

**WI Securities Law and Franchise Law Filings
for 10/30/2016 through 11/5/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FMI FUNDS INC FMI COMMON STOCK FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	10/31/2016	00784558-03	Registered
FMI FUNDS INC FMI INTERNATIONAL FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	10/31/2016	00784557-03	Registered
FMI FUNDS INC FMI LARGE CAP FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	10/31/2016	00784556-03	Registered
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FRED MENOMONEE FALLS LLC	MILWAUKEE, WI	10/31/2016	00784545-07	Filed
Number of Regulation D Notice Filings: 1				