

**WI Securities Law and Franchise Law Filings  
for 1/29/2017 through 2/4/2017**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MEAD & HUNT COMPANIES INC	MIDDLETON, WI	2/3/2017	00796762-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESTMENT MANAGERS SERIES TR SEGALL BRYANT & HAMILL EMERGING MARKETS FUND CLASS I	MILWAUKEE, WI	2/2/2017	00796727-03	Registered
<b>Number of Investment Company Filings: 1</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
REDOX INC	MADISON, WI	2/3/2017	00796724-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				