

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/5/2017 through 2/11/2017**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GRACE REDEEMER CHURCH NY NONPROFIT CORP	TEANECK, NJ	2/8/2017	00797023-02	Pending
PHILLIPS EDISON GROCERY CENTER REIT 3 INC	CINCINNATI, OH	2/6/2017	00796780-01	Pending-Cleared
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
RAYTON SOLAR INC	SANTA MONICA, CA	2/7/2017	00797004-25	Filed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AB CAP FUND INC AB CONCENTRATED INTERNATIONAL GROWTH PORTFOLIO ADVISOR CLASS	NEW YORK, NY	2/10/2017	00797065-03	Registered
AB CAP FUND INC AB CONCENTRATED INTERNATIONAL GROWTH PORTFOLIO CLASS C	NEW YORK, NY	2/10/2017	00797067-03	Registered
AB CAP FUND INC AB CONCENTRATED INTERNATIONAL GROWTH PORTFOLIO CLASS A	NEW YORK, NY	2/10/2017	00797066-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1567 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 37	LISLE, IL	2/10/2017	00797172-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1567 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO 2 YEAR SERIES 19	LISLE, IL	2/10/2017	00797178-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1568 BLUE CHIP GROWTH PORTFOLIO SERIES 13	LISLE, IL	2/10/2017	00797173-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1568 GUGGENHEIM US HIGH DIVIDEND STRATEGY PORTFOLIO 2 YEAR SERIES 11	LISLE, IL	2/10/2017	00797177-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1569 DIVERSIFIED CREDIT PORTFOLIO OF ETFS SERIES 10	LISLE, IL	2/10/2017	00797175-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1570 GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 13	LISLE, IL	2/10/2017	00797171-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1571 GUGGENHEIM US CAPITAL STRENGTH PORTFOLIO SERIES 13	LISLE, IL	2/10/2017	00797176-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 182 NUVEEN SYMPHONY LOW VOLATILITY EQUITY PORTFOLIO 1Q 2017	CHICAGO, IL	2/10/2017	00797174-05	Registered
WELLS FARGO FUNDS TR WELLS FARGO WEALTHBUILDER CONSERVATIVE ALLOCATION FUND CLASS A	SAN FRANCISCO, CA	2/10/2017	00797146-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO WEALTHBUILDER EQUITY FUND CLASS A	SAN FRANCISCO, CA	2/10/2017	00797149-03	Registered

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WELLS FARGO FUNDS TR WELLS FARGO WEALTHBUILDER GROWTH BALANCED FUND CLASS A	SAN FRANCISCO, CA	2/10/2017	00797145-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO WEALTHBUILDER GROWTH ALLOCATION FUND CLASS A	SAN FRANCISCO, CA	2/10/2017	00797148-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO WEALTHBUILDER MODERATE BALANCED FUND CLASS A	SAN FRANCISCO, CA	2/10/2017	00797147-03	Registered
FIDELITY SALEM STREET TR FIDELITY SAI US MOMENTUM INDEX FUND	BOSTON, MA	2/8/2017	00797044-03	Registered
FT 6490 STONEBRIDGE PREFERRED INCOME PORTFOLIO SERIES 11	WHEATON, IL	2/8/2017	00797018-05	Registered
FT 6499 DIVERSIFIED INCOME & GROWTH PORTFOLIO SPRING 2017 SERIES	WHEATON, IL	2/8/2017	00797017-05	Registered
FT 6510 GLOBAL DEEP VALUE DIVIDEND PORTFOLIO SERIES 13	WHEATON, IL	2/8/2017	00797016-05	Registered
DREYFUS STATE MUNICIPAL BOND FUNDS DREYFUS PA FUND CLASS A	NEW YORK, NY	2/7/2017	00797003-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1287 INVESTMENT GRADE MUNICIPAL TR 7 13 YEAR SERIES 74	DOWNERS GROVE, IL	2/7/2017	00797015-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1289 INVESTMENT GRADE MUNICIPAL TR 20 PLUS YEAR SERIES 189	DOWNERS GROVE, IL	2/7/2017	00797014-05	Pending-Cleared
INVESCO UNIT TR SERIES 1743 NEW WORLD LEADERS PORTFOLIO 2017 1	DOWNERS GROVE, IL	2/7/2017	00797012-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESCO UNIT TR SERIES 1744 SELECT 10 INDUSTRIAL PORTFOLIO 2017 2	DOWNERS GROVE, IL	2/7/2017	00797011-05	Pending-Cleared
INVESCO UNIT TR SERIES 1745	DOWNERS GROVE, IL	2/7/2017	00797010-05	Pending-Cleared
INVESCO UNIT TR SERIES 1746	DOWNERS GROVE, IL	2/7/2017	00797009-05	Pending-Cleared
INVESCO UNIT TR SERIES 1747	DOWNERS GROVE, IL	2/7/2017	00797008-05	Pending-Cleared
INVESCO UNIT TR SERIES 1748 INSIDER BUY STRATEGY 2017 1	DOWNERS GROVE, IL	2/7/2017	00797007-05	Registered
INVESCO UNIT TR SERIES 1749 AMERICAN INNOVATION LEADERS PORTFOLIO 2017 1	DOWNERS GROVE, IL	2/7/2017	00797006-05	Pending-Cleared
INVESCO UNIT TR SERIES 1751 GLOBAL TECHNOLOGY LEADERS PORTFOLIO 2017 2	DOWNERS GROVE, IL	2/7/2017	00797005-05	Pending-Cleared
MORGAN STANLEY GLOBAL INVESTMENT SOLUTIONS OPTIMIZED EQUITY DIVIDEND STRATEGY SERIES 2	PURCHASE, NY	2/7/2017	00797013-05	Pending-Cleared
FT 6482 TACTICAL INCOME PORTFOLIO SERIES 45	WHEATON, IL	2/6/2017	00796766-05	Registered
FT 6493 EQUITY INCOME PORTFOLIO SERIES 54	WHEATON, IL	2/6/2017	00796772-05	Registered
FT 6501 AUTOMATED QUANTITATIVE ANALYSIS AQA PORTFOLIO SERIES 14	WHEATON, IL	2/6/2017	00796770-05	Registered
FT 6509 DOW TARGET 10 FEB 17 TERM 3 9 18	WHEATON, IL	2/6/2017	00796771-05	Registered
FT 6512 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 111	WHEATON, IL	2/6/2017	00796763-05	Registered

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 6517 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 52	WHEATON, IL	2/6/2017	00796765-05	Registered
FT 6520 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 24	WHEATON, IL	2/6/2017	00796773-05	Registered
FT 6521 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 15	WHEATON, IL	2/6/2017	00796768-05	Registered
FT 6524 REIT GROWTH & INCOME PLUS PORTFOLIO SERIES 29	WHEATON, IL	2/6/2017	00796767-05	Registered
FT 6535 LOW VOLATILITY PORTFOLIO SERFIES 6	WHEATON, IL	2/6/2017	00796764-05	Registered
FT 6558 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	2/6/2017	00796769-05	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN TECHNICAL OPPORTUNITIES FUND CLASS R6	BOSTON, MA	2/6/2017	00796917-03	Registered
HANCOCK JOHN MUNICIPAL SECURITIES TR HANCOCK JOHN HIGH YIELD MUNICIPAL BOND FUND CLASS I	BOSTON, MA	2/6/2017	00796918-03	Registered
HANCOCK JOHN MUNICIPAL SECURITIES TR HANCOCK JOHN TAX FREE BOND FUND CLASS I	BOSTON, MA	2/6/2017	00796916-03	Registered
MEEDER FUNDS AGGRESSIVE GROWTH FUND INSTITUTIONAL CLASS	DUBLIN, OH	2/6/2017	00797079-03	Registered
MEEDER FUNDS BALANCED FUND INSTITUTIONAL CLASS	DUBLIN, OH	2/6/2017	00797078-03	Registered
MEEDER FUNDS DIVIDEND OPPORTUNITIES FUND INSTITUTIONAL CLASS	DUBLIN, OH	2/6/2017	00797074-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MEEDER FUNDS DYNAMIC GROWTH FUND INSTITUTIONAL CLASS	DUBLIN, OH	2/6/2017	00797077-03	Registered
MEEDER FUNDS GLOBAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	DUBLIN, OH	2/6/2017	00797080-03	Registered
MEEDER FUNDS INFRASTRUCTURE FUND INSTITUTIONAL CLASS	DUBLIN, OH	2/6/2017	00797072-03	Registered
MEEDER FUNDS MUIRFIELD FUND INSTITUTIONAL CLASS	DUBLIN, OH	2/6/2017	00797075-03	Registered
MEEDER FUNDS QUANTEX FUND INSTITUTIONAL CLASS	DUBLIN, OH	2/6/2017	00797076-03	Registered
MEEDER FUNDS SPECTRUM FUND INSTITUTIONAL CLASS	DUBLIN, OH	2/6/2017	00797071-03	Registered
MEEDER FUNDS TOTAL RETURN BOND FUND INSTITUTIONAL CLASS	DUBLIN, OH	2/6/2017	00797073-03	Registered
MUTUAL FUND SERIES TR ALPHACENTRIC HEDGED MARKET OPPORTUNITY FUND CLASS I	HAUPPAUGE, NY	2/6/2017	00796540-03	Registered
MUTUAL FUND SERIES TR ALPHACENTRIC HEDGED MARKET OPPORTUNITY FUND CLASS A	HAUPPAUGE, NY	2/6/2017	00796539-03	Registered
SMART TR 295 SMART TR RISING INTEREST RATES HEDGE TR SERIES 8	PARSIPPANY, NC	2/6/2017	00796774-05	Registered
SMART TR 296 SMART TR STRATEGIC GROWTH & INCOME TR SERIES 11	PARSIPPANY, NC	2/6/2017	00796775-05	Registered
<b>Number of Investment Company Filings: 60</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
4490 VENTURES 2 LP	MADISON, WI	2/10/2017	00797034-07	Filed
CAUSEWAY MEDIA PARTNERS 2 LP	CAMBRIDGE, MA	2/10/2017	00797033-07	Filed
EVENTPLICITY INC	GAINESVILLE, FL	2/10/2017	00797032-07	Filed
RS LENDING INC	SAN FRANCISCO, CA	2/10/2017	00797037-07	Filed
RS PREF PROJECTS LLC SERIES C	LEWES, DE	2/10/2017	00797030-07	Filed
SWITCHBACK DYNAMIC VOLATILITY FUND 1 LP	WORTHINGTON, OH	2/10/2017	00797036-07	Filed
WEFUNDS WEFUNDER 3 SERIES OF WEFUNDER LLC	CAMBRIDGE, MA	2/10/2017	00797035-07	Filed
CLEARLAKE IVANTI CO INVESTMENT PARTNERS LLC	SANTA MONICA, CA	2/6/2017	00796776-07	Filed
MARKIT MEDICAL INC	CHICAGO, IL	2/6/2017	00796779-07	Filed
NEI 5 LLC	WAUKESHA, WI	2/6/2017	00796778-07	Filed
WELLESLEY PHARMACEUTICALS LLC	NEWTON, PA	2/6/2017	00796777-07	Filed
<b>Number of Regulation D Notice Filings: 11</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Snap-on Tools Company LLC / Snap-on Tools	Kenosha, WI	2/10/2017	614474	Registered
BAB SYSTEMS INC / BIG APPLE BAGELS, MY FAVORITE MUFFIN	DEERFIELD, IL	2/9/2017	614471	Registered
Burgerim Group USA Inc. / Burgerim	Encino, CA	2/9/2017	614470	Registered
Zoom Franchise Company, LLC / Zoom Sewer and Drain Cleaning	Norristown, PA	2/8/2017	614467	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Owens Corning Remodeling Systems, LLC / Owens Corning Basement Finishing System	Toledo, OH	2/8/2017	614466	Registered
ACE SUSHI FRANCHISE CORP / Ace Sushi	Torrance, CA	2/7/2017	614465	Registered
SCJS Franchising, Inc. / Izsam	Omaha, NE	2/7/2017	614464	Registered
ANNEX BRANDS INC / Annex Brands Commercial Center	SAN DIEGO, CA	2/6/2017	614461	Registered
<b>Number of Franchise Filings: 8</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	