

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 10/9/2016 through 10/15/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VIDANGEL INC	PROVO, UT	10/13/2016	00781945-25	Filed
LUNA AZUL DEVELOPMENT FUND LLC	SEATTLE, WA	10/11/2016	00781429-25	Filed
SHILOH MISSIONARY BAPTIST CHURCH	SAINT PAUL, MN	10/11/2016	00781447-08	Not Disallowed
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 541 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 31	WHEATON, IL	10/14/2016	00781841-05	Registered
ADVISORS DISCIPLINED TR 1700 MATURING BOND PORTFOLIO CORPORATE INVESTMENT GRADE 3 5 YEAR SERIES 6	MONUMENT, CO	10/14/2016	00781843-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1731 ANGELS PORTFOLIO SERIS 2016 4Q	MONUMENT, CO	10/14/2016	00781842-05	Registered
ADVISORS DISCIPLINED TR 1731 BEST IDEAS STRATEGY PORTFOLIO SERIES 2016 4Q HIMCO PORTFOLIO	MONUMENT, CO	10/14/2016	00781861-05	Registered
ADVISORS DISCIPLINED TR 1731 BRAND FAVORITES FOCUS PORTFOLIO SERIES 2016 4Q	MONUMENT, CO	10/14/2016	00781862-05	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1731 BULLDOG PORTFOLIO SERIES 2016 4Q CJL PORTFOLIO	MONUMENT, CO	10/14/2016	00781859-05	Registered
ADVISORS DISCIPLINED TR 1731 GLOBAL DIVIDEND STRATEGY PORTFOLIO SERIES 2016 4Q HIMCO PORTFOLIO	MONUMENT, CO	10/14/2016	00781860-05	Registered
ADVISORS DISCIPLINED TR 1731 NASDAQ Q 50 INDEXSM PORTFOLIO SERIES 2016 4Q	MONUMENT, CO	10/14/2016	00781863-05	Registered
ADVISORS DISCIPLINED TR 1731 STRATEGIC HIGH 50 Q 50 DIVIDEND & GROWTH PORTFOLIO SERIES 2016 4Q	MONUMENT, CO	10/14/2016	00781858-05	Registered
ADVISORS DISCIPLINED TR 1732 DIVIDEND RULER PORTFOLIO SERIES 2016D	MONUMENT, CO	10/14/2016	00781851-05	Registered
ADVISORS DISCIPLINED TR 1733 UBIQUITOUS STRATEGY PORTFOLIO SERIES 2016 3	MONUMENT, CO	10/14/2016	00781852-05	Registered
ADVISORS DISCIPLINED TR 1734 GLOBAL INFLATION GROWTH PORTFOLIO SERIES 2016 2	MONUMENT, CO	10/14/2016	00781853-05	Registered
ADVISORS DISCIPLINED TR 1735 FINANCIAL OPPORTUNITIES PORTFOLIO SERIES 2016 4	MONUMENT, CO	10/14/2016	00781854-05	Registered
COLUMBIA FUNDS SERIES TR I ACTIVE PORTFOLIOS MULTI MANAGER DIRECTIONAL ALTERNATIVES FUND CLASS A	BOSTON, MA	10/14/2016	00781502-03	Registered
FEDERATED INDEX TR FEDERATED MID CAP INDEX FUND CLASS R6 SHARES	WARRENDALE, PA	10/14/2016	00781501-03	Registered
FT 6276 40 60 STRATEGIC ALLOCATION PORT 4Q 16 TERM 1 19 18	WHEATON, IL	10/14/2016	00781949-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 6278 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 21	WHEATON, IL	10/14/2016	00781950-05	Registered
FT 6279 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 26	WHEATON, IL	10/14/2016	00781836-05	Registered
FT 6280 SABRIENT SMALL CAP GROWTH PORTFOLIO SERIES 12	WHEATON, IL	10/14/2016	00781839-05	Registered
FT 6285 INVESTMENT GRADE SELECT CLOSED END & ETF PORTFOLIO SERIES 2	WHEATON, IL	10/14/2016	00781840-05	Registered
FT 6332 ALTERNATIVE INCOME PORTFOLIO SERIES 20	WHEATON, IL	10/14/2016	00781837-05	Registered
FT 6341 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 68	WHEATON, IL	10/14/2016	00781838-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1523 EQUITY BEST IDEAS 2016 2	LISLE, IL	10/14/2016	00781847-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1524 GUGGENHEIM BALANCED INCOME BUILDER PORTFOLIO SERIES 17	LISLE, IL	10/14/2016	00781848-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1525 GUGGENHEIM REIT PORTFOLIO SERIES 15	LISLE, IL	10/14/2016	00781846-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1525 MULTINATIONAL TITANS PORTFOLIO SERIES 11	LISLE, IL	10/14/2016	00781855-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1525 PRECIOUS METALS & MINERS PORTFOLIO SERIES 11	LISLE, IL	10/14/2016	00781856-05	Registered

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1526 TECHNOLOGY PORTFOLIO SERIES 19	LISLE, IL	10/14/2016	00781845-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1527 RISING RATE DEFENSIVE EQUITY PORTFOLIO SERIES 6	LISLE, IL	10/14/2016	00781850-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1528 S&P DIVIDEND ARISTOCRATS SELECT 25 STRATEGY PORTFOLIO SERIES 5	LISLE, IL	10/14/2016	00781844-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1528 US 50 DIVIDEND STRATEGY PORTFOLIO SERIES 10	LISLE, IL	10/14/2016	00781857-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 166 NUVEEN ARGUS MODERN INNOVATORS PORTFOLIO 4Q 2016	CHICAGO, IL	10/14/2016	00781849-05	Registered
SEI INSTITUTIONAL MANAGED TR GLOBAL MANAGED VOLATILITY FUND CLASS Y SHARES	BOSTON, MA	10/14/2016	00781505-03	Registered
SEI INSTITUTIONAL MANAGED TR TAX MANAGED INTERNATIONAL MANAGED VOLATILITY FUND CLASS A SHARES	OAKS, PA	10/14/2016	00781504-03	Registered
SEI INSTITUTIONAL MANAGED TR TAX MANAGED INTERNATIONAL MANAGED VOLATILITY FUND CLASS Y SHARES	OAKS, PA	10/14/2016	00781503-03	Registered
ALGER FUNDS 2 ALGER GREEN FUND CLASS Z	NEW YORK, NY	10/13/2016	00781421-03	Registered
ALGER FUNDS 2 ALGER MID CAP FOCUS FUND CLASS Z	NEW YORK, NY	10/13/2016	00781419-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ALGER INSTITUTIONAL FUNDS ALGER CAPITAL APPRECIATION INSTITUTIONAL FUND CLASS Z 2	NEW YORK, NY	10/13/2016	00781422-03	Registered
ALGER INSTITUTIONAL FUNDS ALGER MIDCAP GROWTH INSTITUTIONAL FUND CLASS Z 2	NEW YORK, NY	10/13/2016	00781420-03	Registered
FT 6331 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 61	WHEATON, IL	10/13/2016	00781947-05	Registered
FT 6352 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 38	WHEATON, IL	10/13/2016	00781948-05	Registered
ULTIMUS MANAGERS TR HVIA EQUITY FUND INSTITUTIONAL CLASS	CINCINNATI, OH	10/13/2016	00781946-03	Registered
1ST TR COMBINED SERIES 540 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 27	WHEATON, IL	10/12/2016	00781500-05	Registered
FT 6277 1ST TR ETF ALLOCATION PORTFOLIO SERIES 18	WHEATON, IL	10/12/2016	00781497-05	Registered
FT 6292 SELECT DSIP PORTFOLIO 4TH QUARTER 2016 SERIES	WHEATON, IL	10/12/2016	00781495-05	Registered
FT 6300 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 28	WHEATON, IL	10/12/2016	00781494-05	Registered
FT 6302 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	10/12/2016	00781496-05	Registered
FT 6333 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 76	WHEATON, IL	10/12/2016	00781492-05	Registered
FT 6342 BROOKMONT EQUITY DIVIDEND PORTFOLIO SERIES 9	WHEATON, IL	10/12/2016	00781499-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 6351 NASDAQ DIVIDEND ACHIEVERS 25 PORTFOLIO SERIES 8	WHEATON, IL	10/12/2016	00781498-05	Registered
FT 6353 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 22	WHEATON, IL	10/12/2016	00781493-05	Registered
ADVISORS DISCIPLINED TR 1724 TX EX SECURITIES TR INVESTMENT GRADE 8 12 YEAR SERIES 4 HIMCO PORTFOLIO	MONUMENT, CO	10/11/2016	00781438-05	Registered
ADVISORS DISCIPLINED TR 1730 GLOBAL TECHNOLOGY PORTFOLIO SERIES 2016 4	MONUMENT, CO	10/11/2016	00781439-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1519 CONVERTIBLE & INCOME PORTFOLIO OF FUNDS SERIES 24	LISLE, IL	10/11/2016	00781436-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1520 ALTERNATIVE INCOME PORTFOLIO SERIES 7	LISLE, IL	10/11/2016	00781437-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1521 FINANCIALS PORTFOLIO SERIES 7	LISLE, IL	10/11/2016	00781441-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1522 GUGGENHEIM US HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 22	LISLE, IL	10/11/2016	00781443-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1522 GUGGENHEIM US SMID HIGH DIVIDEND PORTFOLIO SERIES 22	LISLE, IL	10/11/2016	00781442-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 163 NUVEEN NWQ DIVERSIFIED INCOME PORTFOLIO 4Q 2016	CHICAGO, IL	10/11/2016	00781435-05	Registered

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Firm Name	Location	Date Received	File Number	Status
NUVEEN UNIT INVESTMENT TR SERIES 165 NUVEEN LARGE CAP DIVIDEND PORTFOLIO 4Q 2016	CHICAGO, IL	10/11/2016	00781440-05	Registered
Number of Investment Company Filings: 60				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALLIANZGI STRUCTURED ALPHA 1000 PLUS LLC	NEW YORK, NY	10/14/2016	00781508-07	Filed
CA RESIDENTIAL HURON STREET LLC	CHICAGO, IL	10/14/2016	00781865-07	Filed
CHIRON HEALTH INC	AUSTIN, TX	10/14/2016	00781507-07	Filed
FEDERATED PRIME PRIVATE LIQUIDITY FUND	WARRENDALE, PA	10/14/2016	00781509-07	Filed
FEDERATED PRIME PRIVATE LIQUIDITY FUND	WARRENDALE, PA	10/14/2016	00781510-07	Filed
GUNGNIR RESOURCES INC	SURREY, ON	10/14/2016	00781835-07	Filed
ICQ INVESTMENTS ZY LP	SAN FRANCISCO, CA	10/14/2016	00781512-07	Filed
MOXE HEALTH CORP	MADISON, WI	10/14/2016	00781514-07	Filed
NORTHWEST LODGING GROUP LLC	BOUNTIFUL, UT	10/14/2016	00781513-07	Filed
REALTYSHARES 252 LLC	LEWES, DE	10/14/2016	00781515-07	Filed
TIMBERLAND WHITE BEAR WOODS LLC	MINNEAPOLIS, MN	10/14/2016	00781511-07	Filed
VERDA BIO LLC	SEATTLE, WA	10/14/2016	00781506-07	Filed
HARRISON STREET REAL ESTATE PARTNERS 6 LP	CHICAGO, IL	10/13/2016	00781944-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GS&A FOCUSED LONG SHORT TR	TORONTO, ON	10/12/2016	00781489-07	Filed
MHEG FUND 46 LP	OMAHA, NE	10/12/2016	00781490-07	Filed
SERENITY ALTERNATIVE INVESTMENTS FUND 1 LP	CHICAGO, IL	10/12/2016	00781491-07	Filed
CWS SAF 8 QPF LLC	NEWPORT BEACH, CA	10/10/2016	00781425-07	Filed
RELIAMAX HOLDING CO	SIOUX FALLS, SD	10/10/2016	00781427-07	Filed
VARSITY HEALTHCARE PARTNERS 2 A LP	CENTURY CITY, CA	10/10/2016	00781424-07	Filed
Number of Regulation D Notice Filings: 19				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Two Maids Franchising, LLC / Two Maids & A Mop	Birmingham, AL	10/13/2016	614119	Registered
Bbdotq USA, Inc. / bb.q Chicken Bistro	For Lee, NJ	10/11/2016	614116	Registered
Touching Hearts, Inc.	Minneapolis, MN	10/11/2016	614115	Registered
Interim Healthcare Inc. / INTERIM HEALTHCARE	Sunrise, FL	10/10/2016	614114	Registered
QSL Franchise Systems LLC / Quaker Steak & Lube	Westlake, OH	10/10/2016	614113	Registered
TailWaggers Franchise Trio / TailWaggers Doggy Daycare	Greenville, WI	10/10/2016	614112	Registered
Number of Franchise Filings: 6				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	