

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/16/2016 through 10/22/2016**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1743 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 114	MONUMENT, CO	10/21/2016	00782877-05	Registered
FT 6303 SENIOR LOAN & DIVIDEND GROWERS PORTFOLIO SERIES 11	WHEATON, IL	10/19/2016	00781941-05	Registered
FT 6343 US ENERGY INDEPENDENCE PORTFOLIO SERIES 13	WHEATON, IL	10/19/2016	00781939-05	Registered
FT 6354 DIVIDEND OPPORTUNITY TR OCT 2016 SERIES	WHEATON, IL	10/19/2016	00781940-05	Registered
FT 6372 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 31	WHEATON, IL	10/19/2016	00781938-05	Registered
SMART TR 274 SMART TR DYNAMIC SECTOR INCOME TR SERIES 16	PARSIPPANY, NJ	10/19/2016	00781937-05	Registered
SMART TR 276 SMART TR SMART 10 TR SERIES 6	PARSIPPANY, NJ	10/19/2016	00781936-05	Registered
SMART TR 277 SMART TR ZACKS GARP COMPOSITE 35 TR SERIES 11	PARSIPPANY, NJ	10/19/2016	00781935-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SMART TR 278 SMART TR HEALTHCARE INNOVATIONS TR SERIES 2	PARSIPPANY, NJ	10/19/2016	00781934-05	Registered
SMART TR 279 SMART TR CEFA SELECT BDC TR SERIES 8	PARSIPPANY, NJ	10/19/2016	00781933-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1284 INSURED MUNICIPALS INCOME TR SERIES 692	DOWNERS GROVE, IL	10/18/2016	00781932-05	Pending-Cleared
INVESCO UNIT TR SERIES 1714	DOWNERS GROVE, IL	10/18/2016	00781928-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 587 INVESTMENT GRADE CORPORATE TR 3 7 YEAR SERIES 26	DOWNERS GROVE, IL	10/18/2016	00781931-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 588 HIGH YIELD CORPORATE TR 4 7 YEAR SERIES 10	DOWNERS GROVE, IL	10/18/2016	00781930-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 589 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 65	DOWNERS GROVE, IL	10/18/2016	00781929-05	Pending-Cleared
EIP INVESTMENT TR EIP GROWTH & INCOME FUND CLASS I	MILWAUKEE, WI	10/17/2016	00781913-03	Registered
EIP INVESTMENT TR EIP GROWTH & INCOME FUND INVESTOR CLASS	MILWAUKEE, WI	10/17/2016	00781914-03	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS EMERGING MARKETS EQUITY FUND CLASS R3	RADNOR, PA	10/17/2016	00781808-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS EMERGING MARKETS MULTI SECTOR BOND FUND CLASS C	RADNOR, PA	10/17/2016	00781787-03	Pending-Cleared

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HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS DEBT & CURRENCY FUND CLASS A	RADNOR, PA	10/17/2016	00781814-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS MULTI SECTOR BOND FUND CLASS Y	RADNOR, PA	10/17/2016	00781825-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS EQUITY FUND CLASS Y	RADNOR, PA	10/17/2016	00781803-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS MULTI SECTOR BOND FUND CLASS R3	RADNOR, PA	10/17/2016	00781788-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS EQUITY FUND CLASS R5	RADNOR, PA	10/17/2016	00781802-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS DEBT & CURRENCY FUND CLASS Y	RADNOR, PA	10/17/2016	00781810-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS MULTI SECTOR BOND FUND CLASS SDR	RADNOR, PA	10/17/2016	00781811-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS EQUITY FUND CLASS R4	RADNOR, PA	10/17/2016	00781801-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS EQUITY FUND CLASS C	RADNOR, PA	10/17/2016	00781807-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHROEDERS EMERGING MARKETS DEBT & CURRENCY FUND CLASS SDR	RADNOR, PA	10/17/2016	00781791-03	Pending-Cleared

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HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS EMERGING MARKETS MULTI SECTOR BOND FUND CLASS A	RADNOR, PA	10/17/2016	00781786-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS EMERGING MARKETS DEBT & CURRENCY FUND CLASS I	RADNOR, PA	10/17/2016	00781813-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS EMERGING MARKETS MULTI SECTOR BOND FUND CLASS R5	RADNOR, PA	10/17/2016	00781824-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS EMERGING MARKETS DEBT & CURRENCY FUND CLASS C	RADNOR, PA	10/17/2016	00781815-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS EMERGING MARKETS MULTI SECTOR BOND FUND CLASS I	RADNOR, PA	10/17/2016	00781812-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS EMERGING MARKETS MULTI SECTOR BOND FUND CLASS R4	RADNOR, PA	10/17/2016	00781823-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS GLOBAL STRATEGIC BOND FUND CLASS R4	RADNOR, PA	10/17/2016	00781817-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS GLOBAL STRATEGIC BOND FUND CLASS R5	RADNOR, PA	10/17/2016	00781789-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS GLOBAL STRATEGIC BOND FUND CLASS Y	RADNOR, PA	10/17/2016	00781790-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS GLOBAL STRATEGIC BOND FUND CLASS R3	RADNOR, PA	10/17/2016	00781793-03	Pending-Cleared

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HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS GLOBAL STRATEGIC BOND FUND CLASS C	RADNOR, PA	10/17/2016	00781795-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INCOME BUILDER FUND CLASS R5	RADNOR, PA	10/17/2016	00781792-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INCOME BUILDER FUND CLASS R4	RADNOR, PA	10/17/2016	00781816-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INCOME BUILDER FUND CLASS Y	RADNOR, PA	10/17/2016	00781794-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INCOME BUILDER FUND CLASS R3	RADNOR, PA	10/17/2016	00781830-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INCOME BUILDER FUND CLASS C	RADNOR, PA	10/17/2016	00781829-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS R4	RADNOR, PA	10/17/2016	00781785-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS R5	RADNOR, PA	10/17/2016	00781820-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL MULTI CAP VALUE FUND CLASS C	RADNOR, PA	10/17/2016	00781833-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL MULTI CAP VALUE FUND CLASS Y	RADNOR, PA	10/17/2016	00781796-03	Pending-Cleared

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HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS C	RADNOR, PA	10/17/2016	00781784-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL MULTI CAP VALUE FUND CLASS R4	RADNOR, PA	10/17/2016	00781797-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL MULTI CAP VALUE FUND CLASS R5	RADNOR, PA	10/17/2016	00781800-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS R3	RADNOR, PA	10/17/2016	00781809-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS Y	RADNOR, PA	10/17/2016	00781821-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS INTERNATIONAL MULTI CAP VALUE FUND CLASS R3	RADNOR, PA	10/17/2016	00781834-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS TAX AWARE BOND FUND CLASS Y	RADNOR, PA	10/17/2016	00781827-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS TAX AWARE BOND FUND CLASS C	RADNOR, PA	10/17/2016	00781826-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS TAX AWARE BOND FUND CLASS SDR	RADNOR, PA	10/17/2016	00781828-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL CAP OPPORTUNITIES FUND CLASS R5	RADNOR, PA	10/17/2016	00781805-03	Pending-Cleared

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HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL CAP OPPORTUNITIES FUND CLASS R3	RADNOR, PA	10/17/2016	00781818-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL CAP OPPORTUNITIES FUND CLASS Y	RADNOR, PA	10/17/2016	00781806-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL CAP OPPORTUNITIES FUND CLASS C	RADNOR, PA	10/17/2016	00781822-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL CAP OPPORTUNITIES FUND CLASS R4	RADNOR, PA	10/17/2016	00781819-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL MID CAP OPPORTUNITIES FUND CLASS R4	RADNOR, PA	10/17/2016	00781799-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL MID CAP OPPORTUNITIES FUND CLASS Y	RADNOR, PA	10/17/2016	00781832-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL MID CAP OPPORTUNITIES FUND CLASS C	RADNOR, PA	10/17/2016	00781804-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL MID CAP OPPORTUNITIES FUND CLASS R3	RADNOR, PA	10/17/2016	00781798-03	Pending-Cleared
HARTFORD MUTUAL FUNDS 2 INC HARTFORD SCHRODERS US SMALL MID CAP OPPORTUNITIES FUND CLASS R5	RADNOR, PA	10/17/2016	00781831-03	Pending-Cleared
MATRIX ADVISORS FUNDS TR MATRIX ADVISORS DIVIDEND FUND	MILWAUKEE, WI	10/17/2016	00781864-03	Registered
<b>Number of Investment Company Filings: 69</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/16/2016 through 10/22/2016**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BR RIVERSIDE DST	NEW YORK, NY	10/21/2016	00784506-07	Filed
BUFFALO CLAIM DEVELOPMENT LTD	PLANO, TX	10/21/2016	00784511-07	Filed
CONTESSA HEALTH INC	NASHVILLE, TN	10/21/2016	00784515-07	Filed
EBS RESIDENTIAL DEVELOPMENT FUND 2 LLC	DAYTON, OH	10/21/2016	00784513-07	Filed
HSI DREXEL RIDGE LLC	BROOKFIELD, WI	10/21/2016	00782878-07	Filed
JDL GOLD CORP	VANCOUVER, BC	10/21/2016	00784517-07	Filed
LIBERTY COMMONS LODGING ASSOC LLC	MIDDLETON, WI	10/21/2016	00784507-07	Filed
LIVE LEARN URBAN FUND LLC	LOS ANGELES, CA	10/21/2016	00784519-07	Filed
LONE STAR FUND 10 US LP	DALLAS, TX	10/21/2016	00784518-07	Filed
MARE ISLAND DRY DOCK INVESTMENT LLC	VALLEJO, CA	10/21/2016	00784510-07	Filed
MARSHALL WACE FUNDS LP MW EUREKA US FUND 6	GEORGE TOWN, GRAND CAYMAN, AA	10/21/2016	00784514-07	Filed
PEER STREET FUNDING LLC	MANHATTAN BCH, CA	10/21/2016	00784516-07	Filed
SAW MILL CAPITAL PARTNERS 2 LP	BRIARCLIFF, NY	10/21/2016	00782880-07	Filed
SIP PIONEER HOLDINGS LLC	DEER VALLEY, UT	10/21/2016	00784509-07	Filed
SUMMIT SAO MANOEL LLC	ALDEN, IA	10/21/2016	00784508-07	Filed
SYNAPTIVE MEDICAL INC	TORONTO M5V 3B1, ON	10/21/2016	00784512-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BEACH POINT LOAN FUND LP	SANTA MONICA, CA	10/19/2016	00781942-07	Filed
NATIONAL MULTIFAMILY PORTFOLIO 1 DST	OAK BROOK, IL	10/18/2016	00781915-07	Filed
TITAN SPINE LLC	MEQUON, WI	10/18/2016	00781916-07	Filed
TITAN SPINE LLC	MEQUON, WI	10/18/2016	00781918-07	Filed
FRED VILLAGE GREEN LLC	MILWAUKEE, WI	10/17/2016	00781894-07	Filed
MCGINLEY ORTHOPAEDIC INNOVATIONS LLC	CASPER, WY	10/17/2016	00781893-07	Filed
<b>Number of Regulation D Notice Filings: 22</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
The Screenmobile Corporation / Screenmobile	Thousand Palms, CA	10/19/2016	614139	Registered
Structural Elements Franchising, LLC / Structural Elements	hagerstown, MD	10/19/2016	614138	Registered
WSR Franchise, LLC / We Sell Restaurants	Atlanta, GA	10/19/2016	614137	Registered
Fala Bar Franchising, Inc. / Fala Bar	Los Angeles, CA	10/18/2016	614135	Registered
<b>Number of Franchise Filings: 4</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	