

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/12/2017 through 2/18/2017**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TRILINC GLOBAL IMPACT FUND LLC	MANHATTAN BEACH, CA	2/17/2017	00797293-08	Not Disallowed
KEEN HOME INC	NEW YORK, NY	2/13/2017	00797213-25	Filed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST LARGE CAP SHARE BUYBACK FUND CLASS U	HAUPPAUGE, NY	2/17/2017	00797228-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST LARGE CAP SHARE BUYBACK FUND CLASS I	HAUPPAUGE, NY	2/17/2017	00797227-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST LARGE CAP SHARE BUYBACK FUND CLASS A	HAUPPAUGE, NY	2/17/2017	00797226-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1572 DIVIDEND STRENGTH PORTFOLIO SERIES 24	LISLE, IL	2/17/2017	00797298-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1572 LARGE CAP CORE PORTFOLIO SERIES 30	LISLE, IL	2/17/2017	00797296-05	Registered

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1573 TOTAL INCOME PORTFOLIO SERIES 9	LISLE, IL	2/17/2017	00797299-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1574 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 21	LISLE, IL	2/17/2017	00797297-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1574 UNDERVALUED TOP PICKS PORTFOLIO SERIES 12	LISLE, IL	2/17/2017	00797294-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 183 NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO 1Q 2017	CHICAGO, IL	2/17/2017	00797301-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 185 NUVEEN PROSPECT ENERGY & POWER INCOME PORTFOLIO 1Q 2017	CHICAGO, IL	2/17/2017	00797300-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 185 NUVEEN PROSPECT INCOME FINANCE PORTFOLIO 1Q 2017	CHICAGO, IL	2/17/2017	00797295-05	Registered
1ST TR COMBINED SERIES 551 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO INTERMEDIATE SERIES 22	WHEATON, IL	2/15/2017	00797253-05	Registered
ADVISORS DISCIPLINED TR 1746 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 117	MONUMENT, CO	2/15/2017	00797271-05	Registered
ADVISORS DISCIPLINED TR 1770 ADVISORS CORE EQUITY STRATEGY PORTFOLIO SERIES 2017 1Q	MONUMENT, CO	2/15/2017	00797270-05	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1770 BAHL & GAYNOR INCOME GROWTH PORTFOLIO SERIES 2017 1Q	MONUMENT, CO	2/15/2017	00797269-05	Registered
ADVISORS DISCIPLINED TR 1770 DIVIDEND ADVANTAGE PORTFOLIO SERIES 2017 1Q	MONUMENT, CO	2/15/2017	00797268-05	Registered
ADVISORS DISCIPLINED TR 1770 DOW VALUE 10 PORTFOLIO SERIES 2017 1Q	MONUMENT, CO	2/15/2017	00797272-05	Registered
ADVISORS DISCIPLINED TR 1770 EUROPEAN SELECT PORTFOLIO SERIES 2017 1Q CJL PORTFOLIO	MONUMENT, CO	2/15/2017	00797267-05	Registered
ADVISORS DISCIPLINED TR 1770 INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 2017 1Q	MONUMENT, CO	2/15/2017	00797266-05	Registered
ADVISORS DISCIPLINED TR 1770 MINIMUM VOLATILITY EQUITY INCOME PORTFOLIO SERIES 2017 1Q HIMCO PORTFOLIO	MONUMENT, CO	2/15/2017	00797265-05	Registered
ADVISORS DISCIPLINED TR 1770 STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2017 1Q	MONUMENT, CO	2/15/2017	00797264-05	Registered
ADVISORS INNER CIRCLE FUND 3 SGA INTERNATIONAL EQUITY FUND INSTITUTIONAL SHARES	OAKS, PA	2/15/2017	00797224-03	Registered
ADVISORS INNER CIRCLE FUND 3 SGA INTERNATIONAL EQUITY FUND INVESTOR SHARES	OAKS, PA	2/15/2017	00797223-03	Registered
FT 6502 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 25	WHEATON, IL	2/15/2017	00797251-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 6503 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 53	WHEATON, IL	2/15/2017	00797262-05	Registered
FT 6504 UTILITIES SELECT PORTFOLIO SERIES 41	WHEATON, IL	2/15/2017	00797255-05	Registered
FT 6513 SABRIENT DIVIDEND PORTFOLIO SERIES 17	WHEATON, IL	2/15/2017	00797260-05	Registered
FT 6528 PREFERRED INCOME PORTFOLIO SERIES 88	WHEATON, IL	2/15/2017	00797259-05	Registered
FT 6531 CHAIKIN LOW BETA GROWTH PORTFOLIO SERIES 6	WHEATON, IL	2/15/2017	00797256-05	Registered
FT 6536 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 28	WHEATON, IL	2/15/2017	00797252-05	Registered
FT 6537 MEGA CAP PORTFOLIO SERIES 43	WHEATON, IL	2/15/2017	00797261-05	Registered
FT 6560 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 28	WHEATON, IL	2/15/2017	00797254-05	Registered
FT 6561 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 44	WHEATON, IL	2/15/2017	00797258-05	Registered
FT 6566 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 72	WHEATON, IL	2/15/2017	00797257-05	Registered
TOUCHSTONE FUNDS GROUP TR TOUCHSTONE HIGH YIELD FUND INSTITUTIONAL CLASS	CINCINNATI, OH	2/15/2017	00797225-03	Registered
PUTNAM FUNDS TR PUTNAM MORTGAGE OPPORTUNITIES FUND CLASS I	BOSTON, MA	2/14/2017	00797216-03	Registered
WILLIAMSBURG INVESTMENT TR DAVENPORT SMALL CAP FOCUS FUND	CINCINNATI, OH	2/14/2017	00797374-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6494 DIVIDEND INCOME PLUS PORTFOLIO SERIES 26	WHEATON, IL	2/13/2017	00797184-05	Registered
FT 6495 EUROPEAN DEEP VALUE DIVIDEND PORTFOLIO SERIES 13	WHEATON, IL	2/13/2017	00797183-05	Registered
FT 6496 FINANCIALS SELECT PORTFOLIOS SERIES 58	WHEATON, IL	2/13/2017	00797186-05	Registered
FT 6497 HEALTH CARE SELECT PORTFOLIO SERIES 44	WHEATON, IL	2/13/2017	00797181-05	Registered
FT 6533 INTEREST RATE HEDGE & ETF PORTFOLIO SERIES 15	WHEATON, IL	2/13/2017	00797185-05	Registered
FT 6559 BALANCED INCOME SELECT PORTFOLIO SERIES 65	WHEATON, IL	2/13/2017	00797182-05	Registered
SMART TR 297 SMART TR SUSTAINABLE IMPACT INVESTING TR SERIES 9	PARSIPPANY, NJ	2/13/2017	00797180-05	Registered
SMART TR 298 SMART TR DYNAMIC SECTOR INCOME TR SERIES 17	PARSIPPANY, NJ	2/13/2017	00797179-05	Pending-Cleared
UNDISCOVERED MANAGERS FUNDS JPMORGAN REALTY INCOME FUND SELECT CLASS SHARES	NEW YORK, NY	2/13/2017	00797139-03	Registered
UNDISCOVERED MANAGERS FUNDS UNDISCOVERED MANAGERS BEHAVIORAL VALUE FUND CLASS R4 SHARES	NEW YORK, NY	2/13/2017	00797136-03	Registered
UNDISCOVERED MANAGERS FUNDS UNDISCOVERED MANAGERS BEHAVIORAL VALUE FUND CLASS R5 SHARES	NEW YORK, NY	2/13/2017	00797137-03	Registered

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Firm Name	Location	Date Received	File Number	Status
UNDISCOVERED MANAGERS FUNDS UNDISCOVERED MANAGERS BEHAVIORAL VALUE FUND CLASS R3 SHARES	NEW YORK, NY	2/13/2017	00797138-03	Registered
Number of Investment Company Filings: 49				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMCRETE EDISON LLC	NORTHRIDGE, CA	2/17/2017	00797280-07	Filed
AMCRETE WEST VILLAGE LLC	NORTHRIDGE, CA	2/17/2017	00797282-07	Filed
CUNAT MULTI FAMILY INVESTMENT FUND 1 LLC	MCHENRY, IL	2/17/2017	00797277-07	Filed
DEMETER CAPITAL GROUP LP	SAN FRANCISCO, CA	2/17/2017	00797286-07	Filed
EBULLIENT INC	MADISON, WI	2/17/2017	00797289-07	Filed
EMPIRE RESIDENTIAL COMMUNITIES FUND 4 LLC	SCOTTSDALE, AZ	2/17/2017	00797290-07	Filed
FIDUS EQUITY OPPORTUNITIES FUND LP	EVANSTON, IL	2/17/2017	00797276-07	Filed
LAZARUS BEHAVIORIAL FINANCE FUND 2 LP	DENVER, CO	2/17/2017	00797278-07	Filed
MICRONOTES INC	CAMBRIDGE, MA	2/17/2017	00797283-07	Filed
PARTNERS GROUP PRIVATE EQUITY MASTER FUND LLC	NEW YORK, NY	2/17/2017	00797273-07	Filed
QUANTITATIVE EQUITIES SERIES OF BLUEARC ALTERNATIVES FUND LLC	ATLANTA, GA	2/17/2017	00797285-07	Filed
RS LENDING INC	SAN FRANCISCO, CA	2/17/2017	00797287-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SE PEARLAND DST	CHICAGO, IL	2/17/2017	00797274-07	Filed
TT PARTNERS 9 LLC	INDIANAPOLIS, IN	2/17/2017	00797279-07	Filed
TWIN CITIES HOSPITALITY PARTNERS HOLDINGS LLC	CONSHOHOCKE N, PA	2/17/2017	00797281-07	Filed
XOR DATA EXCHANGE INC	AUSTIN, TX	2/17/2017	00797275-07	Filed
BBH WEALTH STRATEGIES LLC BARINGS LOAN SERIES	NEW YORK, NY	2/15/2017	00797250-07	Filed
ARTHUR VENTURES FUND 3 A LP	FARGO, ND	2/14/2017	00797229-07	Filed
Number of Regulation D Notice Filings: 18				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Restoration 1 Franchise Holding, LLC / Restoration 1	Waco, TX	2/18/2017	614486	Registered
Certa ProPainters, Ltd. / CertaPro Painters	Oaks, PA	2/16/2017	614483	Registered
LEADING EDGE MARKETING, INC. / ProSource Wholesale Floorcoverings	St. Louis, MO	2/16/2017	614478	Registered
Dough Pac, Inc. / Sam & Louie's Pizzeria	Elkhorn, NE	2/16/2017	614482	Registered
Ultimate Fitness Group LLC / Orange Theory Area Representative Franchise	Fort Lauderdale, FL	2/16/2017	614480	Registered
Ultimate Fitness Group LLC / Orange Theory Unit Franchise	Fort Lauderdale, FL	2/16/2017	614479	Registered
Home-Grown Industries of Georgia, Inc. / Mellow Mushroom Pizza	Atlanta, GA	2/14/2017	614476	Registered
Rita's Franchise Company, LLC / Ritas Ice Custard Happiness	Treose, PA	2/13/2017	614475	Registered
Number of Franchise Filings: 8				

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Corporate Take-Over Law Filings Made with DFI's Securities Division	
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Takeover Filing Reference	Date Received
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Number of Takeover Filings: 0
