

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/18/2016 through 12/24/2016**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CION ARES DIVERSIFIED CREDIT FUND	NEW YORK, NY	12/23/2016	00793226-01	Registered
FOOTHILLS COMMUNITY CHURCH	MOLALLA, OR	12/22/2016	00793195-02	Pending
FS ENERGY TOTAL RETURN FUND	PHILADELPHIA, PA	12/22/2016	00793193-01	Pending
<b>Number of Registration Filings: 3</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SHILOH MISSIONARY BAPTIST CHURCH	SAINT PAUL, MN	12/22/2016	00793196-10	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GOEHRING & ROZENCWAJG INVESTMENT FUNDS GOEHRING & ROZENCWAJG NATURAL RESOURCES FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/23/2016	00793371-03	Pending-Cleared
GOEHRING & ROZENCWAJG INVESTMENT FUNDS GOEHRING & ROZENCWAJG NATURAL RESOURCES FUND INVESTOR CLASS	NEW YORK, NY	12/23/2016	00793372-03	Pending-Cleared
DOUBLELINE FUNDS TR DOUBLELINE SHILLER ENHANCED EUROPEAN CAPE CLASS N SHARES	WEST ALLIS, WI	12/22/2016	00793197-03	Registered

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DOUBLELINE FUNDS TR DOUBLELINE SHILLER ENHANCED EUROPEAN CAPE CLASS I SHARES	MILWAUKEE, WI	12/22/2016	00793683-03	Registered
FEDERATED EQUITY FUNDS FEDERATED KAUFMANN FUND INSTITUTIONAL SHARES	WARRENDALE, PA	12/22/2016	00793725-03	Registered
GABELLI DIVIDEND GROWTH FUND CLASS A SHARES	RYE, NY	12/22/2016	00793370-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1548 MLP & ENERGY FUNDS PORTFOLIO SERIES 16	LISLE, IL	12/22/2016	00793194-05	Pending-Cleared
RBC FUNDS TR RBC BLUEBAY DIVERSIFIED CREDIT FUND CLASS R6	MINNEAPOLIS, MN	12/22/2016	00793369-03	Registered
RBC FUNDS TR RBC BLUEBAY EMERGING MARKET SELECT BOND FUND CLASS R6	MINNEAPOLIS, MN	12/22/2016	00793368-03	Registered
USA MUTUALS USA MUTUALS VICE FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	12/22/2016	00793682-03	Registered
FT 6428 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 70	WHEATON, IL	12/21/2016	00793702-05	Pending-Cleared
FT 6433 MULTI SECTOR INCOME PORTFOLIO SERIES 34	WHEATON, IL	12/21/2016	00793192-05	Pending-Cleared
FT 6434 BIOTECHNOLOGY PORTFOLIO SERIES 35	WHEATON, IL	12/21/2016	00793701-05	Pending-Cleared
FT 6473 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 58	WHEATON, IL	12/21/2016	00793700-05	Pending-Cleared
FT 6508 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 82	WHEATON, IL	12/21/2016	00793191-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TRANSAMERICA FUNDS TRANSAMERICA CAPITAL GROWTH ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792947-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA CONCENTRATED GROWTH ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792965-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA DIVIDEND FOCUSED ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792959-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA EMERGING MARKETS DEBT ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792955-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA EMERGING MARKETS EQUITY ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792956-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA EVENT DRIVEN ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792968-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA FLEXIBLE INCOME ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792945-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA GLOBAL EQUITY ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792950-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA GLOBAL LONG SHORT EQUITY ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792969-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA HIGH YIELD BOND ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792948-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA INTERMEDIATE MUNI ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792958-03	Registered

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TRANSAMERICA FUNDS TRANSAMERICA INTERNATIONAL EQUITY ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792954-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA LARGE CAP VALUE ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792953-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA MID CAP GROWTH ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792963-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA MID CAP VALUE OPPORTUNITIES ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792966-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA MLP & ENERGY INCOME ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792961-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA MULTI CAP GROWTH ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792946-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SHORT TERM BOND ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792951-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP CORE ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792962-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP GROWTH ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792957-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP VALUE ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792960-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL MID CAP VALUE ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792949-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TRANSAMERICA FUNDS TRANSAMERICA STRATEGIC HIGH INCOME ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792964-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA UNCONSTRAINED BOND FUND ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792967-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA US GROWTH ADVISOR CLASS	ST. PETERSBURG, FL	12/21/2016	00792952-03	Registered
HARDING LOEVNER FUNDS INC EMERGING MARKETS RESEARCH PORTFOLIO INSTITUTIONAL CLASS	BRIDGEWATER, NJ	12/20/2016	00793019-03	Registered
HARDING LOEVNER FUNDS INC EMERGING MARKETS RESEARCH PORTFOLIO INVESTOR CLASS	BRIDGEWATER, NJ	12/20/2016	00793018-03	Registered
HARDING LOEVNER FUNDS INC GLOBAL EQUITY RESEARCH PORTFOLIO INSTITUTIONAL CLASS	BRIDGEWATER, NJ	12/20/2016	00793021-03	Registered
HARDING LOEVNER FUNDS INC GLOBAL EQUITY RESEARCH PORTFOLIO INVESTOR CLASS	BRIDGEWATER, NJ	12/20/2016	00793020-03	Registered
PRINCIPAL FUNDS INC BLUE CHIP FUND CLASS R 6	DES MOINES, IA	12/20/2016	00793023-03	Registered
PRINCIPAL FUNDS INC EDGE MIDCAP FUND CLASS R 6	DES MOINES, IA	12/20/2016	00793028-03	Registered
PRINCIPAL FUNDS INC INTERNATIONAL EQUITY INDEX FUND CLASS R 6	DES MOINES, IA	12/20/2016	00793027-03	Registered
PRINCIPAL FUNDS INC INTERNATIONAL SMALL CO FUND CLASS R 6	DES MOINES, IA	12/20/2016	00793024-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PRINCIPAL FUNDS INC PREFERRED SECURITIES FUND CLASS R 6	DES MOINES, IA	12/20/2016	00793025-03	Registered
PRINCIPAL FUNDS INC REAL ESTATE DEBT INCOME FUND CLASS R 6	DES MOINES, IA	12/20/2016	00793026-03	Registered
PRINCIPAL FUNDS INC SMALL MIDCAP DIVIDEND INCOME FUND CLASS R 6	DES MOINES, IA	12/20/2016	00793022-03	Registered
ADVISORS DISCIPLINED TR 1757 SMALL MID CAP ADVANTAGE PORTFOLIO SERIES 2016 4	MONUMENT, CO	12/19/2016	00793038-05	Registered
BLACKROCK FUNDS BLACKROCK USA MOMENTUM FACTOR INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	12/19/2016	00792810-03	Registered
BLACKROCK FUNDS BLACKROCK USA MOMENTUM FACTOR INDEX FUND CLASS K SHARES	WILMINGTON, DE	12/19/2016	00792812-03	Registered
BLACKROCK FUNDS BLACKROCK USA QUALITY FACTOR INDEX FUND CLASS K SHARES	WILMINGTON, DE	12/19/2016	00792814-03	Registered
BLACKROCK FUNDS BLACKROCK USA QUALITY FACTOR INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	12/19/2016	00792816-03	Registered
BLACKROCK FUNDS BLACKROCK USA SIZE FACTOR INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	12/19/2016	00792811-03	Registered
BLACKROCK FUNDS BLACKROCK USA SIZE FACTOR INDEX FUND CLASS K SHARES	WILMINGTON, DE	12/19/2016	00792813-03	Registered

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BLACKROCK FUNDS BLACKROCK USA VALUE FACTOR INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	12/19/2016	00792815-03	Registered
BLACKROCK FUNDS BLACKROCK USA VALUE FACTOR INDEX FUND CLASS K SHARES	WILMINGTON, DE	12/19/2016	00792817-03	Registered
CAPITOL SERIES TR HEDEKER STRATEGIC APPRECIATION FUND INSTITUTIONAL SHARES	INDIANAPOLIS, IN	12/19/2016	00793718-03	Pending-Cleared
FT 6397 CYBERSECURITY PORTFOLIO SERIES 7	WHEATON, IL	12/19/2016	00793085-05	Registered
FT 6401 DIVIDEND GROWTH PORTFOLIO SERIES 16	WHEATON, IL	12/19/2016	00793084-05	Registered
FT 6403 MLP CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	12/19/2016	00793032-05	Registered
FT 6419 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 23	WHEATON, IL	12/19/2016	00793083-05	Registered
FT 6421 STIFEL BEST PICKS DECEMBER 2016	WHEATON, IL	12/19/2016	00793082-05	Registered
FT 6427 ALTERNATIVE INCOME PORTFOLIO SERIES 21	WHEATON, IL	12/19/2016	00793031-05	Registered
JPMORGAN TR I JPMORGAN CA TAX FREE BOND FUND CLASS A SHARES	NEW YORK, NY	12/19/2016	00789592-03	Registered
JPMORGAN TR I JPMORGAN NY TAX FREE BOND FUND CLASS A SHARES	NEW YORK, NY	12/19/2016	00789593-03	Registered
STERLING CAPITAL FUNDS STERLING CAPITAL ULTRA SHORT BOND FUND INSTITUTIONAL SHARES	RALEIGH, NC	12/19/2016	00792818-03	Registered
<b>Number of Investment Company Filings: 70</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Regulation D Notice Filings: 0</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FIBRENEW USA, LTD. / FIBRENEW	Black Diamond, AB	12/23/2016	614348	Registered
HouseMaster LLC	Somerville, NJ	12/23/2016	614347	Registered
Sonic Franchising LLC / Sonic Drive-In	Oklahoma City, OK	12/21/2016	614343	Registered
Cheeburger Cheeburger Restaurants, Inc. / Cheeburger Cheeburger	Fort Myers, FL	12/21/2016	614342	Registered
NextHome, Inc. / NextHome	Pleasanton, CA	12/20/2016	614341	Registered
Luby's Fuddruckers Restaurants, LLC / "Fuddruckers" and "Fuddruckers, World's Greatest Hamburgers"	Houston, TX	12/20/2016	614340	Registered
Compass Group USA, Inc. by and through its Canteen Vending Services Division / Canteen Vending Services	Charlotte, NC	12/20/2016	614338	Registered
Tasting Station, Inc. / WineStyles Tasting Station	West Des Moines, IA	12/20/2016	614337	Registered
TTP Franchising, Inc. / Top Ten Percent	Westerville, OH	12/19/2016	614334	Registered
Victory Lane Quick Oil Change, Inc. / Victory Lane Quick Oil Change	Canton, MI	12/19/2016	614333	Registered
<b>Number of Franchise Filings: 10</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	