

**WI Securities Law and Franchise Law Filings
for 9/11/2016 through 9/17/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI WORLD BOND FUND INSTITUTIONAL CLASS	WEST ALLIS, WI	9/15/2016	00780589-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI WORLD BOND FUND INSTITUTIONAL SERVICE CLASS	WEST ALLIS, WI	9/15/2016	00780592-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI WORLD BOND FUND CLASS A	WEST ALLIS, WI	9/15/2016	00780591-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI WORLD BOND FUND CLASS C	WEST ALLIS, WI	9/15/2016	00780590-03	Registered
INVESTMENT MANAGERS SERIES TR PALMER SQUARE SHORT DURATION INVESTMENT GRADE FUND	MILWAUKEE, WI	9/13/2016	00780455-03	Pending-Cleared
Number of Investment Company Filings: 5				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

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