

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/27/2016 through 12/3/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
KBS STRATEGIC OPPORTUNITY REIT INC	NEWPORT BEACH, CA	12/2/2016	00789280-01	Pending
CHRIST'S OASIS MINISTRIES INC	CHICAGO, IL	11/28/2016	00788389-02	Registered
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
STRATEGIC STORAGE TR 2 INC	LADERA RANCH, CA	12/2/2016	00789279-08	Not Disallowed
GRAYBAR ELECTRIC CO INC	ST LOUIS, MO	11/30/2016	00789300-08	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DEUTSCHE INVESTMENT TR DEUTSCHE CROCI US FUND CLASS R SHARES	CHICAGO, IL	12/2/2016	00789111-03	Registered
MFS SERIES TR XII MFS LIFETIME 2060 FUND CLASS A SHARES	BOSTON, MA	12/2/2016	00789262-03	Registered
MFS SERIES TR XII MFS LIFETIME 2060 FUND CLASS B SHARES	BOSTON, MA	12/2/2016	00789263-03	Registered
MFS SERIES TR XII MFS LIFETIME 2060 FUND CLASS C SHARES	BOSTON, MA	12/2/2016	00789264-03	Registered
MFS SERIES TR XII MFS LIFETIME 2060 FUND CLASS I SHARES	BOSTON, MA	12/2/2016	00789265-03	Registered

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MFS SERIES TR XII MFS LIFETIME 2060 FUND CLASS R1 SHARES	BOSTON, MA	12/2/2016	00789267-03	Registered
MFS SERIES TR XII MFS LIFETIME 2060 FUND CLASS R2 SHARES	BOSTON, MA	12/2/2016	00789269-03	Registered
MFS SERIES TR XII MFS LIFETIME 2060 FUND CLASS R3 SHARES	BOSTON, MA	12/2/2016	00789266-03	Registered
MFS SERIES TR XII MFS LIFETIME 2060 FUND CLASS R4 SHARES	BOSTON, MA	12/2/2016	00789268-03	Registered
MFS SERIES TR XII MFS LIFETIME 2060 FUND CLASS R6 SHARES	BOSTON, MA	12/2/2016	00789270-03	Registered
NORTHERN LIGHTS FUND TR 3 COUNTERPOINT TACTICAL INCOME FUND CLASS C SHARES	HAUPPAUGE, NY	12/2/2016	00789401-03	Registered
NORTHERN LIGHTS FUND TR 3 LELAND CURRENCY STRATEGY FUND CLASS I SHARES	HAUPPAUGE, NY	12/2/2016	00789354-03	Registered
1ST TR COMBINED SERIES 545 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO LONG TERM SERIES 26	WHEATON, IL	12/1/2016	00789294-05	Registered
FT 6391 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 63	WHEATON, IL	12/1/2016	00789293-05	Registered
FT 6392 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 58	WHEATON, IL	12/1/2016	00789292-05	Registered
FT 6410 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 29	WHEATON, IL	12/1/2016	00789291-05	Registered

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FT 6411 STRUCTURED PORTFOLIO PLAN DEFINED PORTFOLIO DEC 2016 SERIES	WHEATON, IL	12/1/2016	00789297-05	Registered
FT 6416 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 14	WHEATON, IL	12/1/2016	00789295-05	Registered
FT 6424 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 29	WHEATON, IL	12/1/2016	00789290-05	Registered
FT 6458 US INFRASTRUCTURE PORTFOLIO SERIES 9	WHEATON, IL	12/1/2016	00789296-05	Registered
PROFESSIONALLY MANAGED PORTFOLIOS OSTERWEIS EMERGING OPPORTUNITY FUND	MILWAUKEE, WI	12/1/2016	00789299-03	Registered
EATON VANCE GROWTH TR EATON VANCE HEXAVEST GLOBAL EQUITY FUND CLASS C SHARES	BOSTON, MA	11/29/2016	00788248-03	Registered
EATON VANCE MUTUAL FUNDS TR EATON VANCE FLOATING RATE FUND CLASS R6 SHARES	BOSTON, MA	11/29/2016	00788249-03	Registered
LOOMIS SAYLES FUNDS 2 LOOMIS SAYLES HIGH INCOME FUND CLASS N	BOSTON, MA	11/29/2016	00788251-03	Registered
LOOMIS SAYLES FUNDS I LOOMIS SAYLES CORE DISCIPLINED ALPHA BOND FUND INSTITUTIONAL CLASS	BOSTON, MA	11/29/2016	00788250-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1533 LARGE CAP CORE PORTFOLIO SERIES 29	LISLE, IL	11/28/2016	00788523-05	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK EQUITY & INCOME FUND INSTITUTIONAL CLASS	CHICAGO, IL	11/28/2016	00788754-03	Registered

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Firm Name	Location	Date Received	File Number	Status
HARRIS ASSOC INVESTMENT TR OAKMARK EQUITY & INCOME FUND ADVISOR CLASS	CHICAGO, IL	11/28/2016	00788753-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK FUND ADVISOR CLASS	CHICAGO, IL	11/28/2016	00788761-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK FUND INSTITUTIONAL CLASS	CHICAGO, IL	11/28/2016	00788757-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK GLOBAL FUND ADVISOR CLASS	CHICAGO, IL	11/28/2016	00788760-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK GLOBAL FUND INSTITUTIONAL CLASS	CHICAGO, IL	11/28/2016	00788762-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK GLOBAL SELECT FUND INSTITUTIONAL CLASS	CHICAGO, IL	11/28/2016	00788766-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK GLOBAL SELECT FUND ADVISOR CLASS	CHICAGO, IL	11/28/2016	00788764-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK INTERNATIONAL FUND INSTITUTIONAL CLASS	CHICAGO, IL	11/28/2016	00788755-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK INTERNATIONAL FUND ADVISOR CLASS	CHICAGO, IL	11/28/2016	00788763-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK INTERNATIONAL SMALL CAP FUND INSTITUTIONAL CLASS	CHICAGO, IL	11/28/2016	00788758-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HARRIS ASSOC INVESTMENT TR OAKMARK INTERNATIONAL SMALL CAP FUND ADVISOR CLASS	CHICAGO, IL	11/28/2016	00788756-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK SELECT FUND ADVISOR CLASS	CHICAGO, IL	11/28/2016	00788765-03	Registered
HARRIS ASSOC INVESTMENT TR OAKMARK SELECT FUND INSTITUTIONAL CLASS	CHICAGO, IL	11/28/2016	00788759-03	Registered
NUVEEN UNIT INVESTMENT TR SERIES 168 NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO 4Q 2016	CHICAGO, IL	11/28/2016	00788524-05	Registered
Number of Investment Company Filings: 41				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ABRY SENIOR EQUITY 5 LP	BOSTON, MA	12/2/2016	00789278-07	Filed
AFFINITY PORTFOLIO FUNDING 3 LLC	FAIRFIELD, CT	12/2/2016	00789347-07	Filed
BRAZIL RESOURCES INC	VANCOUVER, BC	12/2/2016	00789351-07	Filed
EDGE SURGICAL INC	CHICAGO, IL	12/2/2016	00789349-07	Filed
HURON FLEX EQUITY FUND EXECUTIVES LP	DETROIT, MI	12/2/2016	00789348-07	Filed
ILLUMIGYN LTD	NEVE ILAN, AA	12/2/2016	00789350-07	Filed
NORTHPOUND FUND 3 LP	CHICAGO, IL	12/2/2016	00789353-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	12/2/2016	00789352-07	Filed
MIDWEST ENERGY EMISSIONS CORP	LEWIS CENTER, OH	12/1/2016	00789298-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NB CROSSROADS PRIVATE MARKETS FUND 4 TE CLIENT LLC	NEW YORK, NY	11/29/2016	00788529-07	Filed
NB CROSSROADS PRIVATE MARKETS FUND 4 TI CLIENT LLC	NEW YORK, NY	11/29/2016	00788528-07	Filed
2016 YEAR END DRILLING PROGRAM LP	BOWLING GREEN, KY	11/28/2016	00788388-07	Filed
AG DIRECT LENDING FUDN 2 LP	NEW YORK, NY	11/28/2016	00789117-07	Filed
AG DIRECT LENDING FUND 2 UNLEVERED LP	NEW YORK, NY	11/28/2016	00789116-07	Filed
ALPHAQUEST ORIGINAL LTD	GEORGE TOWN, AA	11/28/2016	00789115-07	Filed
AXIOM ASIA 4 LP	GRAND CAYMAN KY1-1107, AA	11/28/2016	00789122-07	Filed
COLUMBIA PACIFIC 2016 ACQUISITION 2 LP	SEATTLE, WA	11/28/2016	00789121-07	Filed
EMERAMED LTD	DUBLIN, AA	11/28/2016	00789119-07	Filed
FMR LLC	BOSTON, MA	11/28/2016	00789123-07	Filed
HANDSTAND INNOVATIONS LLC	GRAND RAPIDS, MI	11/28/2016	00789112-07	Filed
LIGHTHOUSE DIVERSIFIED FUND QP 2 LP	N PALM BEACH, FL	11/28/2016	00789120-07	Filed
PRIVATE EQUITY CORE FUND QP 7 LP	CHICAGO, IL	11/28/2016	00789118-07	Filed
TFG STATION RIDGE REIT LLC	BOSTON, MA	11/28/2016	00789114-07	Filed
Number of Regulation D Notice Filings: 23				

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Berlitz Franchising Corporation / Berlitz	Princeton, NJ	12/2/2016	614289	Registered
Alamo Drafthouse Cinemas, LLC / Alamo Drafthouse Cinema	Austin, TX	12/2/2016	614288	Registered
Birdseye Video Franchising, LLC / BirdsiVideo	Carmel, IN	12/1/2016	614286	Registered
Hilton Franchise Holding LLC / Tapestry Collection by Hilton	McLean, VA	12/1/2016	614283	Registered
Apex Energy Group, LLC / Apex Energy Solutions	Zionsville, IN	11/30/2016	614282	Registered
Solid Holdings, LLC / SOLID	Charlotte, NC	11/30/2016	614281	Registered
Number of Franchise Filings: 6				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	