

**WI Securities Law and Franchise Law Filings
for 1/22/2017 through 1/28/2017**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ARTISAN PARTNERS FUNDS INC ARTISAN SMALL CAP FUND ADVISOR SHARES	MILWAUKEE, WI	1/27/2017	00795655-03	Pending-Cleared
SERIES PORTFOLIOS TR HIGHMORE MANAGED VOLATILITY FUND INSTITUTIONAL CLASS	WEST ALLIS, WI	1/23/2017	00795441-03	Registered
SERIES PORTFOLIOS TR RAREVIEW LONGEVITY INCOME GENERATION FUND RETAIL CLASS	WEST ALLIS, WI	1/23/2017	00795440-03	Registered
SERIES PORTFOLIOS TR RAREVIEW LONGEVITY INCOME GENERATION FUND INSTITUTIONAL CLASS	WEST ALLIS, WI	1/23/2017	00795439-03	Registered
Number of Investment Company Filings: 4				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BRANDBUMPS MARKETING GROUP INC	MIDDLETON, WI	1/27/2017	00796618-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SII INVESTMENTS INC WI	APPLETON, WI	1/25/2017	00796692-07	Filed
Number of Regulation D Notice Filings: 2				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
FTS Holdings, LLC / Focused Tax Solutions	Brookfield, WI	1/26/2017	614438	Registered
Number of Franchise Filings: 1				