2/21/2017	00798355-05	Registered
ADVISORS DISCIPLINED TR 1772 COHEN & STEERS COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2017 1	MONUMENT, CO	2/21/2017	00798357-05	Registered
ADVISORS DISCIPLINED TR 1772 COHEN & STEERS GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2017 1	MONUMENT, CO	2/21/2017	00798361-05	Registered
ADVISORS DISCIPLINED TR 1772 CORE ANGELS PORTFOLIO SERIES 2017 1	MONUMENT, CO	2/21/2017	00798360-05	Registered
ADVISORS DISCIPLINED TR 1772 ENERGY OPPORTUNITIES PORTFOLIO SERIES 2017 1	MONUMENT, CO	2/21/2017	00798359-05	Registered
ADVISORS DISCIPLINED TR 1772 STRATEGIC FOUNDATIONS OF GROWTH PORTFOLIO SERIES 2017 1	MONUMENT, CO	2/21/2017	00798358-05	Registered
AMERICAN GROWTH FUND INC SERIES 2 CLASS E	DENVER, CO	2/21/2017	00798351-03	Registered
EATON VANCE UNIT TR SERIES 11	DOWNERS GROVE, IL	2/21/2017	00798401-05	Pending-Cleared

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1511 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 47	LISLE, IL	2/21/2017	00798353-05	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1291 QUALITY MUNICIPAL INCOME TR 20 PLUS YEAR SERIES 195	DOWNERS GROVE, IL	2/21/2017	00798403-05	Pending-Cleared
INVESCO UNIT TR SERIES 1752 GLOBAL WATER PORTFOLIO 2017 1	DOWNERS GROVE, IL	2/21/2017	00798399-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 595 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 25	DOWNERS GROVE, IL	2/21/2017	00798402-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 596 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 26	DOWNERS GROVE, IL	2/21/2017	00798400-05	Pending-Cleared
SCHWAB INVESTMENTS SCHWAB SHORT TERM BOND INDEX FUND	SAN FRANCISCO, CA	2/21/2017	00797654-03	Registered
SCHWAB INVESTMENTS SCHWAB US AGGREGATE BOND INDEX FUND	SAN FRANCISCO, CA	2/21/2017	00797653-03	Registered
FT 6505 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 30	WHEATON, IL	2/20/2017	00797337-05	Registered
FT 6514 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES AMERICAN INDUSTRIAL RENAISSANCE 2017 1	WHEATON, IL	2/20/2017	00797335-05	Registered
FT 6555 CORPORATE INVESTMENT GRADE LADDERED PORTFOLIO SERIES 4	WHEATON, IL	2/20/2017	00797336-05	Registered
<b>Number of Investment Company Filings: 131</b>				

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for 2/19/2017 through 2/25/2017**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BELLROCK CONTROLS INC	PITTSBURGH, PA	2/24/2017	00798363-07	Filed
BRENTWOOD ASSOC PRIVATE EQUITY 6 LP	LOS ANGELES, CA	2/24/2017	00798383-07	Filed
BRIGHTON MULTIFAMILY DST	OAK BROOK, IL	2/24/2017	00798377-07	Filed
CIC 4 LP	DALLAS, TX	2/24/2017	00798374-07	Filed
CORDILLERA INVESTMENT FUND IB LP	SAN FRANCISCO, CA	2/24/2017	00798370-07	Filed
FRESHII INC	TORONTO, ON	2/24/2017	00798371-07	Filed
HGI OPPORTUNITY SELECT FUND 6 LLC	NORFOLK, VA	2/24/2017	00798362-07	Filed
NORTH AMERICAN ROBOTICS CORP	SAN FRANCISCO, CA	2/24/2017	00798378-07	Filed
PE PREMIER BCP 7 ONSHORE FEEDER FUND LP	NEW YORK, NY	2/24/2017	00798364-07	Filed
PE PREMIER CARLYLE DISTRESSED OPPORTUNITIES 4 ONSHORE FEEDER LP	NEW YORK, NY	2/24/2017	00798366-07	Filed
STEPSTONE REAL ESTATE PARTNERS 3 LP	LA JOLLA, CA	2/24/2017	00798368-07	Filed
SVOBODA CAPITAL FUND 4 SBIC LP	CHICAGO, IL	2/24/2017	00798375-07	Filed
VALENTINE FIELD 3D JOINT VENTURE	SAN ANTONIO, TX	2/24/2017	00798376-07	Filed
Z5 INVESNTORY INC	SCOTTSDALE, AZ	2/24/2017	00798372-07	Filed
2016 2017 YEAR END DRILLING PROGRAM LP	BOWLING GREEN, KY	2/22/2017	00798397-07	Filed
IHEARTCOMMUNICATIONS INC	SAN ANTONIO, TX	2/22/2017	00798323-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/19/2017 through 2/25/2017**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CS1031 CROSSROADS APARTMENTS DST	GLEN ALLEN, VA	2/20/2017	00797334-07	Filed
<b>Number of Regulation D Notice Filings: 17</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GTN CAPITAL GROUP LLC / NERDSTOGO	Guilford, CT	2/24/2017	614508	Registered
Patrice & Associates Franchising, Inc. / Patrice & Associates - Unit Program	Dunkirk, MD	2/24/2017	614507	Registered
Surface Specialists Systems, Inc. / Surface Specialists	Matthews, NC	2/24/2017	614506	Registered
The Beef Jerky Outlet Franchise, Inc	Dandridge, TN	2/23/2017	614504	Registered
Stanley Industrial & Automotive, LLC / Mac Tools	Westerville, OH	2/23/2017	614503	Registered
Cole's Backyard Grill Franchising LLC / Cole's Backyard Grill	Richardson, TX	2/23/2017	614502	Registered
PONDEROSA FRANCHISING CO / Ponderosa Steakhouse	Richardson, TX	2/23/2017	614501	Registered
BONANZA RESTAURANT CO / Bonanza Steak & BBQ and Bonanza Steakhouse	Richardson, TX	2/23/2017	614500	Registered
YOUNG REMBRANDTS FRANCHISE INC / YOUNG REMBRANDTS	ELGIN, IL	2/22/2017	614498	Registered
National Property Inspections, Inc. / National Property Inspections, Inc.	Omaha, NE	2/22/2017	614496	Registered
CryoFit Americas, Inc. / CryoFit	Austin, TX	2/21/2017	614492	Registered
Cobblestone Hotels, LLC / Boarders Inn & Suites by Cobblestone	Neenah, WI	2/19/2017	614489	Registered
Cobblestone Hotels, LLC / Cobblestone Inn & Suites; Cobblestone Hotel & Suites	Neenah, WI	2/19/2017	614488	Registered
<b>Number of Franchise Filings: 13</b>				

**Corporate Take-Over Law Filings Made with DFI's Securities Division**

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/19/2017 through 2/25/2017**

Takeover Filing Reference	Date Received
<b>Number of Takeover Filings: 0</b>	