

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/28/2016 through 9/3/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RIVERNORTH MARKETPLACE LENDING CORP	MILWAUKEE, WI	8/29/2016	00777604-02	Registered
SIERRA TOTAL RETURN FUND CLASS T	NEW YORK, NY	8/29/2016	00778646-01	Registered
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MECKLENBURG COMMUNITY CHURCH	CHARLOTTE, NC	8/29/2016	00777603-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 536 TX EX MUNICIPAL INCOME TR SERIES 295	WHEATON, IL	9/2/2016	00777935-05	Registered
DIAMOND HILL FUNDS DIAMOND HILL CORE BOND FUND CLASS A	COLUMBUS, OH	9/2/2016	00778990-03	Pending-Cleared
DIAMOND HILL FUNDS DIAMOND HILL CORE BOND FUND CLASS I	COLUMBUS, OH	9/2/2016	00778989-03	Pending-Cleared
DIAMOND HILL FUNDS DIAMOND HILL SHORT DURATION TOTAL RETURN FUND CLASS A	COLUMBUS, OH	9/2/2016	00778992-03	Pending-Cleared
DIAMOND HILL FUNDS DIAMOND HILL SHORT DURATION TOTAL RETURN FUND CLASS I	COLUMBUS, OH	9/2/2016	00778991-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6213 DIVIDEND STRENGTH PORTFOLIO SERIES 33	WHEATON, IL	9/2/2016	00777937-05	Registered
FT 6214 EQUITY INCOME SELECT PORTFOLIO SERIES 50	WHEATON, IL	9/2/2016	00777938-05	Registered
FT 6239 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 60	WHEATON, IL	9/2/2016	00777939-05	Registered
FT 6253 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 25	WHEATON, IL	9/2/2016	00777943-05	Registered
FT 6254 SABRIENT DEFENSIVE EQUITY PORTFOLIO SERIES 9	WHEATON, IL	9/2/2016	00777934-05	Registered
FT 6259 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 50	WHEATON, IL	9/2/2016	00777936-05	Registered
FT 6286 ALTERNATIVE INCOME PORTFOLIO SERIES 19	WHEATON, IL	9/2/2016	00777940-05	Registered
FT 6296 PREFERRED INCOME PORTFOLIO SERIES 85	WHEATON, IL	9/2/2016	00777942-05	Registered
FT 6312 TARGET BUYBACK PORTFOLIO SERIES 6	WHEATON, IL	9/2/2016	00777941-05	Registered
AIM TREASURERS SERIES TR INVESCO TREASURERS SERIES TR PREMIER PORTFOLIO PERSONAL INVESTMENT CLASS	HOUSTON, TX	9/1/2016	00777625-03	Registered
AIM TREASURERS SERIES TR INVESCO TREASURERS SERIES TR PREMIER PORTFOLIO RESOURCE CLASS	HOUSTON, TX	9/1/2016	00777628-03	Registered
AIM TREASURERS SERIES TR INVESCO TREASURERS SERIES TR PREMIER PORTFOLIO PRIVATE INVESTMENT CLASS	HOUSTON, TX	9/1/2016	00777626-03	Registered

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AIM TREASURERS SERIES TR INVESCO TREASURERS SERIES TR PREMIER PORTFOLIO RESERVE CLASS	HOUSTON, TX	9/1/2016	00777627-03	Registered
ARCHER INVESTMENT SERIES TR ARCHER DIVIDEND GROWTH FUND	INDIANAPOLIS, IN	9/1/2016	00777629-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS INVESTOR MONEY MARKET FUND SERVICE SHARES	CHICAGO, IL	9/1/2016	00777624-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS INVESTOR MONEY MARKET FUND RESOURCE SHARES	CHICAGO, IL	9/1/2016	00777623-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS INVESTOR MONEY MARKET FUND CASH MANAGEMENT SHARES	CHICAGO, IL	9/1/2016	00777622-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS MUZINICH CREDIT OPPORTUNITIES FUND CLASS A SHARES	MILWAUKEE, WI	9/1/2016	00777932-03	Registered
ADVISORS DISCIPLINED TR 1713 COHEN & STEERS MUNICIPAL CLOSED END PORTFOLIO SERIES 2016 3	MONUMENT, CO	8/31/2016	00777923-05	Registered
ADVISORS DISCIPLINED TR 1713 EMERGING MARKETS DIVIDEND PORTFOLIO SERIES 2016 2	MONUMENT, CO	8/31/2016	00777921-05	Registered
ADVISORS DISCIPLINED TR 1713 HEALTH CARE OPPORTUNITIES PORTFOLIO SERIES 2016 3	MONUMENT, CO	8/31/2016	00777922-05	Registered
DE POOLED TR REAL ESTATE INVESTMENT TR PORTFOLIO DE REIT FUND R6 CLASS	PHILADELPHIA, PA	8/31/2016	00777602-03	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 6154 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 28	WHEATON, IL	8/31/2016	00777926-05	Registered
FT 6212 DEEP VALUE DIVIDEND PORTFOLIO SERIES 12	WHEATON, IL	8/31/2016	00777925-05	Registered
FT 6265 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 108	WHEATON, IL	8/31/2016	00777927-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1501 LARGE CAP CORE PORTFOLIO SERIES 28	LISLE, IL	8/31/2016	00777919-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1530 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 40	LISLE, IL	8/31/2016	00777920-05	Registered
NORTHERN LIGHTS FUND TR LADENBURG GROWTH & INCOME FUND CLASS I SHARES	HAUPPAUGE, NY	8/31/2016	00777760-03	Registered
NUVEEN UNIT INVESTMENT TR SERIES 156 NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO 3Q 2016	CHICAGO, IL	8/31/2016	00777924-05	Registered
ADVISORS INNER CIRCLE FUND 2 CHAMPLAIN SMALL CO FUND INSTITUTIONAL SHARES	BOSTON, MA	8/30/2016	00777587-03	Registered
BARON INVESTMENT FUNDS TR BARON DISCOVERY FUND R6 SHARES	NEW YORK, NY	8/30/2016	00777585-03	Registered
BARON INVESTMENT FUNDS TR BARON OPPORTUNITY FUND R6 SHARES	NEW YORK, NY	8/30/2016	00777581-03	Registered
BARON SELECT FUNDS BARON ENERGY & RESOURCES FUND R6 SHARES	NEW YORK, NY	8/30/2016	00777584-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BARON SELECT FUNDS BARON FOCUSED GROWTH FUND R6 SHARES	NEW YORK, NY	8/30/2016	00777582-03	Registered
BARON SELECT FUNDS BARON GLOBAL ADVANTAGE FUND R6 SHARES	NEW YORK, NY	8/30/2016	00777586-03	Registered
BARON SELECT FUNDS BARON INTERNATIONAL GROWTH FUND R6 SHARES	NEW YORK, NY	8/30/2016	00777583-03	Registered
BARON SELECT FUNDS BARON PARTNERS FUND R6 SHARES	NEW YORK, NY	8/30/2016	00777580-03	Registered
DREYFUS INDEX FUNDS INC DREYFUS INTERNATIONAL STOCK INDEX FUND CLASS I	NEW YORK, NY	8/30/2016	00777577-03	Registered
DREYFUS INDEX FUNDS INC DREYFUS SMALL CAP STOCK INDEX FUND CLASS I	NEW YORK, NY	8/30/2016	00777579-03	Registered
DREYFUS MIDCAP INDEX FUND INC CLASS I	NEW YORK, NY	8/30/2016	00777578-03	Registered
FT 6155 PRECIOUS METALS SELECT PORTFOLIO SERIES 38	WHEATON, IL	8/30/2016	00777620-05	Registered
FT 6211 SABRIENT DIVIDEND PORTFOLIO SERIES 15	WHEATON, IL	8/30/2016	00777618-05	Registered
FT 6215 HOMEBUILDERS RECOVERY SELECT PORTFOLIO SERIES 14	WHEATON, IL	8/30/2016	00777616-05	Registered
FT 6216 INNOVATIVE TECHNOLOGY PORTFOLIO SERIES 13	WHEATON, IL	8/30/2016	00777615-05	Registered
FT 6238 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES AMERICAN INDUSTRIAL RENAISSANCE 2016 2	WHEATON, IL	8/30/2016	00777617-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
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FT 6247 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 37	WHEATON, IL	8/30/2016	00777621-05	Registered
FT 6267 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 40	WHEATON, IL	8/30/2016	00777619-05	Registered
LOOMIS SAYLES FUNDS I LOOMIS SAYLES INTERMEDIATE DURATION BOND FUND CLASS C	BOSTON, MA	8/30/2016	00777588-03	Registered
DREYFUS APPRECIATION FUND INC CLASS I	NEW YORK, NY	8/29/2016	00777469-03	Registered
DREYFUS MUNICIPAL BOND OPPORTUNITY FUND CLASS I	NEW YORK, NY	8/29/2016	00777470-03	Registered
DREYFUS MUNICIPAL BOND OPPORTUNITY FUND CLASS Y	NEW YORK, NY	8/29/2016	00777471-03	Registered
DREYFUS PREMIER GNMA FUND INC DREYFUS GNMA FUND CLASS I	NEW YORK, NY	8/29/2016	00777472-03	Registered
EATON VANCE UNIT TR SERIES 7	BOSTON, MA	8/29/2016	00777608-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1280 INSURED MUNICIPALS INCOME TR SERIES 690	DOWNERS GROVE, IL	8/29/2016	00777607-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1281 INSURED MUNICIPALS INCOME TR SERIES 691	DOWNERS GROVE, IL	8/29/2016	00777613-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 576 TARGETED MATURITY INVESTMENT GRADE CORPORATE TR SERIES 2021 1	DOWNERS GROVE, IL	8/29/2016	00777610-05	Registered

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Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR TAXABLE INCOME SERIES 585 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 22	DOWNERS GROVE, IL	8/29/2016	00777612-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 586 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 23	DOWNERS GROVE, IL	8/29/2016	00777611-05	Pending-Cleared
JP MORGAN FLEMING MUTUAL FUND GROUP INC JPMORGAN MID CAP VALUE FUND CLASS R6 SHARES	NEW YORK, NY	8/29/2016	00778613-03	Registered
JP MORGAN FLEMING MUTUAL FUND GROUP INC JPMORGAN MID CAP VALUE FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778611-03	Registered
JP MORGAN FLEMING MUTUAL FUND GROUP INC JPMORGAN MID CAP VALUE FUND CLASS R5 SHARES	NEW YORK, NY	8/29/2016	00778612-03	Registered
JP MORGAN FLEMING MUTUAL FUND GROUP INC JPMORGAN MID CAP VALUE FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778610-03	Registered
JPMORGAN TR 1 JPMORGAN EMERGING MARKETS EQUITY FUND CLASS R5 SHARES	NEW YORK, NY	8/29/2016	00778627-03	Registered
JPMORGAN TR 1 JPMORGAN INTERNATIONAL VALUE FUND CLASS R5 SHARES	NEW YORK, NY	8/29/2016	00778628-03	Registered
JPMORGAN TR 1 JPMORGAN SMALL CAP EQUITY FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778614-03	Registered
JPMORGAN TR 1 JPMORGAN SMALL CAP EQUITY FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778615-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2020 FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778629-03	Registered

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Firm Name	Location	Date Received	File Number	Status
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2020 FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778630-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2025 FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778631-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2025 FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778632-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2030 FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778633-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2030 FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778634-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2035 FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778635-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2035 FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778636-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2040 FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778637-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2040 FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778638-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2045 FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778639-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2045 FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778640-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2050 FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778641-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2050 FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778642-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2055 FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778643-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT 2055 FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778644-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT INCOME FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778618-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTRETIREMENT INCOME FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778619-03	Registered
JPMORGAN TR 1 JPMORGAN US EQUITY FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778616-03	Registered
JPMORGAN TR 1 JPMORGAN US EQUITY FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778617-03	Registered
JPMORGAN TR 1 JPMORGAN US SMALL CO FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778620-03	Registered
JPMORGAN TR 1 JPMORGAN US SMALL CO FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778621-03	Registered
JPMORGAN TR 1 JPMORGAN US SMALL CO FUND CLASS R5 SHARES	NEW YORK, NY	8/29/2016	00778622-03	Registered
JPMORGAN TR 1 JPMORGAN VALUE ADVANTAGE FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778623-03	Registered
JPMORGAN TR 1 JPMORGAN VALUE ADVANTAGE FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778624-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
JPMORGAN TR 1 JPMORGAN VALUE ADVANTAGE FUND CLASS R5 SHARES	NEW YORK, NY	8/29/2016	00778625-03	Registered
JPMORGAN TR 1 JPMORGAN VALUE ADVANTAGE FUND CLASS R6 SHARES	NEW YORK, NY	8/29/2016	00778626-03	Registered
JPMORGAN TR 2 JPMORGAN CORE BOND FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778592-03	Registered
JPMORGAN TR 2 JPMORGAN CORE BOND FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778598-03	Registered
JPMORGAN TR 2 JPMORGAN CORE PLUS BOND FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778599-03	Registered
JPMORGAN TR 2 JPMORGAN CORE PLUS BOND FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778600-03	Registered
JPMORGAN TR 2 JPMORGAN CORE PLUS BOND FUND CLASS R5 SHARES	NEW YORK, NY	8/29/2016	00778601-03	Registered
JPMORGAN TR 2 JPMORGAN EQUITY INCOME FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778595-03	Registered
JPMORGAN TR 2 JPMORGAN EQUITY INCOME FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778596-03	Registered
JPMORGAN TR 2 JPMORGAN EQUITY INDEX FUND CLASS R6 SHARES	NEW YORK, NY	8/29/2016	00778591-03	Registered
JPMORGAN TR 2 JPMORGAN GOVERNMENT BOND FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778593-03	Registered
JPMORGAN TR 2 JPMORGAN GOVERNMENT BOND FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778594-03	Registered

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Firm Name	Location	Date Received	File Number	Status
JPMORGAN TR 2 JPMORGAN INTREPID MID CAP FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778602-03	Registered
JPMORGAN TR 2 JPMORGAN INTREPID MID CAP FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778603-03	Registered
JPMORGAN TR 2 JPMORGAN LARGE CAP GROWTH FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778604-03	Registered
JPMORGAN TR 2 JPMORGAN LARGE CAP GROWTH FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778605-03	Registered
JPMORGAN TR 2 JPMORGAN MID CAP GROWTH FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778606-03	Registered
JPMORGAN TR 2 JPMORGAN MID CAP GROWTH FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778607-03	Registered
JPMORGAN TR 2 JPMORGAN SMALL CAP GROWTH FUND CLASS R5 SHARES	NEW YORK, NY	8/29/2016	00778597-03	Registered
JPMORGAN TR 2 JPMORGAN SMALL CAP VALUE FUND CLASS R3 SHARES	NEW YORK, NY	8/29/2016	00778608-03	Registered
JPMORGAN TR 2 JPMORGAN SMALL CAP VALUE FUND CLASS R4 SHARES	NEW YORK, NY	8/29/2016	00778609-03	Registered
JPMORGAN TR 4 JPMORGAN ULTRA SHORT MUNICIPAL FUND CLASS A SHARES	NEW YORK, NY	8/29/2016	00778645-03	Registered
JPMORGAN TR 4 JPMORGAN ULTRA SHORT MUNICIPAL FUND SELECT CLASS SHARES	NEW YORK, NY	8/29/2016	00778590-03	Registered
MORGAN STANLEY GLOBAL INVESTMENT SOLUTIONS CONTRARIAN CANDIDATES PORTFOLIO SERIES 3	PURCHASE, NY	8/29/2016	00777609-05	Pending-Cleared

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UBS FUNDS UBS TOTAL RETURN BOND FUND CLASS A	CHICAGO, IL	8/29/2016	00777467-03	Registered
UBS FUNDS UBS TOTAL RETURN BOND FUND CLASS C	CHICAGO, IL	8/29/2016	00777468-03	Registered
Number of Investment Company Filings: 122				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST MINING FINANCE CORP	VANCOUVER, ON	9/2/2016	00777910-07	Filed
AHC REAL ESTATE FUND 6 PLUS LP	EVANSTON, IL	9/2/2016	00777906-07	Filed
ALPHAEON CORP	IRVINE, CA	9/2/2016	00777914-07	Filed
AMCRETE ALMEDA LLC	NORTHRIDGE, CA	9/2/2016	00777905-07	Filed
ARIDIS PHARMACEUTICALS INC	SAN JOSE, CA	9/2/2016	00777913-07	Filed
ARSENAL ULTIMATE HOLDCO LLC	NEW YORK, NY	9/2/2016	00777908-07	Filed
CINNAIRE FUND FOR HOUSING LP 31	LANSING, MI	9/2/2016	00777902-07	Filed
EMERSON PLACE APARTMENTS LLC	GREENWOOD VILLAGE, CO	9/2/2016	00777911-07	Filed
FLEXE INC	SEATTLE, WA	9/2/2016	00777903-07	Filed
MCI PREFERRED EQUITY FUND LLC	FARMERS BRANCH, TX	9/2/2016	00777904-07	Filed
OH HELLO BROADWAY LTD LIABILITY CO	NEW YORK, NY	9/2/2016	00777912-07	Filed
ORION CONSTELLATION PARTNERS 2 LP	NEW YORK, NY	9/2/2016	00777900-07	Filed

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PORTER BASIN MULTI WELL 2 JOINT VENTURE	FRISCO, TX	9/2/2016	00777901-07	Filed
ST CLAIR ERIE INVESTORS LLC	EAST LANSING, MI	9/2/2016	00777944-07	Filed
TANK UTILITY INC	SOMERVILLE, MA	9/2/2016	00777907-07	Filed
VISTA SOFTWARE SELECT ACCESS FUND US LP	NEW YORK, NY	9/2/2016	00777915-07	Filed
VITAHEAT MEDICAL HOLDINGS LLC	ROLLING MDWS, IL	9/2/2016	00777909-07	Filed
ZELLER COMMERCE INVESTORS LLC	CHICAGO, IL	9/2/2016	00777945-07	Filed
TECH CANARY CORP	MILWAUKEE, WI	8/31/2016	00777918-07	Filed
TETRAMED HOLDINGS CORP	SAINT CHARLES, IL	8/30/2016	00777614-07	Filed
MAST VACATION PARTNERS INC	OAKBROOK TER, IL	8/29/2016	00777606-07	Filed
Number of Regulation D Notice Filings: 21				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Freakin' Franchising, LLC / Freakin' Unbelievable Burgers	Lexington, KY	9/1/2016	613993	Registered
Goldfish Swim School Franchising, LLC / Goldfish Swim School	Birmingham, MI	9/1/2016	613992	Registered
The Yoga Pod, Inc. / The Yoga Pod	Boulder, CO	8/31/2016	613990	Registered
MD Pizza, LLC. / Mama DeLuca's Pizza!	Milford, CT	8/31/2016	613989	Registered
America's Dog Franchise Systems, LLC / America's Dog and Burger	Chicago, IL	8/30/2016	613986	Registered
RealtyScape Franchising, LLC / LakePlace.com	Bloomington, MN	8/29/2016	613985	Registered
Holiday Park Partners, LLC / Famous Toastery	Davidson, NC	8/29/2016	613984	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
Luxottica Retail North American Inc.(Area Representative Program) / Pearle Vision	Mason, OH	8/29/2016	613982	Registered
Number of Franchise Filings: 8				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	