

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/29/2017 through 2/4/2017**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MEAD & HUNT COMPANIES INC	MIDDLETON, WI	2/3/2017	00796762-08	Not Disallowed
RISE CO CORP	WASHINGTON, DC	1/31/2017	00796592-25	Filed
<b>Number of Exemption Filings: 2</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1769 COHEN & STEERS DYNAMIC INCOME PORTFOLIO SERIES 2017 1	MONUMENT, CO	2/3/2017	00796741-05	Registered
ADVISORS DISCIPLINED TR 1769 DIVIDEND SUSTAINABILITY PORTFOLIO SERIES 2017 1 HIMCO PORTFOLIO	MONUMENT, CO	2/3/2017	00796743-05	Registered
EATON VANCE INVESTMENT TR EATON VANCE SHORT DURATION MUNICIPAL OPPORTUNITIES FUND CLASS I SHARES	BOSTON, MA	2/3/2017	00796998-03	Registered
EATON VANCE INVESTMENT TR EATON VANCE SHORT DURATION MUNICIPAL OPPORTUNITIES FUND CLASS A SHARES	BOSTON, MA	2/3/2017	00796996-03	Registered
EATON VANCE INVESTMENT TR EATON VANCE SHORT DURATION MUNICIPAL OPPORTUNITIES FUND CLASS C SHARES	BOSTON, MA	2/3/2017	00796997-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GAMCO GLOBAL SERIES FUNDS INC GAMCO GLOBAL TELECOMMUNICATIONS FUND CLASS I SHARES	RYE, NY	2/3/2017	00796999-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1464 GUGGENHEIM INVESTMENT GRADE CORPORATE TR 3 7 YEAR SERIES 8	LISLE, IL	2/3/2017	00796739-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1566 CORPORATE HIGH YIELD & INCOME PORTFOLIO OF CEFS SERIES 31	LISLE, IL	2/3/2017	00796742-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1566 INFRASTRUCTURE & MLP PORTFOLIO OF CEFS SERIES 29	LISLE, IL	2/3/2017	00796740-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 167 NUVEEN DYNAMIC ALLOCATION 60 40 PORTFOLIO 1Q 2017	CHICAGO, IL	2/3/2017	00796738-05	Registered
UNIFIED SERIES TR SELECTIVE OPPORTUNITY FUND	INDIANAPOLIS, IN	2/3/2017	00796820-03	Registered
BLACKROCK FUNDS 2 BLACKROCK MULTI ASSET INCOME PORTFOLIO CLASS K SHARES	WILMINGTON, DE	2/2/2017	00796513-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK TOTAL FACTOR FUND CLASS K SHARES	WILMINGTON, DE	2/2/2017	00796512-03	Pending-Cleared
FIDELITY ADVISOR SERIES I FIDELITY ADVISOR EQUITY VALUE FUND CLASS Z	BOSTON, MA	2/2/2017	00796502-03	Registered
FIDELITY ADVISOR SERIES I FIDELITY ADVISOR GROWTH & INCOME FUND CLASS Z	BOSTON, MA	2/2/2017	00796510-03	Registered
FIDELITY ADVISOR SERIES I FIDELITY ADVISOR LARGE CAP FUND CLASS Z	BOSTON, MA	2/2/2017	00796508-03	Registered

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FIDELITY ADVISOR SERIES I FIDELITY ADVISOR STOCK SELECTOR MID CAP FUND CLASS Z	BOSTON, MA	2/2/2017	00796504-03	Registered
FIDELITY ADVISOR SERIES I FIDELITY ADVISOR VALUE FUND CLASS Z	BOSTON, MA	2/2/2017	00796500-03	Registered
FIDELITY ADVISOR SERIES VIII FIDELITY ADVISOR INTERNATIONAL CAPITAL APPRECIATION FUND CLASS Z	BOSTON, MA	2/2/2017	00796501-03	Registered
FIDELITY ADVISOR SERIES VIII FIDELITY ADVISOR OVERSEAS FUND CLASS Z	BOSTON, MA	2/2/2017	00796506-03	Registered
FIDELITY CAPITAL TR FIDELITY STOCK SELECTOR SMALL CAP FUND FIDELITY ADVISOR STOCK SELECTOR SMALL CAP FUND CLASS Z	BOSTON, MA	2/2/2017	00796503-03	Registered
FIDELITY DEVONSHIRE TR FIDELITY MID CAP VALUE FUND FIDELITY ADVISOR MID CAP VALUE FUND CLASS Z	BOSTON, MA	2/2/2017	00796507-03	Registered
FIDELITY DEVONSHIRE TR FIDELITY STOCK SELECTOR LARGE CAP VALUE FUND FIDELITY ADVISOR STOCK SELECTOR LARGE CAP VALUE	BOSTON, MA	2/2/2017	00796505-03	Registered
FIDELITY INVESTMENT TR FIDELITY TOTAL INTERNATIONAL EQUITY FUND FIDELITY ADVISOR TOTAL INTERNATIONAL EQUITY FUND CLASS	BOSTON, MA	2/2/2017	00796509-03	Registered
FIDELITY SECURITIES FUND FIDELITY SMALL CAP GROWTH FUND FIDELITY ADVISOR SMALL CAP GROWTH FUND CLASS Z	BOSTON, MA	2/2/2017	00796511-03	Registered

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INVESTMENT MANAGERS SERIES TR SEGALL BRYANT & HAMILL EMERGING MARKETS FUND CLASS I	MILWAUKEE, WI	2/2/2017	00796727-03	Registered
AIM SECTOR FUNDS INVESCO SECTOR FUNDS INVESCO SMALL CAP VALUE FUND CLASS R6 SHARES	HOUSTON, TX	2/1/2017	00796497-03	Pending-Cleared
EATON VANCE MUTUAL FUNDS TR PARAMETRIC VOLATILITY RISK PREMIUM DEFENSIVE FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	2/1/2017	00796498-03	Registered
EATON VANCE GROWTH TR EATON VANCE ATLANTA CAPITAL SELECT EQUITY FUND CLASS R6 SHARES	BOSTON, MA	1/31/2017	00796204-03	Registered
LOOMIS SAYLES FUNDS 2 LOOMIS SAYLES GLOBAL EQUITY & INCOME FUND CLASS N	BOSTON, MA	1/31/2017	00796205-03	Registered
LOOMIS SAYLES FUNDS 2 LOOMIS SAYLES LTD TERM GOVERNMENT & AGENCY FUND CLASS N	BOSTON, MA	1/31/2017	00796207-03	Registered
LOOMIS SAYLES FUNDS I LOOMIS SAYLES INFLATION PROTECTED SECURITIES FUND CLASS N	BOSTON, MA	1/31/2017	00796206-03	Registered
RMB INVESTORS TR RMB FUND CLASS I	NEW YORK, NY	1/31/2017	00796208-03	Registered
RMB INVESTORS TR RMB MENDON FINANCIAL SERVICES FUND CLASS I	NEW YORK, NY	1/31/2017	00796209-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI SHORT DURATION HIGH INCOME FUND CLASS R6	NEW YORK, NY	1/30/2017	00796475-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AMG FUNDS AMG YACKTMAN FOCUSED FUND SECURITY SELECTION ONLY CLASS I	GREENWICH, CT	1/30/2017	00796496-03	Registered
AMG FUNDS AMG YACKTMAN FOCUSED FUND SECURITY SELECTION ONLY CLASS N	GREENWICH, CT	1/30/2017	00796495-03	Registered
FT 6455 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 34	WHEATON, IL	1/30/2017	00796602-05	Registered
FT 6492 BROOKMONT EQUITY DIVIDEND PORTFOLIO SERIES 10	WHEATON, IL	1/30/2017	00796600-05	Registered
FT 6506 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 30	WHEATON, IL	1/30/2017	00796601-05	Registered
MUTUAL FUND SERIES TR CATALYST IPOX ALLOCATION FUND CLASS C	HAUPPAUGE, NY	1/30/2017	00796518-03	Registered
NORTHERN LIGHTS FUND TR POWER MOMENTUM INDEX FUND CLASS A	HAUPPAUGE, NY	1/30/2017	00796517-03	Registered
NORTHERN LIGHTS FUND TR POWER MOMENTUM INDEX FUND CLASS I	HAUPPAUGE, NY	1/30/2017	00796516-03	Registered
PEAR TREE FUNDS PEAR TREE POLARIS FOREIGN VALUE FUND R6 SHARES	LINCOLN, MA	1/30/2017	00796493-03	Registered
PEAR TREE FUNDS PEAR TREE POLARIS FOREIGN VALUE SMALL CAP FUND R6 SHARES	LINCOLN, MA	1/30/2017	00796494-03	Registered
<b>Number of Investment Company Filings: 45</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AVISTONE NORTHGATE 3 LLC	LAGUNA NIGUEL, CA	2/3/2017	00796722-07	Filed
BENEFIT STREET PARTNERS 4 PRIVATE INVESTORS LLC	NEW YORK, NY	2/3/2017	00796710-07	Filed
BRIAR PATCH INVESTMENTS LLC	CANTON, GA	2/3/2017	00796701-07	Filed
CARLYLE REALTY PARTNERS 8 ASP FUND SERIES OF ALTERNATIVE STRATEGIES PRIVATE EQUITY PLATFORM LLC	CHARLOTTE, NC	2/3/2017	00796721-07	Filed
CONVERGENCE EQUITY PARTNERS LP	SAN FRANCISCO, CA	2/3/2017	00796715-07	Filed
DELOITTE & TOUCHE LLP	NEW YORK, NY	2/3/2017	00796703-07	Filed
DELOITTE CONSULTING LLP	NEW YORK, NY	2/3/2017	00796705-07	Filed
DELOITTE TAX LLP	NEW YORK, NY	2/3/2017	00796704-07	Filed
IGF INVESTMENT GRADE FUND 1 LP	SANTA BARBARA, CA	2/3/2017	00796716-07	Filed
MAXIMIZED LIVING PARTNERS LP	NAPLES, FL	2/3/2017	00797024-07	Filed
MVP LS FUND 2 LLC	AUSTIN, TX	2/3/2017	00796718-07	Filed
REALTYSHARES 277 LLC	LEWES, DE	2/3/2017	00796706-07	Filed
REALTYSHARES 280 LLC	LEWES, DE	2/3/2017	00796708-07	Filed
REDHAWK MINERALS FUND 1 LP	DALLAS, TX	2/3/2017	00796717-07	Filed
REDOX INC	MADISON, WI	2/3/2017	00796724-07	Filed
RIVERVIEW FINANCIAL CORP	HARRISBURG, PA	2/3/2017	00796720-07	Filed
SAFARI EXPLORER LLC	SEATTLE, WA	2/3/2017	00796725-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SATTER MEDICAL TECHNOLOGY PARTNERS LP	CHICAGO, IL	2/3/2017	00796719-07	Filed
SHERMAN REAL ESTATE FUND 12 LLC	DEERFIELD, IL	2/3/2017	00796723-07	Filed
STERLING LAW HOLDINGS LLC	DENVER, CO	2/3/2017	00796712-07	Filed
WMS PRIVATE INCOME FUND 1 LLC	TOWSON, MD	2/3/2017	00796711-07	Filed
CENTERFIELD CAPITAL PARTNERS 4 LP	INDIANAPOLIS, IN	2/2/2017	00796541-07	Filed
INFINITE YIELD FUND LP	LIBERTYVILLE, IL	2/2/2017	00796728-07	Filed
FORSYTH BALDWIN LLC	ST LOUIS, MO	1/31/2017	00796590-07	Filed
GLOBAL INFRASTRUCTURE PARTNERS 3 AB LP	NEW YORK, NY	1/31/2017	00796591-07	Filed
MN MEDICAL SOLUTIONS LLC	EDINA, MN	1/31/2017	00796594-07	Filed
INRANGE SYSTEMS INC	ALTOONA, PA	1/30/2017	00796599-07	Filed
<b>Number of Regulation D Notice Filings: 27</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SW Franchise Inc. / Super Wash	Morrison, IL	2/2/2017	614450	Registered
BB Franchise LLC / The Baked Bear	San Diego, CA	2/2/2017	614449	Registered
ABM Franchising Group, LLC / TEGG	Canonsburg, PA	2/2/2017	614448	Registered
ABM Franchising Group, LLC / Linc Service	Canonsburg, PA	2/2/2017	614447	Registered
VALVOLINE INSTANT OIL CHANGE FRANCHISING, INC. / VALVOLINE INSTANT OIL CHANGE	LEXINGTON, KY	2/1/2017	614446	Registered
Floyd's 99 Franchising, LLC / Floyd's 99 Barbershop	Greenwood Village, CO	1/31/2017	614445	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DISCOVERY MAP INTERNATIONAL, INC. / Discovery Map	Waitsfield, VA	1/30/2017	614444	Registered
Pizzeria Uno Corporation / Uno Chicago Grill and Uno Due Go	West Roxbury, MA	1/30/2017	614443	Registered
<b>Number of Franchise Filings: 8</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	