

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 7/31/2016 through 8/6/2016**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CROW POINT GLOBAL DIVIDEND PLUS FUND	HAUPPAUGE, NY	8/4/2016	00776712-01	Incomplete
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MEDALIST DIVERSIFIED REIT INC	RICHMOND, VA	8/1/2016	00776573-25	Filed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
NUVEEN UNIT INVESTMENT TR SERIES 151 NUVEEN ZACKS SELECT EQUITY INCOME PORTFOLIO 3Q 2016	CHICAGO, IL	8/5/2016	00776740-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 151 NUVEEN ZACKS SELECT EQUITIES BLEND PORTFOLIO 3Q 2016	CHICAGO, IL	8/5/2016	00776737-05	Registered
TIAA CREF FUNDS TIAA CREF INTERNATIONAL BOND FUND INSTITUTIONAL CLASS	NEW YORK, NY	8/5/2016	00776527-03	Registered
TIAA CREF FUNDS TIAA CREF INTERNATIONAL BOND FUND ADVISOR CLASS	NEW YORK, NY	8/5/2016	00776530-03	Registered
TIAA CREF FUNDS TIAA CREF INTERNATIONAL BOND FUND PREMIER CLASS	NEW YORK, NY	8/5/2016	00776529-03	Registered
TIAA CREF FUNDS TIAA CREF INTERNATIONAL BOND FUND RETAIL CLASS	NEW YORK, NY	8/5/2016	00776533-03	Registered

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TIAA CREF FUNDS TIAA CREF INTERNATIONAL BOND FUND RETIREMENT CLASS	NEW YORK, NY	8/5/2016	00776531-03	Registered
TIAA CREF FUNDS TIAA CREF SMALL MID CAP EQUITY FUND INSTITUTIONAL CLASS	NEW YORK, NY	8/5/2016	00776525-03	Registered
TIAA CREF FUNDS TIAA CREF SMALL MID CAP EQUITY FUND RETIREMENT CLASS	NEW YORK, NY	8/5/2016	00776528-03	Registered
TIAA CREF FUNDS TIAA CREF SMALL MID CAP EQUITY FUND ADVISOR CLASS	NEW YORK, NY	8/5/2016	00776524-03	Registered
TIAA CREF FUNDS TIAA CREF SMALL MID CAP EQUITY FUND PREMIER CLASS	NEW YORK, NY	8/5/2016	00776526-03	Registered
TIAA CREF FUNDS TIAA CREF SMALL MID CAP EQUITY FUND RETAIL CLASS	NEW YORK, NY	8/5/2016	00776532-03	Registered
BOSTON TR & WALDEN FUNDS WALDEN INTERNATIONAL EQUITY FUND	COLUMBUS, OH	8/4/2016	00776637-03	Registered
FT 6169 TACTICAL INCOME PORTFOLIO SERIES 43	WHEATON, IL	8/4/2016	00776902-05	Registered
FT 6170 DIVIDEND EDGE PORTFOLIO SERIES 3	WHEATON, IL	8/4/2016	00776903-05	Registered
FT 6171 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 22	WHEATON, IL	8/4/2016	00776897-05	Registered
FT 6175 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 32	WHEATON, IL	8/4/2016	00776724-05	Registered
FT 6176 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 38	WHEATON, IL	8/4/2016	00776723-05	Registered

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FT 6178 DIVERSIFIED INCOME & GROWTH PORTFOLIO FALL 2016 SERIES	WHEATON, IL	8/4/2016	00776718-05	Registered
FT 6183 EUROPEAN DEEP VALUE DIVIDEND PORTFOLIO SERIES 11	WHEATON, IL	8/4/2016	00776900-05	Registered
FT 6210 CORPORATE HIGH INCOME PORTFOLIO SERIES 22	WHEATON, IL	8/4/2016	00776898-05	Registered
FT 6249 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 79	WHEATON, IL	8/4/2016	00776722-05	Registered
FT 6250 PREFERRED INCOME PORTFOLIO SERIES 84	WHEATON, IL	8/4/2016	00776899-05	Registered
FT 6255 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 67	WHEATON, IL	8/4/2016	00776901-05	Registered
FT 6269 DOW TARGET 10 AUG 16 TERM 9 8 17	WHEATON, IL	8/4/2016	00776716-05	Registered
SMART TR 259 SMART TR ENHANCED VALUE 2 TR SERIES 15	PARISIPPANY, NJ	8/4/2016	00776717-05	Registered
FT 6168 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 42	WHEATON, IL	8/3/2016	00776581-05	Registered
FT 6174 ETF GROWTH & INCOME AUG 16	WHEATON, IL	8/3/2016	00776580-05	Registered
FT 6177 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 18	WHEATON, IL	8/3/2016	00776579-05	Registered
FT 6248 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 59	WHEATON, IL	8/3/2016	00776582-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1701 INFLATION INCOME STRATEGY PORTFOLIO SERIES 2016 3	MONUMENT, CO	8/1/2016	00776556-05	Registered
ADVISORS DISCIPLINED TR 1702 DIVIDEND SUSTAINABILITY PORTFOLIO SERIES 2016 3 HIMCO PORTFOLIO	MONUMENT, CO	8/1/2016	00776555-05	Registered
FRANKLIN ALTERNATIVE STRATEGIES TR FRANKLIN K2 GLOBAL MACRO OPPORTUNITIES FUND CLASS R6	SAN MATEO, CA	8/1/2016	00776017-03	Registered
FRANKLIN ALTERNATIVE STRATEGIES TR FRANKLIN K2 GLOBAL MACRO OPPORTUNITIES FUND CLASS A	SAN MATEO, CA	8/1/2016	00776014-03	Registered
FRANKLIN ALTERNATIVE STRATEGIES TR FRANKLIN K2 GLOBAL MACRO OPPORTUNITIES FUND CLASS R	SAN MATEO, CA	8/1/2016	00776016-03	Registered
FRANKLIN ALTERNATIVE STRATEGIES TR FRANKLIN K2 GLOBAL MACRO OPPORTUNITIES FUND CLASS C	SAN MATEO, CA	8/1/2016	00776015-03	Registered
FRANKLIN ALTERNATIVE STRATEGIES TR FRANKLIN K2 GLOBAL MACRO OPPORTUNITIES FUND ADVISOR CLASS	SAN MATEO, CA	8/1/2016	00776018-03	Registered
JPMORGAN TR 2 JPMORGAN GOVERNMENT BOND FUND CLASS R6 SHARES	NEW YORK, NY	8/1/2016	00776294-03	Registered
<b>Number of Investment Company Filings: 38</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AMC FUND 7 LLC	NORTHRIDGE, CA	8/5/2016	00776774-07	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BBH WEALTH STRATEGIES LLC SANDTON CREDIT SOULTUIONS 4 SERIES	WALL STREET, NY	8/5/2016	00776769-07	Filed
BIOSCIENCE AMERICAS LLC	PEORIA, AZ	8/5/2016	00776771-07	Filed
BLUE SPHERE CORP	CHARLOTTE, NC	8/5/2016	00776772-07	Filed
BRIDGELINE DIGITAL INC	BURLINGTON, MA	8/5/2016	00776767-07	Filed
BW GAS & CONVENIENCE INVESTMENT FUND LP	BEVERLY, MA	8/5/2016	00776768-07	Filed
DANCE BIOPHARM HOLDINGS INC	BRISBANE, CA	8/5/2016	00776721-07	Filed
HEDWIG TOURING CO LLC	NEW YORK, NY	8/5/2016	00776773-07	Filed
MEWBOURNE ENERGY PARTNERS 16 A LP	TYLER, TX	8/5/2016	00776775-07	Filed
SHALE ENERGY GROUP INC	MANDEVILLE, LA	8/5/2016	00776770-07	Filed
STRIDESPARK INC	PALO ALTO, CA	8/5/2016	00776741-07	Filed
INDUSTRIOUS NATIONAL MANAGEMENT CO LLC	BROOKLYN, NY	8/3/2016	00776583-07	Filed
PHARMA INVESTMENT HOLDINGS LLC	SAN FRANCISCO, CA	8/2/2016	00776584-07	Filed
SPRINGBOARD SPV 1 LLC	NEW YORK, NY	8/2/2016	00776585-07	Filed
BAIRD CAPITAL GLOBAL FUND 1 LP	MILWAUKEE, WI	8/1/2016	00776577-07	Filed
BCGF 1 AFFILIATES FUND LP	MILWAUKEE, WI	8/1/2016	00776576-07	Filed
BCGF 1 SPECIAL AFFILIATES LP	MILWAUKEE, WI	8/1/2016	00776575-07	Filed
JPS INVESTMENT HOLDINGS LLC	RALEIGH, NC	8/1/2016	00776574-07	Filed

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PAXTON HOTEL GROUP LLC	PAXTON, IL	8/1/2016	00776572-07	Filed
<b>Number of Regulation D Notice Filings: 19</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MAD SCIENCE GROUP INC	MONTREAL, QC	8/4/2016	613934	Registered
Atomium Inc. / Waffle Cabin	South Chittenden, VT	8/4/2016	613933	Registered
GODFATHERS PIZZA INC / GODFATHERS PIZZA	OMAHA, NE	8/3/2016	613928	Registered
Tint World, LLC	Boca Raton, FL	8/3/2016	613927	Registered
Sears Home Appliance Showrooms, LLC / Kitchen Tune-Up	Hoffman Estates, IL	8/2/2016	613921	Registered
Groutsmith Franchising, Inc. / Groutsmith	Sarasota, FL	8/2/2016	613952	Registered
ANY TEST FRANCHISING INC / ANY LAB TEST NOW	ALPHARETTA, GA	8/1/2016	613917	Registered
<b>Number of Franchise Filings: 7</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	