

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/6/2016 through 11/12/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHRIST FELLOWSHIP BAPTIST CHURCH INC	BROOKLYN, NY	11/7/2016	00785130-02	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VIDEOSCORE LLC	RACINE, WI	11/11/2016	00785545-26	Pending
KEYSTONE SOLUTIONS INC	CHANTILLY, VA	11/9/2016	00785139-25	Filed
HC GOVERNMENT REALTY TR INC	SARASOTA, FL	11/8/2016	00785131-25	Filed
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 543 TX EX MUNICIPAL INCOME TR 10 20 YEAR SERIES 28	WHEATON, IL	11/10/2016	00787013-05	Registered
ADVISORS DISCIPLINED TR 1739 COHEN & STEERS COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 2 YR SERIES 2016 3	MONUMENT, CO	11/10/2016	00787003-05	Registered
ADVISORS DISCIPLINED TR 1739 COHEN & STEERS GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2016 4	MONUMENT, CO	11/10/2016	00787010-05	Registered
ADVISORS DISCIPLINED TR 1739 STRATEGIC FOUNDATIONS OF GROWTH PORTFOLIO SERIES 2016 3	MONUMENT, CO	11/10/2016	00787008-05	Registered

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ADVISORS DISCIPLINED TR 1739 TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2016 4	MONUMENT, CO	11/10/2016	00787011-05	Registered
FT 6326 EUROPEAN DEEP VALUE DIVIDEND PORTFOLIO SERIES 12	WHEATON, IL	11/10/2016	00787014-05	Pending-Cleared
FT 6360 GLOBAL DEEP VALUE DIVIDEND PORTFOLIO SERIES 12	WHEATON, IL	11/10/2016	00787012-05	Registered
FT 6361 LOW VOLATILITY PORTFOLIO SERIES 5	WHEATON, IL	11/10/2016	00787016-05	Registered
FT 6373 PRIME INCOME & ETF PORTFOLIO NOV 2016 SERIES	WHEATON, IL	11/10/2016	00787015-05	Registered
FT 6406 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 62	WHEATON, IL	11/10/2016	00787017-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1535 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 36	LISLE, IL	11/10/2016	00787009-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1535 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO 2 YEAR SERIES 18	LISLE, IL	11/10/2016	00787001-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1536 BLUE CHIP GROWTH PORTFOLIO SERIES 12	LISLE, IL	11/10/2016	00787000-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1536 GLOBAL WATER EQUITIES PORTFOLIO SERIES 31	LISLE, IL	11/10/2016	00787007-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1536 GUGGENHEIM US CAPITAL STRENGTH PORTFOLIO SERIES 12	LISLE, IL	11/10/2016	00787002-05	Pending-Cleared

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1537 GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 12	LISLE, IL	11/10/2016	00787005-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1549 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 41	LISLE, IL	11/10/2016	00787006-05	Pending-Cleared
NUVEEN UNIT INVESTMENT TR SERIES 169 NUVEEN SYMPHONY LOW VOLATILITY EQUITY PORTFOLIO 4Q 2016	CHICAGO, IL	11/10/2016	00787004-05	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA EVENT DRIVEN CLASS I SHARES	ST. PETERSBURG, FL	11/10/2016	00785248-03	Registered
2 ROADS SHARED TR AFFINITY SMALL CAP FUND CLASS A	HAUPPAUGE, NY	11/8/2016	00785508-03	Registered
2 ROADS SHARED TR AFFINITY SMALL CAP FUND CLASS I	HAUPPAUGE, NY	11/8/2016	00785509-03	Registered
MILLER INVESTMENT TR MILLER CONVERTIBLE PLUS FUND CLASS A SHARES	HAUPPAUGE, NY	11/8/2016	00785504-03	Registered
MILLER INVESTMENT TR MILLER CONVERTIBLE PLUS FUND CLASS C SHARES	HAUPPAUGE, NY	11/8/2016	00785505-03	Registered
MILLER INVESTMENT TR MILLER CONVERTIBLE PLUS FUND CLASS I SHARES	HAUPPAUGE, NY	11/8/2016	00785506-03	Registered
NORTHERN LIGHTS FUND TR 3 NEWFOUND RISK MANAGED US SECTORS FUND CLASS I SHARES	HAUPPAUGE, NY	11/8/2016	00785507-03	Registered
TOTAL INCOME & REAL ESTATE FUND CLASS I SHARES	HAUPPAUGE, NY	11/8/2016	00785510-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VICTORY PORTFOLIOS VICTORY RS MID CAP GROWTH FUND CLASS R6	COLUMBUS, OH	11/8/2016	00785162-03	Registered
VICTORY PORTFOLIOS VICTORY RS SELECT GROWTH FUND CLASS R6	COLUMBUS, OH	11/8/2016	00785161-03	Registered
VICTORY PORTFOLIOS VICTORY SOPHUS EMERGING MARKETS FUND CLASS R6	COLUMBUS, OH	11/8/2016	00785163-03	Registered
Number of Investment Company Filings: 29				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALLOCATION SOLUTIONS 12 LLC	MT ENTERPRISE, TX	11/11/2016	00786990-07	Filed
AMC FUND 8 LLC	NORTHRIDGE, CA	11/11/2016	00786986-07	Filed
AMCRETE STONE LLC	NORTHRIDGE, CA	11/11/2016	00786987-07	Filed
EXIMIS SURGICAL LLC	LOUISVILLE, CO	11/11/2016	00786996-07	Filed
FALLS EVENT CENTER LLC	WEST JORDAN, UT	11/11/2016	00786995-07	Filed
HARROW AGGREGATES PARTNERS LLC	MONTGOMERY, AL	11/11/2016	00786998-07	Filed
HIGHLAND SANTA MONICA HOMES LLC	LOS ANGELES, CA	11/11/2016	00786992-07	Filed
KS CITY HOTEL LLC	CHICAGO, IL	11/11/2016	00786988-07	Filed
MV STARTUP FUND 38 LLC	AUSTIN, TX	11/11/2016	00786984-07	Filed
MVP ES FUND 1 LLC	AUSTIN, TX	11/11/2016	00786994-07	Filed
MVP LS FUND 6 LLC	AUSTIN, TX	11/11/2016	00786993-07	Filed
PRIMAL THERAPIES INC	BOISE, ID	11/11/2016	00786982-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SJF VENTURES IVA LP	DURHAM, NC	11/11/2016	00786983-07	Filed
SPECTRUM LIVING LLC	LONG LAKE, MN	11/11/2016	00786985-07	Filed
TKC TOPCO LLC	MIAMI, FL	11/11/2016	00786989-07	Filed
TRI ORIGIN EXPLORATION LTD	AURORA, ON	11/11/2016	00786991-07	Filed
ROSEN HEDGED INDEX FUND LP	LOS ANGELES, CA	11/10/2016	00786999-07	Filed
EMERALD TOPCO INC	NEW YORK, NY	11/7/2016	00784865-07	Filed
MED X INC	CANOGA PARK, CA	11/7/2016	00784864-07	Filed
Number of Regulation D Notice Filings: 19				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Pie Five Pizza Company, Inc. / Pie Five Restaurant	The Colony, TX	11/11/2016	614217	Registered
Pie Five Pizza Company, Inc. / Pie Five Restaurant	The Colony, TX	11/11/2016	614216	Registered
Profile Franchising, LLC / Profile Sanford	Sioux Falls, SD	11/10/2016	614209	Registered
CGI INTERNATIONAL, INC. / COLOR GLO INTERNATIONAL	Edina, MN	11/10/2016	614208	Registered
The Halal Guys Franchise Inc. / The Halal Guys	Fort Lee, NY	11/9/2016	614194	Registered
CBD FRANCHISING, INC. / CLOSETS BY DESIGN	CYPRESS, CA	11/9/2016	614193	Registered
Bruegger's Franchise Corporation / Bruegger's and Bruegger's Bagels	Dallas, TX	11/9/2016	614192	Registered
Faith Franchising Company, Inc. / Office Pride	Palm Harbor, FL	11/7/2016	614186	Registered
S2YD Franchising, LLC / Mr. Delivery	Austin, TX	11/7/2016	614185	Registered
Number of Franchise Filings: 9				

Corporate Take-Over Law Filings Made with DFI's Securities Division

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Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	