

**WI Securities Law and Franchise Law Filings
for 12/18/2016 through 12/24/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DOUBLELINE FUNDS TR DOUBLELINE SHILLER ENHANCED EUROPEAN CAPE CLASS I SHARES	MILWAUKEE, WI	12/22/2016	00793683-03	Registered
DOUBLELINE FUNDS TR DOUBLELINE SHILLER ENHANCED EUROPEAN CAPE CLASS N SHARES	WEST ALLIS, WI	12/22/2016	00793197-03	Registered
USA MUTUALS USA MUTUALS VICE FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	12/22/2016	00793682-03	Registered
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				