

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 10/2/2016 through 10/8/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WEST BOWLES COMMUNITY CHURCH	LITTLETON, CO	10/7/2016	00781423-10	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM FUNDS TR GUGGENHEIM TOTAL RETURN BOND FUND R6 CLASS	ROCKVILLE, MD	10/7/2016	00781409-03	Pending-Cleared
PRICE T ROWE TOTAL RETURN FUND INC	BALTIMORE, MA	10/6/2016	00781232-03	Pending-Cleared
PRICE T ROWE TOTAL RETURN FUND INC PRICE T ROWE TOTAL RETURN FUND I CLASS	BALTIMORE, MA	10/6/2016	00781234-03	Pending-Cleared
PRICE T ROWE TOTAL RETURN FUND INC PRICE T ROWE TOTAL RETURN FUND ADVISOR CLASS	BALTIMORE, MA	10/6/2016	00781233-03	Pending-Cleared
BRANDES INVESTMENT TR BRANDES EMERGING MARKETS VALUE FUND CLASS R6	MILWAUKEE, WI	10/4/2016	00781370-03	Registered
BRANDES INVESTMENT TR BRANDES INTERNATIONAL SMALL CAP EQUITY FUND CLASS R6	MILWAUKEE, WI	10/4/2016	00781371-03	Registered

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Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1710	DOWNERS GROVE, IL	10/4/2016	00781369-05	Pending-Cleared
INVESCO UNIT TR SERIES 1711 SELECT 10 INDUSTRIAL PORTFOLIO 2016 6	DOWNERS GROVE, IL	10/4/2016	00781368-05	Pending-Cleared
INVESCO UNIT TR SERIES 1712 BALANCED DIVIDEND SUSTAINABILITY & INCOME PORTFOLIO 2016 4	DOWNERS GROVE, IL	10/4/2016	00781367-05	Pending-Cleared
INVESCO UNIT TR SERIES 1713 CLOSED END STRATEGY DISCOUNT OPPORTUNITY PORTFOLIO 2016 4	DOWNERS GROVE, IL	10/4/2016	00781364-05	Pending-Cleared
INVESCO UNIT TR SERIES 1715	DOWNERS GROVE, IL	10/4/2016	00781366-05	Pending-Cleared
INVESCO UNIT TR SERIES 1716 PREFERRED OPPORTUNITY PORTFOLIO 2016 4	DOWNERS GROVE, IL	10/4/2016	00781363-05	Pending-Cleared
INVESCO UNIT TR SERIES 1717 GLOBAL HIGH DIVIDEND PORTFOLIO SERIES 2016 4	DOWNERS GROVE, IL	10/4/2016	00781365-05	Pending-Cleared
SMART TR 270 SMART TR STRATEGIC GROWTH & INCOME TR SERIES 10	PARSIPPANY, NJ	10/4/2016	00781360-05	Pending-Cleared
SMART TR 271 SMART TR DEFENSIVE 50 EQUITIES TR SERIES 9	PARSIPPANY, NJ	10/4/2016	00781359-05	Pending-Cleared
SMART TR 272 SMART TR DORSEY WRIGHT INTERNATIONAL MOMENTUM TR SERIES 5	PARSIPPANY, NJ	10/4/2016	00781358-05	Pending-Cleared
STERLING CAPITAL FUNDS STERLING CAPITAL TOTAL RETURN BOND FUND CLASS C SHARES	RALEIGH, NC	10/4/2016	00781047-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1729 BALANCED PORTFOLIO SERIES 2016 3	MONUMENT, CO	10/3/2016	00781357-05	Registered
ADVISORS DISCIPLINED TR 1729 EQUITY DIVIDEND & INCOME CLOSED END PORTFOLIO SERIES 2016 4	MONUMENT, CO	10/3/2016	00781353-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1509 S&P GLOBAL DIVIDEND ARISTOCRATS SELECT 25 STRATEGY PORTFOLIO SERIES 1	LISLE, IL	10/3/2016	00781355-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1516 ENHANCED QUALITY 16 STRATEGY PORTFOLIO SERIES 5	LISLE, IL	10/3/2016	00781356-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1518 STRATEGIC INCOME PORTFOLIO SERIES 95	LISLE, IL	10/3/2016	00781354-05	Registered
IVY FUNDS IVY CA MUNICIPAL HIGH INCOME FUND CLASS A SHARES	SHAWNEE MISSION, KS	10/3/2016	00781405-03	Registered
IVY FUNDS IVY CA MUNICIPAL HIGH INCOME FUND CLASS C SHARES	SHAWNEE MISSION, KS	10/3/2016	00781406-03	Registered
IVY FUNDS IVY CA MUNICIPAL HIGH INCOME FUND CLASS I SHARES	SHAWNEE MISSION, KS	10/3/2016	00781407-03	Registered
IVY FUNDS IVY CA MUNICIPAL HIGH INCOME FUND CLASS Y SHARES	SHAWNEE MISSION, KS	10/3/2016	00781404-03	Registered
Number of Investment Company Filings: 26				

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BAD DONKEY SOCIAL CO	MADISON, WI	10/7/2016	00781375-07	Filed
CATALYZE INC	MADISON, WI	10/7/2016	00781378-07	Filed
LBC CREDIT PARTNERS 4 LP	WILMINGTON, DE	10/7/2016	00781379-07	Filed
PHARMACIELO LTD	TORONTO, M5X 1E2, ON	10/7/2016	00781376-07	Filed
REALTYSHARES 232 LLC	LEWES, DE	10/7/2016	00781374-07	Filed
FRONTIER NANOSYSTEMS LLC	AUSTIN, TX	10/5/2016	00781372-07	Filed
METAJURE INC	SEATTLE, WA	10/5/2016	00781373-07	Filed
WICC INVESTMENTS LLC	MIDDLETON, WI	10/4/2016	00781361-07	Filed
Number of Regulation D Notice Filings: 8				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Red Lion Hotels Franchising, Inc. / Country Hearth Inn	Spokane, WA	10/7/2016	614111	Registered
Red Lion Hotels Franchising, Inc. / Americas Best Value Inn	Spokane, WA	10/7/2016	614110	Registered
INFINIUM REALTY GROUP INC / HELP-U-SELL REAL ESTATE	SARASOTA, FL	10/7/2016	614109	Registered
Red Lion Hotels Franchising, Inc. / Jameson Inn	Spokane, WA	10/6/2016	614106	Registered
Red Lion Hotels Franchising, Inc. / Lexington	Spokane, WA	10/6/2016	614105	Registered
Reis & Irvy's, Inc. / Reis & Irvy's	San Diego, CA	10/6/2016	614104	Registered
1000 Degrees Pizzeria Franchise, Inc. / 1000 Degrees Pizzeria / 1000 Degrees Neapolitan Pizza	Galloway, NJ	10/6/2016	614102	Registered
Maximized Living Health Centers, LLC / MAXIMIZEDLIVING	Celebration, FL	10/5/2016	614101	Registered
Fujisan Franchising Corp. / FujiSan Asian Bar Kiosks	Santa Fe Springs, CA	10/5/2016	614100	Registered
Hounds Mounds, Inc. / Poop 911	Dallas, TX	10/4/2016	614099	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
Espresso Americano Franchise Corporation	Westminster, CO	10/4/2016	614096	Registered
BFK Franchise Company, LLC / Bricks 4 Kidz	St. Augustine, FL	10/4/2016	614095	Registered
WaveMAX Franchise LLC / WaveMAX Coin Laundry (Area Representative)	Jacksonville, FL	10/4/2016	614094	Registered
WaveMAX Franchise LLC / WaveMAX Coin Laundry (Unit Franchise)	Jacksonville, FL	10/4/2016	614093	Registered
LB Franchising, LLC / @ the LB (Area Representative)	Cincinnati, OH	10/3/2016	614090	Registered
LB Franchising, LLC / @ the LB	Cincinnati, OH	10/3/2016	614089	Registered
Tommy's Express, LLC / Tommy's Express Car Wash	Holland, MI	10/3/2016	614078	Registered
Oh My Gauze Franchise, Inc. / Oh My Gauze	Sarasota, FL	10/3/2016	614080	Registered
Number of Franchise Filings: 18				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	