

**WI Securities Law and Franchise Law Filings
for 10/2/2016 through 10/8/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BRANDES INVESTMENT TR BRANDES EMERGING MARKETS VALUE FUND CLASS R6	MILWAUKEE, WI	10/4/2016	00781370-03	Registered
BRANDES INVESTMENT TR BRANDES INTERNATIONAL SMALL CAP EQUITY FUND CLASS R6	MILWAUKEE, WI	10/4/2016	00781371-03	Registered
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BAD DONKEY SOCIAL CO	MADISON, WI	10/7/2016	00781375-07	Filed
CATALYZE INC	MADISON, WI	10/7/2016	00781378-07	Filed
WICC INVESTMENTS LLC	MIDDLETON, WI	10/4/2016	00781361-07	Filed
Number of Regulation D Notice Filings: 3				