

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/14/2016 through 8/20/2016**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PINE GROVE ALTERNATIVE INSTITUTIONAL FUND CLASS A SHARES	SUMMIT, NJ	8/19/2016	00777054-01	Registered
DAYSTAR CHRISTIAN FELLOWSHIP	GREENSBORO, NC	8/18/2016	00777112-02	Pending
NORTHSTAR REAL ESTATE INCOME TR INC	NEW YORK, NY	8/15/2016	00777192-02	Registered
OPEN ARMS OUTREACH MINISTRIES	ANDERSON, SC	8/15/2016	00776978-02	Registered
<b>Number of Registration Filings: 4</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1ST TR COMBINED SERIES 535 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 26	WHEATON, IL	8/19/2016	00777117-05	Registered
FT 6189 DIVIDEND INCOME PLUS PORTFOLIO SERIES 24	WHEATON, IL	8/19/2016	00777118-05	Registered
FT 6190 BOFA MERRILL LYNCH GLOBAL LONGEVITY PORTFOLIO SERIES 3	WHEATON, IL	8/19/2016	00777120-05	Registered
FT 6193 FINANCIALS SELECT PORTFOLIO SERIES 56	WHEATON, IL	8/19/2016	00777115-05	Registered
FT 6194 HEALTH CARE SELECT PORTFOLIO SERIES 42	WHEATON, IL	8/19/2016	00777119-05	Registered

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FT 6199 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 51	WHEATON, IL	8/19/2016	00777116-05	Registered
FT 6200 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	8/19/2016	00777113-05	Registered
FT 6251 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 21	WHEATON, IL	8/19/2016	00777114-05	Registered
ADVISORS DISCIPLINED TR 1676 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 112	MONUMENT, CO	8/18/2016	00777111-05	Registered
ADVISORS DISCIPLINED TR 1711 AEROSPACE DEFENSE OPPORTUNITIES PORTFOLIO SERIES 2016 2	MONUMENT, CO	8/18/2016	00777109-05	Registered
ADVISORS DISCIPLINED TR 1711 ENERGY OPPORTUNITIES PORTFOLIO SERIES 2016 3	MONUMENT, CO	8/18/2016	00777103-05	Registered
ADVISORS DISCIPLINED TR 1736 CORE ANGELS PORTFOLIO SERIES 2016 2	MONUMENT, CO	8/18/2016	00777110-05	Registered
BLACKROCK FUNDS BLACKROCK IMPACT BOND FUND CLASS K SHARES	WILMINGTON, DE	8/18/2016	00777006-03	Registered
BLACKROCK FUNDS BLACKROCK IMPACT BOND FUND INSTITUTIONAL SHARES	WILMINGTON, DE	8/18/2016	00777004-03	Registered
BLACKROCK FUNDS BLACKROCK IMPACT BOND FUND INVESTOR A SHARES	WILMINGTON, DE	8/18/2016	00777003-03	Registered
BLACKROCK FUNDS BLACKROCK IMPACT BOND FUND INVESTOR C SHARES	WILMINGTON, DE	8/18/2016	00777005-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1463 GUGGENHEIM INVESTMENT GRADE CORPORATE TR 3 7 YEAR SERIES 7	LISLE, IL	8/18/2016	00777106-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1497 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 19	LISLE, IL	8/18/2016	00777105-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1497 UNDERVALUED TOP PICKS PORTFOLIO SERIES 10	LISLE, IL	8/18/2016	00777104-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1498 DIVIDEND STRENGTH PORTFOLIO SERIES 22	LISLE, IL	8/18/2016	00777107-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1499 TOTAL INCOME PORTFOLIO SERIES 8	LISLE, IL	8/18/2016	00777102-05	Registered
MFS SERIES TR 10 MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS B SHARES	BOSTON, MA	8/18/2016	00776995-03	Registered
MFS SERIES TR 10 MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS R1 SHARES	BOSTON, MA	8/18/2016	00776999-03	Registered
MFS SERIES TR 10 MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS C SHARES	BOSTON, MA	8/18/2016	00776996-03	Registered
MFS SERIES TR 10 MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS R4 SHARES	BOSTON, MA	8/18/2016	00777000-03	Registered

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MFS SERIES TR 10 MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS I SHARES	BOSTON, MA	8/18/2016	00776997-03	Registered
MFS SERIES TR 10 MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS R2 SHARES	BOSTON, MA	8/18/2016	00777001-03	Registered
MFS SERIES TR 10 MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS A SHARES	BOSTON, MA	8/18/2016	00776994-03	Registered
MFS SERIES TR 10 MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS R3 SHARES	BOSTON, MA	8/18/2016	00776998-03	Registered
MFS SERIES TR 10 MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS R5 SHARES	BOSTON, MA	8/18/2016	00777002-03	Registered
NUVEEN UNIT INVESTMENT TR SERIES 155 NUVEEN PROSPECT ENERGY & POWER INCOME PORTFOLIO 3Q 2016	CHICAGO, IL	8/18/2016	00777101-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 155 NUVEEN PROSPECT INCOME FINANCE PORTFOLIO 3Q 2016	CHICAGO, IL	8/18/2016	00777108-05	Registered
SMART TR 261 SMART TR TAX ADVANTAGED GROWTH & INCOME SERIES 11	PARSIPPANY, NJ	8/18/2016	00777099-05	Registered
SMART TR 262 SMART TR SUSTAINABLE IMPACT INVESTING TR SERIES 7	PARSIPPANY, NJ	8/18/2016	00777100-05	Registered
1ST TR COMBINED SERIES 534 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 30	WHEATON, IL	8/17/2016	00777067-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 6164 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 26	WHEATON, IL	8/17/2016	00777066-05	Registered
FT 6188 LOW VOLATILITY PORTFOLIO SERIES 4	WHEATON, IL	8/17/2016	00777068-05	Registered
FT 6191 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 23	WHEATON, IL	8/17/2016	00777065-05	Registered
FT 6195 CORE HOLDINGS GROWTH TR 2016 FALL SERIES	WHEATON, IL	8/17/2016	00777064-05	Registered
FT 6197 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 67	WHEATON, IL	8/17/2016	00777063-05	Registered
ADVISORS DISCIPLINED TR 1710 TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2016 3	MONUMENT, CO	8/16/2016	00777061-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 153 NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO 3Q 2016	CHICAGO, IL	8/16/2016	00777060-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 154 NUVEEN BOFA MERRILL LYNCH FOOD SECURITY PORTFOLIO SERIES 3	CHICAGO, IL	8/16/2016	00777059-05	Registered
INVESCO UNIT TR SERIES 1693 NEW WORLD LEADERS PORTFOLIO 2016 3	DOWNERS GROVE, IL	8/15/2016	00777191-05	Pending-Cleared
INVESCO UNIT TR SERIES 1694 SELECT 10 INDUSTRIAL PORTFOLIO 2016 5	DOWNERS GROVE, IL	8/15/2016	00777190-05	Pending-Cleared
INVESCO UNIT TR SERIES 1695 ETF ALLOCATION PORTFOLIO SERIES 2016 3	DOWNERS GROVE, IL	8/15/2016	00777189-05	Pending-Cleared

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INVESCO UNIT TR SERIES 1696 DEFENSIVE EQUITY & INCOME PORTFOLIO 2016 3	DOWNERS GROVE, IL	8/15/2016	00777188-05	Pending-Cleared
INVESCO UNIT TR SERIES 1697 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2016 4	DOWNERS GROVE, IL	8/15/2016	00777187-05	Pending-Cleared
INVESCO UNIT TR SERIES 1698 GLOBAL TECHNOLOGY LEADERS PORTFOLIO 2016 4	DOWNERS GROVE, IL	8/15/2016	00777186-05	Pending-Cleared
INVESCO UNIT TR SERIES 1699 GLOBAL WATER PORTFOLIO 2016 3	DOWNERS GROVE, IL	8/15/2016	00777185-05	Pending-Cleared
STERLING CAPITAL FUNDS STERLING CAPITAL STRATTON SMALL CAP VALUE FUND C SHARES	RALEIGH, NC	8/15/2016	00776892-03	Registered
<b>Number of Investment Company Filings: 51</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AMAZING ENERGY OIL & GAS CO	AMARILLO, TX	8/19/2016	00777074-07	Filed
AQR EMERGING EQUITIES FUND LP	GREENWICH, CT	8/19/2016	00777071-07	Filed
ENUMERAL BIOMEDICAL HOLDINGS INC	N CAMBRIDGE, MA	8/19/2016	00777070-07	Filed
HPI REAL ESTATE OPPORTUNITY FUND 4 LLC	OLD LYME, CT	8/19/2016	00777072-07	Filed
JOHNNY B GOODE JOINT VENTURE	FT WORTH, TX	8/19/2016	00777080-07	Filed
MLG PRIVATE FUND 3 LLC	BROOKFIELD, WI	8/19/2016	00777069-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PARTNERS FOR PAYMENT RELIEF DE 4 LLC	NEWTOWN SQ, PA	8/19/2016	00777073-07	Filed
PETCURE ONCOLOGY LLC	CHICAGO, IL	8/19/2016	00777076-07	Filed
REALTYSHARES 198 LLC	LEWES, DE	8/19/2016	00777082-07	Filed
STERLING BANCORP INC	SOUTHFIELD, MI	8/19/2016	00777077-07	Filed
ZUREX PHARMA INC	MIDDLETON, WI	8/19/2016	00777079-07	Filed
STEADYMED LTD	REHOVOT, AA	8/18/2016	00777098-07	Filed
GAIA PRINCIPAL LLC	MINNEAPOLIS, MN	8/16/2016	00777056-07	Filed
PH WOLF RANCH LLC	RICHMOND, VA	8/16/2016	00777055-07	Filed
VIEW RIDGE CAPITAL PARTNERS LP	SAN FRANCISCO, CA	8/16/2016	00777057-07	Filed
CHICAGO CAPITAL MANAGEMENT LP	CHICAGO, IL	8/15/2016	00776956-07	Filed
CHICAGO CAPITAL ONSHORE FUND LLC	CHICAGO, IL	8/15/2016	00776955-07	Filed
DREAMFIELD FUND EQUITY LLC	IOWA CITY, IA	8/15/2016	00776957-07	Filed
VICTORY CAPITAL HOLDINGS INC	BROOKLYN, OH	8/15/2016	00777184-07	Filed
<b>Number of Regulation D Notice Filings: 19</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
STEAMATIC INC	FORT WORTH, TX	8/18/2016	613964	Registered
COMPUTERTOTS INC / Computer Explorers	CYPRESS, TX	8/18/2016	613981	Registered
Great Wraps, Inc. / Great Wraps	Atlanta, GA	8/15/2016	613963	Registered
<b>Number of Franchise Filings: 3</b>				

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<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
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<b>Takeover Filing Reference</b>	<b>Date Received</b>
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<b>Number of Takeover Filings: 0</b>	
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